

### Principal Large Cap Fund (An open-ended Equity Scheme)

Name of Instrument	ISIN	Industry	Quantity	Market Value (₹ in lakhs)	% of NAV
<b>I. Equity &amp; Equity Related Instruments</b>					
<b>A) Listed / Awaiting listing on the stock exchanges</b>					
<i>i) Equity Shares</i>					
HDFC Bank Ltd	INE004A01026	Banks	188,471	2,014.38	6.80
Infosys Ltd	INE009A01021	Software	168,450	1,957.30	6.61
Maruti Suzuki India Ltd	INE585B01010	Auto	27,281	1,278.28	4.32
ICI Bank Ltd	INE090A01021	Banks	437,162	1,181.87	3.99
Tata Consultancy Services Ltd	INE467B01029	Software	45,663	1,181.78	3.99
Tata Motors Ltd	INE154A01025	Auto	383,291	1,144.51	3.87
Housing Development Finance Corp. Ltd	INE001A01036	Finance	86,866	1,053.47	3.56
Div's Laboratories Ltd	INE361B01024	Pharmaceuticals	93,788	1,045.69	3.53
HCL Technologies Ltd	INE860A01027	Software	103,092	1,012.52	3.42
Larsen & Toubro Ltd	INE018A01030	Construction Project	67,480	989.43	3.34
Britannia Industries Ltd	INE216A01022	Consumer Non Durables	29,217	900.47	3.04
Shree Cements Ltd	INE070A01015	Cement	6,738	791.04	2.67
Natco Pharma Ltd	INE987B01018	Pharmaceuticals	30,528	754.50	2.55
State Bank of India	INE280A01020	Banks	314,022	744.70	2.52
Reliance Industries Ltd	INE002A01038	Petroleum Products	85,895	740.67	2.50
Bank of Baroda	INE028A01039	Banks	386,603	708.26	2.39
IndusInd Bank Ltd	INE095A01012	Banks	73,906	696.53	2.35
Bharti Airtel Ltd	INE397D01024	Telecom - Services	178,008	601.40	2.03
Abbott India Ltd	INE358A01014	Pharmaceuticals	10,065	517.87	1.93
Axys Bank Ltd	INE238A01034	Banks	103,435	512.68	1.73
Bajaj Auto Ltd	INE917I01010	Auto	21,409	495.00	1.67
Aditya Birla Nuvo Ltd	INE069A01017	Services	23,054	494.29	1.67
Kotak Mahindra Bank Ltd	INE237A01028	Banks	72,428	469.41	1.59
Adani Ports and Special Economic Zone Ltd	INE742F01042	Transportation	156,304	467.35	1.58
Hindustan Petroleum Corporation Ltd	INE094A01015	Petroleum Products	57,840	447.65	1.51
Asian Paints Ltd	INE021A01026	Consumer Non Durables	52,629	442.93	1.50
ITC Ltd	INE154A01025	Consumer Non Durables	127,377	420.00	1.40
UltraTech Cement Ltd	INE481G01011	Cement	15,339	410.07	1.39
Colgate Palmolive (India) Ltd	INE259A01022	Consumer Non Durables	41,304	397.14	1.34
Dr. Reddy's Laboratories Ltd	INE089A01023	Pharmaceuticals	8,586	357.38	1.21
Bharat Petroleum Corporation Ltd	INE029A01019	Petroleum Products	41,097	349.61	1.18
Yes Bank Ltd	INE528G01011	Banks	44,013	321.16	1.08
Aurobindo Pharma Ltd	INE406A01037	Pharmaceuticals	39,666	305.11	1.03
Hexaware Technologies Ltd	INE093A01033	Software	118,661	293.75	0.99
Coal India Ltd	INE522F01014	Minerals/Mining	88,723	290.66	0.98
Power Finance Corporation Ltd	INE134E01011	Finance	126,240	290.48	0.98
Sun Pharmaceuticals Industries Ltd	INE044A01036	Pharmaceuticals	32,815	284.97	0.96
Cipla Ltd	INE059A01026	Pharmaceuticals	44,442	282.67	0.95
Ashok Leyland Ltd	INE208A01029	Auto	261,656	241.38	0.82
Motherson Sumi Systems Ltd	INE775A01035	Auto Ancillaries	100,884	232.39	0.79
Alstom T&D India Ltd	INE200A01026	Industrial Capital Goods	44,378	227.81	0.77
SRF Ltd	INE647A01010	Textile Products	20,247	227.50	0.77
National Aluminium Company Ltd	INE139A01034	Non - Ferrous Metals	635,611	223.42	0.75
D.B. Corp Ltd	INE950I01011	Media & Entertainment	66,539	215.19	0.73
Century Textiles & Industries Ltd	INE055A01016	Cement	39,607	205.01	0.69
The Indian Hotels Company Ltd	INE053A01029	Hotels, Resorts & Other Recreational Activities	232,766	200.30	0.68
Tata Motors Ltd - A Class	INE155A01020	Auto	88,673	191.93	0.65
The Ramco Cements Ltd	INE331A01037	Cement	22,312	72.98	0.25
<b>Total</b>				<b>28,738.85</b>	<b>97.07</b>
<b>II. Money Market Instruments</b>					
<i>i) Reverse Repo and CBL</i>					
<b>Total</b>				<b>1,091.97</b>	<b>3.69</b>
<b>III. Cash &amp; Cash Equivalents</b>					
<i>i) Other Receivables / (Payables)</i>					
<b>Total</b>				<b>23.24</b>	<b>0.08</b>
<b>Net Assets</b>				<b>29,802.75</b>	<b>100.00</b>

### Principal Emerging Bluechip Fund (An open-ended Equity Scheme)

Name of Instrument	ISIN	Industry	Quantity	Market Value (₹ in lakhs)	% of NAV
<b>I. Equity &amp; Equity Related Instruments</b>					
<b>A) Listed / Awaiting listing on the stock exchanges</b>					
<i>i) Equity Shares</i>					
Amara Raja Batteries Ltd	INE885A01032	Auto Ancillaries	181,350	1,867.27	3.76
Eicher Motors Ltd	INE066A01013	Auto	9,490	1,686.64	3.39
IndusInd Bank Ltd	INE095A01012	Banks	170,550	1,607.35	3.23
Britannia Industries Ltd	INE216A01022	Consumer Non Durables	48,318	1,489.16	3.00
Aurobindo Pharma Ltd	INE406A01037	Pharmaceuticals	186,600	1,435.33	2.89
Hindustan Petroleum Corporation Ltd	INE094A01015	Petroleum Products	174,708	1,352.15	2.72
Shree Cements Ltd	INE070A01015	Cement	10,800	1,267.93	2.55
Div's Laboratories Ltd	INE361B01024	Pharmaceuticals	109,716	1,223.28	2.46
Gateway Distriparks Ltd	INE852F01015	Transportation	336,960	1,203.12	2.42
Sadhv Engineering Ltd	INE229H01026	Construction Project	402,000	1,191.73	2.40
ICI Bank Ltd	INE090A01021	Banks	439,600	1,188.46	2.39
HCL Technologies Ltd	INE860A01027	Software	120,000	1,178.58	2.37
Yes Bank Ltd	INE528G01011	Banks	153,780	1,122.13	2.26
Motherson Sumi Systems Ltd	INE775A01035	Auto Ancillaries	465,600	1,072.51	2.16
The Federal Bank Ltd	INE171A01029	Banks	1,675,500	1,071.48	2.16
Glenmark Pharmaceuticals Ltd	INE935A01035	Pharmaceuticals	99,000	1,038.71	2.09
MRF Ltd	INE883A01011	Auto Ancillaries	2,376	996.40	2.01
Cummins India Ltd	INE298A01020	Industrial Products	89,428	979.59	1.97
Torrent Pharmaceuticals Ltd	INE685A01028	Pharmaceuticals	63,900	959.94	1.93
Ramkrishna Forgings Ltd	INE399G01015	Industrial Products	156,900	947.92	1.90
Aditya Birla Nuvo Ltd	INE069A01017	Services	42,750	916.58	1.84
SRF Ltd	INE647A01010	Textile Products	78,648	883.69	1.78
Indiabulls Housing Finance Ltd	INE148I01020	Finance	109,500	869.48	1.75
Orient Cement Ltd	INE878N01018	Cement	540,000	851.85	1.71
Symphony Ltd	INE225D01027	Consumer Durables	45,558	837.97	1.69
HDFC Bank Ltd	INE004A01026	Banks	77,508	828.41	1.67
Bharat Forge Ltd	INE465A01025	Industrial Products	84,690	768.60	1.55
UPL Ltd	INE628A01036	Pesticides	160,308	734.69	1.48
Atul Ltd	INE100A01010	Chemicals	45,108	701.65	1.41
Bata India Ltd	INE176A01010	Consumer Durables	62,760	676.71	1.36
National Buildings Construction Corp. Ltd.	INE095N01015	Construction	68,250	654.86	1.32
AIA Engineering Ltd	INE212H01026	Industrial Products	64,800	642.62	1.29
Power Finance Corporation Ltd	INE134E01011	Finance	277,560	638.67	1.29
Natco Pharma Ltd	INE987B01018	Pharmaceuticals	25,776	637.05	1.28
Cyient Ltd	INE136B01020	Software	111,526	623.04	1.25
Mold-Tek Packaging Ltd	INE893J01011	Industrial Products	285,480	609.64	1.23
Finolex Cables Ltd	INE235A01022	Industrial Products	257,700	601.47	1.21
Vardhaman Textiles Ltd	INE825A01012	Textiles - Cotton	63,000	588.99	1.19
Gujarat State Petronet Ltd	INE246F01010	Gas	490,580	577.41	1.16
KEC International Ltd	INE389H01022	Construction Project	405,000	575.30	1.16
Kaveri Seed Company Ltd	INE455I01029	Consumer Non Durables	135,000	572.33	1.15
Volitas Ltd	INE226A01021	Construction Project	210,600	565.04	1.14
Tata Chemicals Ltd	INE092A01019	Chemicals	145,890	556.94	1.12
Gabriel India Ltd	INE524A01029	Auto Ancillaries	640,800	543.08	1.09
Tata Communications Ltd	INE151A01013	Telecom - Services	129,108	533.99	1.07
The Ramco Cements Ltd	INE331A01037	Cement	163,188	533.79	1.07
Pridlite Industries Ltd	INE318A01026	Chemicals	90,900	516.18	1.04
SJS Microfinance Ltd	INE180K01011	Finance	123,600	501.26	1.01
Kajaria Ceramics Ltd	INE217B01028	Construction	61,800	492.92	0.99
Navin Fluorine International Ltd	INE493G01012	Chemicals	39,300	477.40	0.96
Dynamatic Technologies Ltd	INE221B01012	Auto Ancillaries	18,900	471.34	0.95
Transport Corporation of India Ltd	INE688A01022	Transportation	179,765	454.85	0.88
Dish TV India Ltd	INE336F01026	Media & Entertainment	399,600	424.97	0.86
Croceos Rail & Engineering Ltd	INE621L01012	Industrial Capital Goods	360,000	413.84	0.83
V.S.T Tillers Tractors Ltd	INE764D01017	Auto	30,600	402.85	0.81
CESC Ltd	INE486A01013	Power	75,708	391.30	0.79
Ashoka Buldocon Ltd	INE442H01029	Construction Project	232,080	390.36	0.79
State Bank of India	INE062A01020	Banks	157,800	374.22	0.75
Hindustan Zinc Ltd	INE267A01025	Non - Ferrous Metals	255,600	357.33	0.72
Bajaj Finance Ltd	INE296A01016	Finance	5,835	299.41	0.60
Kalpataru Power Transmission Ltd	INE220B01022	Power	108,000	274.48	0.55
Inox Wind Ltd	INE066P01011	Industrial Capital Goods	14,000	50.23	0.10
<b>Total</b>				<b>48,671.32</b>	<b>97.95</b>
<b>B) Derivatives</b>					
State Bank of India Oct 2015		Stock Futures	54,000	128.98	0.26
Bajaj Finance Ltd Oct 2015		Stock Futures	1,000	51.54	0.10
<b>Total</b>				<b>180.52</b>	<b>0.36</b>
<b>II. Money Market Instruments</b>					
<i>i) Reverse Repo and CBL</i>					
<b>Total</b>				<b>526.44</b>	<b>1.06</b>
<b>III. Units of Mutual Fund</b>					
Principal Index Fund - Midcap - Direct Plan - Growth	INF173K01KP2		510,793.583	73.69	0.15
<b>Total</b>				<b>73.69</b>	<b>0.15</b>
<b>IV. Cash &amp; Cash Equivalents</b>					
<i>V. Other Receivables / (Payables)</i>					
<b>Total</b>				<b>63.05</b>	<b>0.12</b>
<b>Net Assets</b>				<b>49,694.82</b>	<b>100.00</b>

### Principal Growth Fund (An open-ended Equity Scheme)

Name of Instrument	ISIN	Industry	Quantity	Market Value (₹ in lakhs)	% of NAV
<b>I. Equity &amp; Equity Related Instruments</b>					
<b>A) Listed / Awaiting listing on the stock exchanges</b>					
<i>i) Equity Shares</i>					
Infosys Ltd	INE009A01021	Software	129,251	1,501.83	4.17
ICI Bank Ltd	INE090A01021	Banks	553,585	1,496.62	4.16
HDFC Bank Ltd	INE004A01026	Banks	130,937	1,399.45	3.89
Tata Consultancy Services Ltd	INE467B01029	Software	51,101	1,322.52	3.67
HCL Technologies Ltd	INE860A01027	Software	105,788	1,039.00	2.89
State Bank of India	INE280A01020	Banks	411,494	975.86	2.71
Aurobindo Pharma Ltd	INE406A01037	Pharmaceuticals	121,705	936.15	2.60
Housing Development Finance Corp. Ltd	INE001A01036	Finance	73,446	890.72	2.47
Tata Motors Ltd	INE154A01025	Auto	281,778	841.39	2.34
Bajaj Auto Ltd	INE917I01010	Auto	36,093	834.51	2.32
Britannia Industries Ltd	INE216A01022	Consumer Non Durables	26,617	820.34	2.28
JK Cement Ltd	INE823G01014	Cement	117,437	767.27	2.13
Maruti Suzuki India Ltd	INE585B01010	Auto	16,202	759.16	2.11
Reliance Industries Ltd	INE002A01038	Petroleum Products	84,208	726.13	2.02
Dewan Housing Finance Corporation Ltd	INE202B01012	Finance	319,618	703.00	1.95
Axys Bank Ltd	INE238A01034	Banks	139,465	691.26	1.92
The Federal Bank Ltd	INE171A01029	Banks	1,053,977	674.02	1.87
The Ramco Cements Ltd	INE331A01037	Cement	204,737	669.69	1.86
Mahindra Holidays & Resorts India Ltd	INE998I01010	Hotels, Resorts & Other Recreational Activities	213,520	641.09	1.78
Escorts Ltd	INE042A01014	Auto	414,429	633.04	1.76
ITC Ltd	INE154A01025	Consumer Non Durables	186,392	612.86	1.70
Abbott India Ltd	INE358A01014	Pharmaceuticals	10,546	599.20</	



**Principal SMART Equity Fund (An open-ended Equity Scheme)**

Name of Instrument	ISIN	Industry/Rating	Quantity	Market Value (₹ in lakhs)	% of NAV	Derivatives % of NAV
<b>I. Equity &amp; Equity Related Instruments</b>						
<b>A) Listed / Awaiting listing on the stock exchanges</b>						
<i>i) Equity Shares</i>						
HDFC Bank Ltd	INE004A01026	Banks	26,856	287.04	2.96	
Infosys Ltd	INE099A01021	Software	23,982	276.66	2.87	
Maruti Suzuki India Ltd	INE585B01010	Auto	3,885	182.04	1.88	
Tata Consultancy Services Ltd	INE467B01029	Software	6,514	168.59	1.74	
ICICI Bank Ltd	INE090A01021	Banks	62,011	167.65	1.73	
Tata Motors Ltd	INE155A01022	Auto	54,644	163.17	1.68	
Housing Development Finance Corp. Ltd	INE001A01036	Finance	12,365	149.96	1.55	
Div's Laboratories Ltd	INE361B01024	Pharmaceuticals	13,408	149.49	1.54	
HCL Technologies Ltd	INE860A01027	Software	14,674	144.12	1.49	
Larsen & Toubro Ltd	INE18A01030	Construction Project	9,599	140.75	1.45	
Britannia Industries Ltd	INE216A01022	Consumer Non Durables	4,187	129.04	1.33	
Shree Cements Ltd	INE070A01015	Cement	964	113.17	1.17	
Natco Pharma Ltd	INE987B01018	Pharmaceuticals	4,387	108.42	1.12	
State Bank of India	INE062A01020	Banks	44,640	105.86	1.09	
Reliance Industries Ltd	INE002A01018	Petroleum Products	12,247	105.61	1.09	
Bank of Baroda	INE028A01039	Banks	55,014	100.79	1.04	
Indusind Bank Ltd	INE095A01012	Banks	10,516	99.11	1.02	
Bharti Airtel Ltd	INE397D01024	Telecom - Services	25,465	86.03	0.89	
Abbott India Ltd	INE398A01014	Pharmaceuticals	1,441	81.87	0.84	
Axis Bank Ltd	INE238A01034	Banks	14,700	72.86	0.75	
Aditya Birla Nuvo Ltd	INE069A01017	Services	3,291	70.56	0.73	
Bajaj Auto Ltd	INE917010110	Auto	3,050	70.52	0.73	
Adani Ports and Special Economic Zone Ltd	INE742F01042	Transportation	22,359	66.85	0.69	
Kotak Mahindra Bank Ltd	INE237A01028	Banks	10,303	66.77	0.69	
Hindustan Petroleum Corporation Ltd	INE094A01015	Petroleum Products	8,269	64.00	0.66	
Asian Paints Ltd	INE021A01026	Consumer Non Durables	7,496	63.09	0.65	
ITC Ltd	INE154A01022	Consumer Non Durables	18,236	59.96	0.62	
UltraTech Cement Ltd	INE481G01011	Cement	2,189	58.52	0.60	
Colgate Palmolive (India) Ltd	INE259A01022	Consumer Non Durables	5,914	56.86	0.59	
Reliance Industries Ltd	INE089A01023	Pharmaceuticals	1,227	51.07	0.53	
Bharat Petroleum Corporation Ltd	INE029A01011	Petroleum Products	5,869	49.93	0.51	
Yes Bank Ltd	INE528G01019	Banks	6,227	45.44	0.47	
Aurobindo Pharma Ltd	INE406A01037	Pharmaceuticals	5,679	43.68	0.45	
Hexaware Technologies Ltd	INE093A01033	Software	16,891	41.81	0.43	
Power Finance Corporation Ltd	INE134E01011	Finance	18,002	41.42	0.43	
Coal India Ltd	INE522F01014	Minerals/Mining	12,656	41.46	0.43	
Sun Pharmaceuticals Industries Ltd	INE044A01036	Pharmaceuticals	4,695	40.77	0.42	
Cipla Ltd	INE059A01026	Pharmaceuticals	3,688	40.50	0.42	
Ashok Leyland Ltd	INE208A01029	Auto	37,305	34.41	0.35	
Motherson Sumi Systems Ltd	INE775A01035	Auto Ancillaries	14,399	33.17	0.34	
Alstom T&D India Ltd	INE200A01026	Industrial Capital Goods	6,350	32.60	0.34	
SRF Ltd	INE647A01010	Textile Products	2,878	32.34	0.33	
National Aluminium Company Ltd	INE139A01034	Non - Ferrous Metals	90,515	31.82	0.33	
D.B. Corp Ltd	INE950A01011	Media & Entertainment	9,486	30.68	0.32	
Century Textiles & Industries Ltd	INE055A01016	Cement	5,647	29.23	0.30	
The Indian Hotels Company Ltd	INE053A01029	Hotels, Resorts & Other Recreational Activities	33,184	28.55	0.29	
Tata Motors Ltd - A Class	IN9155A01020	Auto	12,628	27.33	0.28	
The Ramco Cements Ltd	INE331A01037	Cement	3,187	10.42	0.11	
<b>Total</b>				<b>4,098.00</b>	<b>42.25</b>	
<b>B) Cash Future Arbitrage</b>						
Coal India Ltd	INE522F01014	Minerals/Mining	27,000	88.45	0.91	
Coal India Ltd Oct 2015	(27,000)	Stock Futures		(88.65)		(0.91)
Hindustan Petroleum Corporation Ltd	INE094A01015	Petroleum Products	18,000	139.31	1.44	
Hindustan Petroleum Corp. Ltd Oct 2015	(18,000)	Stock Futures		(140.00)		(1.44)
Yes Bank Ltd	INE528G01019	Banks	21,750	158.71	1.64	
Yes Bank Ltd Oct 2015	(21,750)	Stock Futures		(159.71)		(1.65)
Tata Motors Ltd - A Class	IN9155A01020	Auto	103,000	222.94	2.30	
Tata Motors Ltd - A Class Oct 2015	(103,000)	Stock Futures		(224.64)		(2.32)
Housing Development Finance Corp. Ltd	INE001A01036	Finance	19,000	230.42	2.38	
Housing Development Finance Corporation Ltd Oct 2015	(19,000)	Stock Futures		(231.90)		(2.39)
Bharti Airtel Ltd	INE397D01024	Telecom - Services	86,000	290.55	3.00	
Bharti Airtel Ltd Oct 2015	(86,000)	Stock Futures		(292.19)		(3.01)
Maruti Suzuki India Ltd	INE585B01010	Auto	9,000	421.70	4.35	
Maruti Suzuki India Ltd Oct 2015	(9,000)	Stock Futures		(422.55)		(4.36)
HDFC Bank Ltd	INE004A01026	Banks	52,500	561.12	5.78	
HDFC Bank Ltd Oct 2015	(52,500)	Stock Futures		(565.01)		(5.82)
Reliance Industries Ltd	INE002A01018	Petroleum Products	70,250	605.77	6.24	
Reliance Industries Ltd Oct 2015	(70,250)	Stock Futures		(608.01)		(6.27)
<b>Total</b>				<b>2,718.98</b>	<b>28.03</b>	<b>(28.17)</b>
<b>II. Debt Instruments</b>						
<b>A) Listed / Awaiting listing on the stock exchanges</b>						
<i>i) Non-Convertible Debentures**</i>						
8.25% HDFC Bank Ltd -M- 24-Oct-15	INE040A01879	AAA	43	430.06	4.43	
7.63% Indian Railway Finance Corporation Ltd -M- 29-Oct-15	INE053F09716	AAA	1	10.00	0.10	
<b>Total</b>				<b>440.06</b>	<b>4.53</b>	
<i>ii) Certificate of Deposit**</i>						
HDFC Bank -M- 26-Oct-15	INE040A16A7J	A1+	1,000	994.94	10.26	
<b>Total</b>				<b>994.94</b>	<b>10.26</b>	
<i>iii) Commercial Paper**</i>						
Phillips Carbon Black Ltd -M- 27-Oct-15	INE602A14257	A1	80	397.65	4.10	
<b>Total</b>				<b>397.65</b>	<b>4.10</b>	
<i>iv) Reverse Repo and CBLO</i>						
<b>Total</b>				<b>739.41</b>	<b>7.62</b>	
<b>IV. Cash &amp; Cash Equivalents</b>						
<b>V. Other Receivables / (Payables)</b>						
<b>Total</b>				<b>118.09</b>	<b>(1.11)</b>	
<b>Net Assets</b>				<b>9,700.85</b>	<b>100.00</b>	

**Principal Tax Savings Fund (An open-ended Equity Linked Savings Scheme)**

Name of Instrument	ISIN	Industry	Quantity	Market Value (₹ in lakhs)	% of NAV
<b>I. Equity &amp; Equity Related Instruments</b>					
<b>A) Listed / Awaiting listing on the stock exchanges</b>					
<i>i) Equity Shares</i>					
Infosys Ltd	INE099A01021	Software	91,180	1,059.47	4.16
ICICI Bank Ltd	INE090A01021	Banks	390,527	1,055.79	4.15
HDFC Bank Ltd	INE004A01026	Banks	92,626	989.99	3.89
Tata Consultancy Services Ltd	INE467B01029	Software	36,143	935.40	3.68
HCL Technologies Ltd	INE860A01027	Software	74,628	732.96	2.88
State Bank of India	INE062A01020	Banks	293,822	696.80	2.74
Aurobindo Pharma Ltd	INE406A01037	Pharmaceuticals	85,718	659.34	2.59
Housing Development Finance Corp. Ltd	INE001A01036	Finance	51,813	628.36	2.47
Tata Motors Ltd	INE155A01022	Auto	198,815	593.66	2.33
Bajaj Auto Ltd	INE917010110	Auto	25,669	593.49	2.33
Britannia Industries Ltd	INE216A01022	Consumer Non Durables	19,173	590.91	2.32
JK Cement Ltd	INE823G01014	Cement	84,025	548.98	2.16
Maruti Suzuki India Ltd	INE585B01010	Auto	11,560	541.66	2.13
Reliance Industries Ltd	INE002A01018	Petroleum Products	59,405	512.25	2.01
Dewan Housing Finance Corporation Ltd	INE202B01012	Finance	227,838	501.13	1.97
Axis Bank Ltd	INE238A01034	Banks	98,511	498.27	1.92
The Ramco Cements Ltd	INE331A01037	Cement	147,859	483.65	1.90
The Federal Bank Ltd	INE171A01029	Banks	742,837	475.04	1.87
Mahindra Holidays & Resorts India Ltd	INE980101010	Hotels, Resorts & Other Recreational Activities	151,504	454.89	1.79
Escorts Ltd	INE042A01014	Auto	293,305	448.02	1.76
ITC Ltd	INE154A01025	Consumer Non Durables	133,817	439.99	1.73
Ashoka Buildcon Ltd	INE442H01029	Construction Project	254,736	428.47	1.68
Abbott India Ltd	INE368A01014	Pharmaceuticals	7,446	423.07	1.66
Bharti Airtel Ltd	INE397D01024	Telecom - Services	125,199	422.98	1.66
Natco Pharma Ltd	INE987B01018	Pharmaceuticals	16,551	409.06	1.61
Tata Communications Ltd	INE151A01013	Telecom - Services	98,604	407.63	1.60
SRF Ltd	INE47A01010	Textile Products	36,187	406.80	1.60
Bank of Baroda	INE028A01039	Banks	217,944	399.27	1.57
Jan Irrigation Systems Ltd	INE175A01038	Industrial Products	592,985	380.47	1.50
Sun Pharmaceuticals Industries Ltd	INE044A01036	Pharmaceuticals	42,306	367.39	1.44
Firstsource Solutions Ltd	INE84F01012	Software	1,354,366	357.55	1.41
UltraTech Cement Ltd	INE481G01011	Cement	12,694	339.36	1.33
Indraprastha Gas Ltd	INE203G01019	Gas	70,173	335.04	1.32
Aditya Birla Nuvo Ltd	INE069A01017	Services	15,223	326.39	1.28
Kalpataru Power Transmission Ltd	INE220B01022	Power	122,794	312.08	1.23
DLF Ltd	INE271C01023	Construction	227,134	311.97	1.23
Cipla Ltd	INE059A01026	Pharmaceuticals	48,339	307.46	1.21
Larsen & Toubro Ltd	INE018A01030	Construction Project	20,448	299.82	1.18
Bombay Burmah Trading Corporation Ltd	INE050A01025	Consumer Non Durables	62,276	299.70	1.18
Cyient Ltd	INE136B01020	Software	53,309	297.61	1.17
The India Cements Ltd	INE389A01012	Cement	374,002	292.10	1.15
KEC International Ltd	INE389H01022	Construction Project	203,835	289.55	1.14
Gateway Distriparks Ltd	INE852F01015	Transportation	81,088	289.52	1.14
Power Finance Corporation Ltd	INE134E01011	Finance	120,415	277.07	1.09
L&T Finance Holdings Ltd	INE498L01015	Finance	393,003	262.33	1.03
Century Textiles & Industries Ltd	INE055A01016	Cement	50,207	259.87	1.02
Indian Oil Corporation Ltd	INE242A01010	Petroleum Products	63,169	254.07	1.00
IRB Infrastructure Developers Ltd	INE821010114	Construction	105,000	249.22	0.98
Prestige Estates Projects Ltd	INE811K01011	Construction	115,809	249.14	0.98
Glenmark Pharmaceuticals Ltd	INE935A01035	Pharmaceuticals	21,769	228.40	0.90
United Spirits Ltd	INE854D01016	Consumer Non Durables	7,304	226.01	0.89
Coal India Ltd	INE522F01014	Minerals/Mining	64,282	210.59	0.83
The Indian Hotels Company Ltd	INE053A01029	Hotels, Resorts & Other Recreational Activities	220,834	190.03	0.75
Motherson Sumi Systems Ltd	INE775A01035	Auto Ancillaries	80,752	186.01	0.73
Hindustan Construction Company Ltd	INE549A01026	Construction Project	988,488	184.35	0.72
Hindustan Petroleum Corporation Ltd	INE094A01015	Petroleum Products	22,720	175.84	0.69
Yes Bank Ltd	INE528G01019	Banks	23,964	174.87	0.69
National Aluminium Company Ltd	INE139A01034	Non - Ferrous Metals	491,932	172.91	0.68
Hindustan Zinc Ltd	INE267A01025	Non - Ferrous Metals	115,335	161.24	0.63
RattanIndia Power Ltd	INE399K01017	Power	2,272,843	153.42	0.60
Lloyds Steel Industries S\$#	INE292A01015	Ferrous Metals	400,000	0.00	---
Apollo Tyres Ltd S\$#	-	Auto Ancillaries	2,250	0.00	---
<b>Total</b>				<b>24,748.82</b>	<b>97.28</b>
<b>II. Money Market Instruments</b>					
<i>i) Reverse Repo and CBLO</i>					
<b>Total</b>				<b>577.03</b>	<b>2.27</b>
<b>Total</b>				<b>577.03</b>	<b>2.27</b>
<b>III. Cash &amp; Cash Equivalents</b>					
<b>IV. Other Receivables / (Payables)</b>					
<b>Total</b>				<b>10.04</b>	<b>0.04</b>
<b>Total</b>				<b>101.68</b>	<b>0.41</b>
<b>Net Assets</b>				<b>25,437.58</b>	<b>100.00</b>

**Principal Dividend Yield Fund (An open-ended Equity Scheme)**

Name of Instrument	ISIN	Industry	Quantity	Market Value
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**Principal Government Securities Fund**

(An open-ended dedicated gilt scheme investing in government securities)

Name of Instrument	ISIN	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
<b>I. Debt Instruments</b>					
<b>A) Listed / Awaiting listing on the stock exchanges</b>					
<i>i) Government Securities</i>					
7.88% Government of India Security -M- 19-Mar-30	IN0020150028	Sovereign	1,200,000	1,219.20	27.34
8.60% Government of India Security -M- 02-Jun-28	IN0020140011	Sovereign	625,000	664.19	14.89
8.17% Government of India Security -M- 01-Dec-44	IN0020140078	Sovereign	500,000	520.20	11.66
8.30% Government of India Security -M- 31-Dec-42	IN0020120062	Sovereign	450,000	472.73	10.60
8.40% Government of India Security -M- 28-Jul-24	IN0020140045	Sovereign	450,000	468.86	10.51
9.20% Government of India Security -M- 30-Sep-30	IN0020130053	Sovereign	400,000	446.04	10.00
<b>Total</b>				<b>3,791.21</b>	<b>85.00</b>
<b>II. Money Market Instruments</b>					
<i>i) Reverse Repo and CBLO</i>					
				56.39	1.26
<b>Total</b>				<b>56.39</b>	<b>1.26</b>
<b>III. Cash &amp; Cash Equivalents</b>					
<i>IV. Other Receivables / (Payables)</i>					
				52.92	1.19
				559.20	12.55
<b>Net Assets</b>				<b>4,459.72</b>	<b>100.00</b>

**Principal Income Fund - Long Term Plan (An open-ended Income Scheme)**

Name of Instrument	ISIN	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
<b>I. Debt Instruments</b>					
<b>A) Listed / Awaiting listing on the stock exchanges</b>					
<i>i) Non-Convertible Debentures**</i>					
8.30% Rural Electrification Corp. Ltd -M- 10-Apr-25	INE020B08930	AAA	48	484.63	6.18
10.85% Aspire Home Finance Corp. Ltd -M- 08-Jun-18	INE658R07042	A+	20	199.94	2.55
<b>Total</b>				<b>684.57</b>	<b>8.73</b>
<i>ii) Government Securities</i>					
8.60% Government of India Security -M- 02-Jun-28	IN0020140011	Sovereign	1,375,000	1,461.21	18.64
9.20% Government of India Security -M- 30-Sep-30	IN0020130053	Sovereign	1,100,000	1,226.61	15.65
8.40% Government of India Security -M- 28-Jul-24	IN0020140045	Sovereign	1,050,000	1,094.00	13.96
8.17% Government of India Security -M- 01-Dec-44	IN0020140078	Sovereign	1,000,000	1,040.40	13.27
7.88% Government of India Security -M- 19-Mar-30	IN0020150028	Sovereign	800,000	812.80	10.37
8.30% Government of India Security -M- 31-Dec-42	IN0020120062	Sovereign	550,000	577.78	7.37
<b>Total</b>				<b>6,212.79</b>	<b>79.26</b>
<b>II. Money Market Instruments</b>					
<i>i) Reverse Repo and CBLO</i>					
				270.77	3.45
<b>Total</b>				<b>270.77</b>	<b>3.45</b>
<b>III. Cash &amp; Cash Equivalents</b>					
<i>V. Other Receivables / (Payables)</i>					
				126.12	1.61
				544.14	6.95
<b>Net Assets</b>				<b>7,838.39</b>	<b>100.00</b>

**Principal Income Fund - Short Term Plan (An open-ended Income Scheme)**

Name of Instrument	ISIN	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
<b>I. Debt Instruments</b>					
<b>A) Listed / Awaiting listing on the stock exchanges</b>					
<i>i) Non-Convertible Debentures**</i>					
10.85% Aspire Home Finance Corp. Ltd** -M- 08-Jun-18	INE658R07042	A+	110	1,099.67	6.41
9.70% Export Import Bank of India** -M- 21-Nov-18	INE514E08D07	AAA	100	1,045.93	6.10
8.56% Rural Electrification Corp. Ltd -M- 13-Nov-19	INE020B08864	AAA	100	1,011.70	5.90
8.45% Power Finance Corporation Ltd -M- 10-Aug-20	INE134E08H07	AAA	100	1,006.78	5.87
8.37% Rural Electrification Corp. Ltd -M- 14-Aug-20	INE020B08948	AAA	100	1,006.13	5.87
9.35% Piramal Enterprises Ltd** -M- 24-Jul-17	INE140A08S09	AA	100	1,003.54	5.85
9.05% Tata Motors Ltd** -M- 30-Oct-15	INE155A08159	AA	100	1,000.88	5.84
8.37% National Bank for Agriculture & Rural Development** -M- 22-Jun-20	INE261F08E27	AAA	95	958.80	5.59
8.93% Power Grid Corp. of India Ltd -M- 20-Oct-18	INE752E07L56	AAA	50	511.50	2.98
11.84% Future Financial Services Ltd** -M- 24-Jan-17	INE801M07056	A	3,294	164.86	0.96
11.84% Asirvad Microfinance Pvt. Ltd** -M- 24-Jan-17	INE516Q07085	A	3,294	164.86	0.96
11.84% Grama Vidyal Microfinance Ltd** -M- 24-Jan-17	INE881K07078	A	3,294	164.86	0.96
11.84% Arohan Financial Services Pvt. Ltd** -M- 24-Jan-17	INE808K07097	A	2,471	123.67	0.72
8.65% Housing Development Finance Corporation Ltd -M- 18-Sep-20	INE01A07O0A8	AAA	1	100.87	0.59
11.84% Sonata Finance Private Ltd** -M- 24-Jan-17	INE509M07113	A	1,647	82.43	0.48
<b>Total</b>				<b>9,446.52</b>	<b>55.08</b>
<i>ii) Zero Coupon Bonds**</i>					
0% Dewan Housing Finance Corp. Ltd** -M- 21-Mar-16	INE202B07D9V	AAA	30	315.40	1.84
<b>Total</b>				<b>315.40</b>	<b>1.84</b>
<i>iii) Government Securities</i>					
8.12% Government of India Security -M- 10-Dec-20	IN0020120054	Sovereign	1,000,000	1,019.05	5.94
7.68% Government of India Security -M- 15-Dec-23	IN0020150010	Sovereign	1,000,000	1,005.00	5.86
8.27% Government of India Security -M- 09-Jun-20	IN0020140029	Sovereign	500,000	512.40	2.99
8.40% Government of India Security -M- 28-Jul-24	IN0020140045	Sovereign	120,000	125.03	0.73
<b>Total</b>				<b>2,661.48</b>	<b>15.52</b>
<b>II. Money Market Instruments</b>					
<i>i) Certificate of Deposit**</i>					
Canara Bank -M- 01-Mar-16	INE476A16PX2	A1+	610	592.20	3.45
RBL Bank Ltd -M- 03-Mar-16	INE937G51AR2	A1+	380	368.36	2.15
DCB Bank Ltd -M- 01-Mar-16	INE503A16C26	A1+	245	237.45	1.38
<b>Total</b>				<b>1,198.01</b>	<b>6.98</b>
<i>ii) Commercial Paper**</i>					
SKS Microfinance Ltd -M- 22-Dec-15	INE180K14170	A1+	200	979.36	5.71
<b>Total</b>				<b>979.36</b>	<b>5.71</b>
<i>iii) Treasury Bill</i>					
91 Day T-Bill -M- 10-Dec-15	IN002015X241	Sovereign	1,300,000	1,282.71	7.48
364 Day T-Bill -M- 26-Nov-15	IN002014Z181	Sovereign	115,000	113.71	0.66
<b>Total</b>				<b>1,396.42</b>	<b>8.14</b>
<i>iv) Reverse Repo and CBLO</i>					
				336.96	1.96
<b>Total</b>				<b>413.96</b>	<b>2.41</b>
<b>III. Cash &amp; Cash Equivalents</b>					
<i>IV. Other Receivables / (Payables)</i>					
				400.29	2.36
<b>Net Assets</b>				<b>17,148.41</b>	<b>100.00</b>

**Principal Pnb Fixed Maturity Plan - Series B16**

(A close-ended Debt Scheme offering Fixed Maturity Plan having maturity of 1094 Days)

Name of Instrument	ISIN	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
<b>I. Debt Instruments</b>					
<b>A) Listed / Awaiting listing on the stock exchanges</b>					
<i>i) Non-Convertible Debentures**</i>					
9.52% Rural Electrification Corp. Ltd -M- 25-Mar-17	INE020B07111	AAA	40	408.18	17.47
9.69% LIC Housing Finance Ltd -M- 17-Mar-17	INE115A07F61	AAA	40	406.64	17.40
9.90% Bajaj Finance Ltd -M- 25-Apr-17	INE296A07B7C	AA+	40	406.20	17.38
9.18% National Bank for Agriculture & Rural Development -M- 07-Feb-17	INE261F09H99	AAA	39	396.26	16.96
9.84% Tata Motors Ltd -M- 10-Mar-17	INE155A08159	AA	18	183.38	7.85
<b>Total</b>				<b>1,800.66</b>	<b>77.06</b>
<b>B) Privately Placed / Unlisted</b>					
<i>i) Non-Convertible Debentures**</i>					
8.00% Mahindra Vehicle Manufacturers Ltd -M- 14-Mar-17	INE244N07016	AAA	37	414.62	17.74
<b>Total</b>				<b>414.62</b>	<b>17.74</b>
<b>II. Money Market Instruments</b>					
<i>i) Reverse Repo and CBLO</i>					
				25.20	1.08
<b>Total</b>				<b>25.20</b>	<b>1.08</b>
<b>III. Cash &amp; Cash Equivalents</b>					
<i>IV. Other Receivables / (Payables)</i>					
				97.27	4.16
				(0.84)	(0.04)
<b>Net Assets</b>				<b>2,336.91</b>	<b>100.00</b>

**Principal Pnb Fixed Maturity Plan - Series B17**

(A close-ended Debt Scheme offering Fixed Maturity Plan having maturity of 729 Days)

Name of Instrument	ISIN	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
<b>I. Debt Instruments</b>					
<b>A) Listed / Awaiting listing on the stock exchanges</b>					
<i>i) Non-Convertible Debentures**</i>					
9.28% Rural Electrification Corp. Ltd -M- 15-Feb-17	INE020B08658	AAA	47	477.55	18.73
9.33% Power Finance Corporation Ltd -M- 17-Feb-17	INE134E08E02	AAA	47	477.54	18.73
9.2467% Cholamandalam Investment and Finance Company Ltd -M- 15-May-17	INE121A07J0V	AA	40	401.20	15.74
9.43% Piramal Enterprises Ltd -M- 17-Mar-17	INE140A08S43	AA	35	351.22	13.78
8.810% L&T Finance Ltd -M- 15-May-17	INE523E07B08	AA+	9	225.15	8.83
9.33% Power Grid Corp. of India Ltd -M- 15-Dec-16	INE752E07E99	AAA	12	152.41	5.98
<b>Total</b>				<b>2,085.07</b>	<b>81.79</b>
<i>ii) Zero Coupon Bonds**</i>					
0% Dewan Housing Finance Corp. Ltd -M- 15-May-17	INE202B07E11	AAA	35	360.50	14.14
<b>Total</b>				<b>360.50</b>	<b>14.14</b>
<b>II. Money Market Instruments</b>					
<i>i) Reverse Repo and CBLO</i>					
				0.70	0.03
<b>Total</b>				<b>0.70</b>	<b>0.03</b>
<b>III. Cash &amp; Cash Equivalents</b>					
<i>IV. Other Receivables / (Payables)</i>					
				103.50	4.06
				(0.47)	(0.02)
<b>Net Assets</b>				<b>2,549.30</b>	<b>100.00</b>

**Principal Debt Opportunities Fund - Corporate Bond Plan**

(An open-ended Debt Scheme)

Name of Instrument	ISIN	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
<b>I. Debt Instruments</b>					
<b>A) Listed / Awaiting listing on the stock exchanges</b>					
<i>i) Non-Convertible Debentures**</i>					
7.65% Rural Electrification Corporation Ltd -M- 31-Jan-16	INE020B07CK0	AAA	5	49.96	0.83
<b>Total</b>				<b>49.96</b>	<b>0.83</b>
<b>II. Money Market Instruments</b>					
<i>i) Commercial Paper**</i>					
KEC International Ltd -M- 18-Nov-15	INE389H14876	A1	340	1,680.39	27.87
HCL Infosystems Ltd -M- 13-Oct-15	INE236A14EY7	A1	320	1,594.90	26.45
Phillips Carbon Black Ltd -M- 27-Oct-15	INE602A14257	A1	320	1,590.61	26.38
MAS Financial Services Ltd -M- 02-Nov-15	INE348L14163	A1	200	991.50	16.44
<b>Total</b>				<b>5,857.40</b>	<b>97.14</b>
<i>ii) Treasury Bill</i>					
364 Day T-Bill -M- 26-Nov-15	IN002014Z181	Sovereign	17,000	16.81	0.28
<b>Total</b>				<b>16.81</b>	<b>0.28</b>
<i>iii) Reverse Repo and CBLO</i>					
				103.79	1.72
<b>Total</b>				<b>103.79</b>	<b>1.72</b>
<b>III. Cash &amp; Cash Equivalents</b>					
<i>IV. Other Receivables / (Payables)</i>					
				2.67	0.04
				(1.04)	(0.01)
<b>Net Assets</b>				<b>6,029.59</b>	<b>100.00</b>

**Principal Debt Opportunities Fund - Conservative Plan (An open-ended Debt Scheme)**

Name of Instrument	ISIN	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
<b>I. Debt Instruments</b>					
<b>A) Listed / Awaiting listing on the stock exchanges</b>					
<i>i) Non-Convertible Debentures**</i>					
9.84% Tata Motors Ltd -M- 10-Mar-17	INE155A08100	AA	506	5,154.99	10.93
8.28% Power Finance Corporation Ltd -M- 04-Sep-18	INE134E08H09	AAA	250	2,506.75	5.31
9.70% Music Broadcast Private Ltd -M- 04-Mar-17	INE91907013	AA	200	2,006.08	4.25
8.60% LIC Housing Finance Ltd -M- 29-Jul-20	INE115A07H04	AAA	150	1,507.21	3.20
10.60% Shriram City Union Finance Ltd -M- 06-Oct-15	INE722A07414	AA	100,000	1,000.28	2.12
9.6326% Cholamandalam Investment and Finance Company Ltd -M- 21-Mar-16	INE121A07J07	AA	50	502.96	1.07
11.84% Asirvad Microfinance Private Ltd -M- 24-Jan-17	INE516Q07085	A	8,471	423.97	0.90
11.84% Grama Vidyal Microfinance Ltd -M- 24-Jan-17	INE881K07078	A	8,471	423.97	0.90
11.84% Future Financial Services Ltd -M- 24-Jan-17	INE801M07056	A	8,471	423.97	0.90
11.84% Arohan Financial Services Pvt. Ltd -M- 24-Jan-17	INE808K07097	A	6,353	317.97	0.67
11.84% Sonata Finance Private Ltd -M- 24-Jan-17	INE509M07113	A	4,234	211.91	0.45
11.00% Cholamandalam Investment and Finance Company Ltd -M- 19-Sep-16	INE121A07H06	AA	20	203.63	0.43
9.45% LIC Housing Finance Ltd -M- 14-Oct-16	INE115A07EM2	AAA	10	100.99	0.21
7.60% Power Finance Corporation Ltd -M- 30-Dec-15	INE134E08E83	AAA	7	69.98	0.15
9.28% Rural Electrification Corp. Ltd -M- 15-Feb-17	INE020B08658	AAA	3	30.48	0.06
9.33% Power Finance Corporation Ltd -M- 17-Feb-17	INE134E08E02	AAA	3	30.48	0.06
9.33% Power Grid Corp. of India Ltd -M- 15-Dec-16	INE752E07E99	AAA	2	25.40	0.05
<b>Total</b>					



**Notes :**

- 1) No NPA's were provided for any of the Schemes during the half year.
- 2) Total value and percentage of illiquid shares to NAV is NIL except for Principal Growth Fund, Principal Tax Savings Fund, Principal Dividend Yield Fund and Principal Personal Tax Saver Fund. The value of illiquid securities and percentage of the same to the NAV in aforementioned schemes have been mentioned in their respective portfolio statement and the percentage of the same to the NAV is less than 0.01% of the AUM of the said Schemes.
- 3) For NAV at the beginning of the period please refer Annexure I of the Half Yearly Portfolio Statement for the period ended September 30, 2015, provided herein.
- 4) For dividend declared during the half year ended please refer Annexure I of the Half Yearly Portfolio Statement for the period ended September 30, 2015, provided herein.
- 5) For NAV at the end of the period please refer Annexure I of the Half Yearly Portfolio Statement for the period ended September 30, 2015, provided herein.
- 6) Total outstanding exposure in derivative instruments as on September 30, 2015 is as under:

Name of the Scheme	Amount (₹ Lakh)
Principal Dividend Yield Fund	73.30
Principal Emerging Bluechip Fund	180.52
Principal Smart Equity Fund	(2,732.66)
Principal Debt Savings Fund - MIP	47.88

- Pursuant to SEBI Circular no. CIR/IMD/DF/11/2010 dated August 18, 2010, the disclosure for investments in derivative instruments has been given as per Annexure II.
- 7) Total investments in foreign securities at the end of the period in Principal Global Opportunities fund is ₹ 1,481.73 Lacs (93.67% of the scheme's assets). There are no investments in foreign securities/ ADRs/ GDRs at the end of the period in any other schemes of Principal Mutual Fund.

- 8) Portfolio Turnover Ratio & Average Maturity of portfolio is as follows:

Name of the Scheme	Portfolio Turnover Ratio	Average Maturity (Days* / Yrs)
Principal Growth Fund	0.73	--
Principal Index Fund - Nifty	0.31	--
Principal Large Cap Fund	0.75	--
Principal Dividend Yield Fund	0.45	--
Principal Emerging Bluechip Fund	0.63	--
Principal Personal Tax Saver Fund	0.74	--
Principal SMART Equity Fund	0.79	--
Principal Tax Savings Fund	0.80	--
Principal Debt Opportunities Fund - Conservative Plan	--	252 Days
Principal Debt Opportunities Fund - Corporate Bond Plan	--	31 Days
Principal Government Securities Fund	--	14.57 Yrs
Principal Income Fund - Long Term Plan	--	13.99 Yrs
Principal Bank CD Fund	--	0.43 Yrs
Principal Income Fund - Short Term Plan	--	2.79 Yrs
Principal Debt Savings Fund - MIP	--	20.07 Yrs

Scheme	Original Maturity Date	Revised Maturity Date
Principal Debt Savings Fund - Retail Plan	--	5.53 Yrs
Principal Balanced Fund	0.57	16.55 Yrs
Principal Cash Management Fund	--	45 Days
Principal Retail Money Manager Fund	--	23 Days
Principal Index Fund - Midcap	0.65	--

\* In respect of Floating Rate Instruments, the average maturity has been computed after considering the next reset date as the maturity date.

- 9) Principal Pnb Fixed Maturity Plan - Series B10; Principal Pnb Fixed Maturity Plan - Series B13; Principal Pnb Fixed Maturity Plan - Series B14 and Principal Pnb Fixed Maturity Plan - Series B17 has been rolled over (extension of maturity date) after obtaining consent of unit holders in writing and complying with the provisions laid under Regulation 33(4) of the Regulations. Original maturity date and revised maturity dates are as below:

Scheme	Original Maturity Date	Revised Maturity Date
Principal Pnb Fixed Maturity Plan - Series B10	6-Apr-15	6-Apr-17
Principal Pnb Fixed Maturity Plan - Series B13	6-Apr-15	6-Apr-17
Principal Pnb Fixed Maturity Plan - Series B14	6-Apr-15	6-Apr-17
Principal Pnb Fixed Maturity Plan - Series B17	18-May-15	15-May-17

- 10) Industry classification as released by Association of Mutual Funds in India (AMFI).
- 11) All the information provided is as on September 30, 2015 unless specified otherwise.

**Annexure I :-**

Sr. No.	Name of the Schemes	Principal Growth Fund				Principal Large Cap Fund				Principal SMART Equity Fund				Principal Emerging Bluechip Fund				Principal Personal Tax Saver Fund	
		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan	Direct Plan
		Half-Yearly Dividend	Growth	Half-Yearly Dividend	Growth	Half-Yearly Dividend	Growth	Half-Yearly Dividend	Growth	Half-Yearly Dividend	Growth	Half-Yearly Dividend	Growth	Half-Yearly Dividend	Growth	Half-Yearly Dividend	Growth	Growth	Growth
1.	NAV at the beginning of the year/period (₹ per unit)	37.94	94.77	40.84	96.03	28.42	46.92	28.71	47.55	15.27	16.60	16.36	16.89	46.38	68.60	53.02	69.64	154.76	156.73
2.	NAV at the end of the year/period (₹ per Unit)	36.92	92.21	39.87	93.75	27.43	45.29	27.82	46.06	15.01	16.59	16.18	16.95	43.55	67.21	52.21	68.57	149.40	151.79
3.	a. Net Dividend - Corporate (₹ Per Unit)	--	--	--	--	--	--	--	--	0.25	--	0.25	--	1.92	--	--	--	--	--
	b. Net Dividend - Non-Corporate (₹ Per Unit)	--	--	--	--	--	--	--	--	0.25	--	0.25	--	1.92	--	--	--	--	--

Sr. No.	Name of the Schemes	Principal Dividend Yield Fund				Principal Index Fund - Nifty				Principal Index Fund - Midcap				Principal Balanced Fund				Principal Tax Savings Fund	
		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan	Direct Plan
		Half-Yearly Dividend	Growth	Half-Yearly Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Half-Yearly Dividend	Growth	Half-Yearly Dividend	Growth	Growth	Growth
1.	NAV at the beginning of the year/period (₹ per unit)	22.72	35.01	23.49	35.45	34.2912	58.3461	34.6385	58.9401	14.2896	14.2896	14.3564	14.3564	23.05	50.29	25.52	51.23	139.81	141.36
2.	NAV at the end of the year/period (₹ per Unit)	22.22	34.24	23.05	34.78	32.2001	54.7881	32.6077	55.4844	14.3240	14.3240	14.4269	14.4269	22.80	49.75	25.34	50.87	135.97	137.86
3.	a. Net Dividend - Corporate (₹ Per Unit)	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--
	b. Net Dividend - Non-Corporate (₹ Per Unit)	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Sr. No.	Name of the Schemes	Principal Global Opportunities Fund				Principal Bank CD Fund				Principal Debt Opportunities Fund - Corporate Bond Plan				Principal Debt Savings Fund - Monthly Income Plan					
		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan			
		Dividend	Growth	Dividend	Growth	Growth	Monthly Dividend	Growth	Monthly Dividend	Growth	Monthly Dividend	Growth	Monthly Dividend	Quarterly Dividend	Growth	Monthly Dividend	Quarterly Dividend	Growth	
1.	NAV at the beginning of the year/period (₹ per unit)	22.6089	22.6089	22.8006	22.8006	1791.0710	1130.3249	1805.8067	1129.7711	2148.6987	1237.9183	2171.9958	1240.9212	10.8943	12.2455	28.3429	11.1484	12.5085	28.8364
2.	NAV at the end of the year/period (₹ per Unit)	19.9598	19.9598	20.1744	20.1744	1866.3192	1127.6940	1886.3844	1128.8868	2245.5274	1237.3251	2271.5774	1240.3062	11.0104	12.4041	28.7790	11.2612	12.6725	29.3902
3.	a. Net Dividend - Corporate (₹ Per Unit)	--	--	--	--	--	32.86402569	--	33.61824143	--	36.99826017	--	37.71841542	0.03404022	0.01967345	--	0.06673067	0.05032120	--
	b. Net Dividend - Non-Corporate (₹ Per Unit)	--	--	--	--	--	35.47188836	--	36.28595345	--	39.93418720	--	40.71148899	0.03674142	0.02123460	--	0.07202596	0.05431434	--

Sr. No.	Name of the Schemes	Principal Debt Opportunities Fund - Conservative Plan				Principal Income Fund - Short Term Plan				Principal Income Fund - Long Term Plan									
		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan							
		Growth	Daily Dividend	Weekly Dividend	Monthly Dividend	Growth	Daily Dividend	Weekly Dividend	Monthly Dividend	Monthly Dividend	Growth	Monthly Dividend	Annual Dividend	Quarterly Dividend	Growth	Annual Dividend	Quarterly Dividend	Growth	
1.	NAV at the beginning of the year/period (₹ per unit)	2201.2231	1002.1684	1003.4539	1142.6873	2236.2072	1002.2530	1005.5740	1144.8567	12.8580	24.4889	12.8923	24.8648	12.2225	13.0664	23.9818	12.6060	13.1230	24.3914
2.	NAV at the end of the year/period (₹ per Unit)	2289.4708	1002.8269	1004.2331	1142.2636	2336.9339	1002.7864	1006.2971	1144.4030	12.9012	25.4682	12.9353	25.9550	11.3695	13.2446	24.9312	11.6904	13.1610	25.4497
3.	a. Net Dividend - Corporate (₹ Per Unit)	--	25.93980837	25.84299209	30.36690311	--	29.20128554	29.08460844	34.09368308	0.30875268	--	0.34180943	--	0.88369914	0.22410332	--	0.96741167	0.25669165	--
	b. Net Dividend - Non-Corporate (₹ Per Unit)	--	27.99821286	27.89371391	32.77661132	--	31.51849834	31.39256257	36.79912288	0.33325316	--	0.36893306	--	0.95382342	0.24188661	--	1.04417880	0.27706093	--

Sr. No.	Name of the Schemes	Principal Cash Management Fund				Principal Pnb Fixed Maturity Plan - Series B5				Principal Government Securities Fund									
		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan							
		Daily Dividend	Weekly Dividend	Monthly Dividend	Growth	Daily Dividend	Weekly Dividend	Monthly Dividend	Growth	Dividend	Growth	Dividend	Growth	Quarterly Dividend	Annual Dividend	Growth	Quarterly Dividend	Annual Dividend	Growth
1.	NAV at the beginning of the year/period (₹ per unit)	1000.3189	1008.0186	1024.9793	1358.4634	1000.3870	1008.7446	1025.0101	1361.2231	N.A.	11.6966	N.A.	11.7063	11.8998	14.4287	27.9126	11.9335	14.8969	28.2232
2.	NAV at the end of the year/period (₹ per Unit)	1000.4403	1007.8552	1024.1697	1414.8071	1000.3870	1008.4015	1024.1985	1418.1777	N.A.	12.2085	N.A.	12.2217	12.0830	13.2992	29.0112	12.1197	13.7002	29.4076
3.	a. Net Dividend - Corporate (₹ Per Unit)	27.12857304	27.49942323	28.46339667	--	27.44246313	27.87352731	28.70724036	--	--	--	--	0.18830300	1.12091809	--	0.20898019	1.20309154	--	
	b. Net Dividend - Non-Corporate (₹ Per Unit)	29.28130969	29.68158803	30.72205574	--	29.62010798	30.08537840	30.98524918	--	--	--	--	0.20324543	1.20986643	--	0.22556342	1.29856060	--	

Sr. No.	Name of the Schemes	Principal Retail Money Manager Fund				Principal Debt Savings Fund - Retail Plan				Principal Pnb Fixed Maturity Plan - Series B2		Principal Pnb Fixed Maturity Plan - Series B10							
		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan							
		Growth	Daily Dividend	Weekly Dividend	Monthly Dividend	Growth	Daily Dividend	Weekly Dividend	Monthly Dividend	Growth	Quarterly Dividend	Growth	Dividend	Growth	Dividend	Growth			
1.	NAV at the beginning of the year/period (₹ per unit)	1579.6875	1005.4300	1014.6400	1061.4769	1583.0909	N.A.	N.A.	1061.5310	24.4482	10.2798	11.9203	24.8988	11.9932	12.2875	12.2875	11.1620	11.1620	11.2340
2.	NAV at the end of the year/period (₹ per Unit)	1647.7670	1005.4300	1014.3225	1060.2832	1651.8950	N.A.	N.A.	1060.3351	25.4098	10.3333	12.0703	25.9754	12.1478	10.0000	12.7100	N.A.	11.6093	11.7023
3.	a. Net Dividend - Corporate (₹ Per Unit)	--	28.49554135	28.85840180	30.82280513	--	--	--	31.07467881	--	0.22979122	0.21011777	--	0.23949411	--	--	0.78672446	--	
	b. Net Dividend - Non-Corporate (₹ Per Unit)	--	30.75675119	31.14840578	33.26869061	--	--	--	33.54055123	--	0.24802588	0.22679128	--	0.25849872	1.95731735	--	0.84915350	--	

Sr. No.	Name of the Schemes	Principal Pnb Fixed Maturity Plan - Series B13				Principal Pnb Fixed Maturity Plan - Series B14				Principal Pnb Fixed Maturity Plan - Series B15				Principal Pnb Fixed Maturity Plan - Series B16				Principal Pnb Fixed Maturity Plan - Series B17			
		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan	
		Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth
1.	NAV at the beginning of the year/period (₹ per unit)	10.9978	10.9978	11.0536	11.0536	10.9828	10.9828	11.0338	11.0338	10.9429	10.9429	10.9595	10.9880	10.9880	11.0485	11.0485	10.7996	10.8044	10.8044		
2.	NAV at the end of the year/period (₹ per Unit)	N.A.	11.4407	N.A.	11.5155	10.3891	11.4249	N.A.	11.4954	10.0000	10.9570	10.9739	11.4430	11.4430	11.5405	11.5405	11.2618	10.3155	11.2710		
3.	a. Net Dividend - Corporate (₹ Per Unit)	0.67730494	--	0.71475490	--	0.66715337	--	0.70186755	--	0.64041973	--	--	--	--	--	--	--	0.61983531	--		
	b. Net Dividend - Non-Corporate (₹ Per Unit)	0.73105119	--	0.77147292	--	0.72009407	--	0.75756292	--	0.69123903	--	--	--	--	--	--	--	0.66902116	--		

Note : -- indicates NIL.

**Annexure II :-**

**Disclosure for investments in derivative instruments**

**Hedging Positions through Futures as on 30<sup>th</sup> September, 2015 :**

Scheme	Underlying	Long / Short	Futures Price when purchased	Current price of the contract	Margin maintained in ₹ Lakhs	Total exposure due to futures (Hedging positions) as a %age to net assets
Principal Smart Equity Fund	Bharti Airtel Ltd Oct 2015	Short	342.48	339.75	49.37	0.02%
Principal Smart Equity Fund	Coal India Ltd Oct 2015	Short	329.97	328.35	15.18	0.00%
Principal Smart Equity Fund	Housing Development Finance Corp. Ltd Oct 2015	Short	1,177.19	1,220.55	28.91	0.08%
Principal Smart Equity Fund	HDFC Bank Ltd Oct 2015	Short	1,043.12	1,076.20	73.03	0.18%
Principal Smart Equity Fund	Hindustan Petroleum Corporation Ltd Oct 2015	Short	784.35	777.75	20.36	0.01%
Principal Smart Equity Fund	Maruti					