

Half Yearly Portfolio Statement of the Schemes of Principal Mutual Fund as on March 31, 2016

(Pursuant to Regulation 59A of SEBI (Mutual Funds) Regulations, 1996)

Principal Large Cap Fund (An open-ended Equity Scheme)

Name of Instrument	ISIN	Industry	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Equity & Equity Related Instruments					
A) Listed / awaiting listing on the stock exchanges					
<i>Equity Shares</i>					
Infosys Ltd	INE009A01021	Software	191,578	2,333.99	8.39
HDFC Bank Ltd	INE040A01026	Banks	173,842	1,862.11	6.70
Tata Motors Ltd	INE155A01022	Auto	403,551	1,560.13	5.61
ICICI Bank Ltd	INE090A01021	Banks	477,800	1,130.71	4.07
Hindustan Petroleum Corporation Ltd	INE094A01015	Petroleum Products	140,726	1,108.57	3.99
ITC Ltd	INE154A01025	Consumer Non Durables	317,659	1,042.72	3.75
Housing Development Finance Corp. Ltd	INE001A01036	Finance	91,457	1,011.15	3.64
Div's Laboratories Ltd	INE361B01024	Pharmaceuticals	97,956	967.07	3.48
Maruti Suzuki India Ltd	INE585B01010	Auto	25,139	934.24	3.36
Shree Cements Ltd	INE070A01015	Cement	6,756	839.13	3.02
Abbott India Ltd	INE358A01014	Pharmaceuticals	16,908	795.56	2.86
HCL Technologies Ltd	INE860A01027	Software	97,577	794.37	2.86
Britannia Industries Ltd	INE216A01022	Consumer Non Durables	27,767	745.96	2.68
UltraTech Cement Ltd	INE481G01011	Cement	21,408	691.21	2.49
Tata Communications Ltd	INE151A01013	Telecom - Services	179,795	678.91	2.44
Sun Pharmaceuticals Industries Ltd	INE044A01036	Pharmaceuticals	77,087	632.11	2.27
IndusInd Bank Ltd	INE095A01012	Banks	61,260	592.75	2.13
Aurobindo Pharma Ltd	INE406A01037	Pharmaceuticals	78,702	586.25	2.11
Reliance Industries Ltd	INE002A01018	Petroleum Products	54,628	570.97	2.05
Larsen & Toubro Ltd	INE018A01029	Construction Project	43,619	530.71	1.91
Kotak Mahindra Bank Ltd	INE237A01028	Banks	76,256	519.04	1.87
Tata Consultancy Services Ltd	INE467B01029	Software	18,211	458.97	1.65
State Bank of India	INE062A01020	Banks	229,943	446.66	1.61
Adani Ports and Special Economic Zone Ltd	INE742F01042	Transportation	164,565	407.63	1.47
Bharat Petroleum Corporation Ltd	INE029A01011	Petroleum Products	43,269	391.28	1.41
Colgate Palmolive (India) Ltd	INE259A01022	Consumer Non Durables	43,487	361.31	1.30
Natco Pharma Ltd	INE987B01026	Pharmaceuticals	83,713	345.48	1.24
Axis Bank Ltd	INE238A01034	Banks	71,265	316.52	1.14
Power Grid Corporation of India Ltd	INE752E01010	Power	225,630	313.85	1.13
Motherson Sumi Systems Ltd	INE775A01035	Auto Ancillaries	106,216	283.01	1.02
Tata Motors Ltd (A-DVR)	IN9155A01020	Auto	93,360	269.20	0.97
National Aluminium Company Ltd	INE139A01034	Non - Ferrous Metals	669,207	264.34	0.95
Mahindra Holidays & Resorts India Ltd	INE998010104	Hotels, Resorts & Other Recreational Activities	66,708	257.86	0.93
The Indian Hotels Company Ltd	INE053A01029	Hotels, Resorts & Other Recreational Activities	245,069	242.50	0.87
Dish TV India Ltd	INE836F01026	Media & Entertainment	259,776	225.23	0.81
Aditya Birla Nuvo Ltd	INE069A01017	Services	24,273	199.67	0.71
The Ramco Cements Ltd	INE331A01037	Cement	33,141	132.51	0.47
Bharat Electronics Ltd	INE263A01016	Industrial Capital Goods	10,626	130.09	0.46
Alstom T&D India Ltd	INE200A01026	Industrial Capital Goods	26,400	114.20	0.40
Cholamandalam Investment and Finance Company Ltd	INE121A01016	Finance	3,211	23.16	0.07
Total				25,111.15	90.29
II. Money Market Instruments					
<i>Reverse Repo and CBL</i>					
				34.64	0.12
Total				34.64	0.12
III. Investment Funds/Mutual Funds					
Principal Cash Management Fund - Direct Plan - Growth Option	INF173K01GU0		179,828	2,652.03	9.54
Total				2,652.03	9.54
IV. Cash & Cash Equivalents					
				166.36	0.60
V. Other Receivables / (Payables)					
				(153.66)	(0.55)
Net Assets				27,810.52	100.00

Principal Emerging Bluechip Fund (An open-ended Equity Scheme)

Name of Instrument	ISIN	Industry	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Equity & Equity Related Instruments					
A) Listed / awaiting listing on the stock exchanges					
<i>Equity Shares</i>					
Eicher Motors Ltd	INE066A01013	Auto	10,116	1,940.31	3.94
IndusInd Bank Ltd	INE095A01012	Banks	156,900	1,518.16	3.09
Hindustan Petroleum Corporation Ltd	INE094A01015	Petroleum Products	189,708	1,494.42	3.04
Amara Raja Batteries Ltd	INE885A01032	Auto Ancillaries	165,563	1,452.98	2.95
Britannia Industries Ltd	INE216A01022	Consumer Non Durables	53,718	1,443.13	2.93
Aurobindo Pharma Ltd	INE406A01037	Pharmaceuticals	185,700	1,383.28	2.81
Shree Cements Ltd	INE070A01015	Cement	10,668	1,325.02	2.69
Infosys Ltd	INE009A01021	Software	97,800	1,191.50	2.42
Div's Laboratories Ltd	INE361B01024	Pharmaceuticals	118,860	1,173.45	2.39
Sadbhav Engineering Ltd	INE226H01026	Construction Project	390,900	1,164.88	2.37
Motherson Sumi Systems Ltd	INE775A01035	Auto Ancillaries	404,700	1,078.32	2.19
MRF Ltd	INE883A01011	Auto Ancillaries	2,796	1,070.78	2.18
Bajaj Finance Ltd	INE296A01016	Finance	15,450	1,069.78	2.17
Kotak Mahindra Bank Ltd	INE237A01028	Banks	154,200	1,049.56	2.13
Symphony Ltd	INE225D01027	Consumer Durables	43,848	1,042.95	2.12
ICICI Bank Ltd	INE090A01021	Banks	411,000	972.63	1.98
SRF Ltd	INE647A01010	Textile Products	72,850	953.21	1.94
Torrent Pharmaceuticals Ltd	INE685A01028	Pharmaceuticals	70,500	944.88	1.92
Gateway Distriparks Ltd	INE652F01015	Transportation	333,600	934.41	1.90
HDFC Bank Ltd	INE040A01026	Banks	83,508	894.50	1.82
Navin Fluorine International Ltd	INE493G01018	Chemicals	843.47	843.47	1.71
Triveni Engineering & Industries Ltd	INE256C01024	Consumer Non Durables	1,546,800	780.36	1.59
The Ramco Cements Ltd	INE331A01037	Cement	193,188	772.46	1.57
Gujarat State Petronet Ltd	INE246F01010	Gas	540,600	766.03	1.56
Moli-Tek Packaging Ltd	INE893J01029	Industrial Products	516,000	723.69	1.47
AIA Engineering Ltd	INE212H01026	Industrial Products	76,800	721.77	1.47
Glennmark Pharmaceuticals Ltd	INE335A01035	Pharmaceuticals	85,500	679.77	1.38
City Union Bank Ltd	INE491A01021	Banks	693,000	656.96	1.34
Bata India Ltd	INE176A01028	Consumer Durables	128,520	653.65	1.33
Kajaria Ceramics Ltd	INE127B01028	Construction	67,800	649.42	1.32
Natco Pharma Ltd	INE987B01026	Pharmaceuticals	156,180	644.55	1.31
National Buildings Construction Corp. Ltd	INE095N01015	Construction	68,250	643.56	1.31
Vardhman Textiles Ltd	INE183A01016	Textiles - Cotton	82,500	638.14	1.30
Orient Cement Ltd	INE876N01018	Cement	417,700	636.27	1.29
Voltaas Ltd	INE226A01021	Construction Project	225,600	627.39	1.28
Indian Oil Corporation Ltd	INE242A01010	Petroleum Products	159,000	625.82	1.27
Tata Chemicals Ltd	INE092A01019	Chemicals	163,980	609.43	1.24
State Bank of India	INE062A01020	Banks	311,700	605.48	1.23
UPL Ltd	INE628A01036	Pesticides	126,000	601.90	1.22
Gabriel India Ltd	INE524A01029	Auto Ancillaries	648,000	577.37	1.17
Atul Ltd	INE100A01010	Chemicals	37,608	577.02	1.17
Hindustan Zinc Ltd	INE267A01025	Non - Ferrous Metals	302,400	555.21	1.13
KEC International Ltd	INE389H01022	Construction Project	450,000	550.35	1.12
Finolex Industries Ltd	INE183A01016	Industrial Products	150,000	546.23	1.11
Dish TV India Ltd	INE836F01026	Media & Entertainment	615,108	533.30	1.08
Aditya Birla Nuvo Ltd	INE069A01017	Services	64,800	533.04	1.08
SKS Microfinance Ltd	INE180K01011	Finance	96,000	524.02	1.07
Tata Communications Ltd	INE151A01013	Telecom - Services	138,108	521.50	1.06
Navkar Corporation Ltd	INE347G01014	Gas	204,000	511.53	1.04
Hindalco Industries Ltd	INE038A01020	Non - Ferrous Metals	567,000	498.68	1.01
Persistent Systems Ltd	INE262H01013	Software	64,800	493.87	1.00
Transport Corporation of India Ltd	INE688A01022	Transportation	170,765	480.79	0.98
Ramkrishna Forgings Ltd	INE399G01015	Industrial Products	138,900	477.95	0.97
Bharat Forge Ltd	INE465A01025	Industrial Products	54,990	472.26	0.96
FAG Bearings India Ltd	INE513A01014	Industrial Products	12,005	455.93	0.93
Pidilite Industries Ltd	INE318A01026	Chemicals	71,100	454.35	0.92
Finolex Cables Ltd	INE235A01022	Industrial Products	157,299	442.32	0.90
Dynatec Technologies Ltd	INE221B01012	Auto Ancillaries	24,780	430.56	0.88
PNC Infratech Ltd	INE195J01011	Construction	78,300	413.89	0.84
Cyient Ltd	INE136B01020	Software	89,638	382.49	0.78
Texmaco Rail & Engineering Ltd	INE521L01012	Industrial Capital Goods	351,000	367.50	0.75
Navkar Corporation Ltd	INE278M01019	Transportation	132,000	240.24	0.49
Total				48,018.98	97.61
B) Derivatives					
Indraprastha Gas Ltd April 2016		Stock Futures	82,500	472.44	0.96
ICICI Bank Ltd April 2016		Stock Futures	25,500	60.63	0.12
Total				533.06	1.08
II. Money Market Instruments					
<i>Reverse Repo and CBL</i>					
				272.83	0.55
Total				272.83	0.55
III. Investment Funds/Mutual Funds					
Principal Cash Management Fund - Direct Plan - Growth Option	INF173K01GU0		67,860	1,000.77	2.03
Principal Index Fund - Midcap - Direct Plan - Growth	INF173K01KP2		510,786	72.62	0.15
Total				1,073.39	2.18
IV. Cash & Cash Equivalents					
				314.35	0.64
V. Other Receivables / (Payables)					
				(1,014.19)	(2.06)
Net Assets				49,198.43	100.00

Principal Growth Fund (An open-ended Equity Scheme)

Name of Instrument	ISIN	Industry	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Equity & Equity Related Instruments					
A) Listed / awaiting listing on the stock exchanges					
<i>Equity Shares</i>					
Infosys Ltd	INE009A01021	Software	168,051	2,047.37	5.89
HDFC Bank Ltd	INE040A01026	Banks	177,956	1,906.18	5.49
ICICI Bank Ltd	INE090A01021	Banks	618,965	1,464.78	4.21
ITC Ltd	INE154A01025	Consumer Non Durables	417,734	1,371.21	3.95
Reliance Industries Ltd	INE002A01018	Petroleum Products	126,251	1,319.58	3.80
Tata Motors Ltd	INE155A01022	Auto	281,778	1,088.35	3.13
Tata Communications Ltd	INE151A01013	Telecom - Services	272,418	1,026.65	2.96
Aurobindo Pharma Ltd	INE406A01037	Pharmaceuticals	137,706	1,025.77	2.95
HCL Technologies Ltd	INE860A01027	Software	103,788	861.22	2.48
State Bank of India	INE062A01020	Banks	395,759	766.76	2.21
Century Textiles & Industries Ltd	INE055A01016	Cement	142,272	753.61	2.17
Housing Development Finance Corp. Ltd	INE001A01036	Finance	62,303	688.82	1.98
The Ramco Cements Ltd	INE331A01037	Cement	165,982	663.68	1.91
Axis Bank Ltd	INE238A01034	Banks	147,914	656.96	1.89
Mahindra Holidays & Resorts India Ltd	INE998010104	Hotels, Resorts & Other Recreational Activities	168,444	651.12	1.87
Dewan Housing Finance Corporation Ltd	INE202B01012	Finance	319,618	627.57	1.81
UltraTech Cement Ltd	INE481G01011	Cement	19,331	624.15	1.80
Britannia Industries Ltd	INE216A01022	Consumer Non Durables	21,639	581.33	1.67
Escorts Ltd	INE042A01010	Auto	410,173	571.17	1.64
SRF Ltd	INE647A01010	Textile Products	40,596	531.18	1.53
JK Cement Ltd	INE280A01027	Cement	73,995	499.84	1.44
Abbott India Ltd	INE358A01014	Pharmaceuticals	10,546	496.21	1.43
Sun Pharmaceuticals Industries Ltd	INE044A01036	Pharmaceuticals	59,853	490.79	1.41
Bank of Baroda	INE175A01038	Industrial Products	811,337	489.24	1.41

Half Yearly Portfolio Statement of the Schemes of Principal Mutual Fund as on March 31, 2016 (Contd.)

Principal Index Fund - Midcap (An open-ended Index Scheme)

Name of Instrument	ISIN	Industry	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Equity & Equity Related Instruments					
A) Listed / awaiting listing on the stock exchanges					
<i>Equity Shares</i>					
Bajaj Finance Ltd	INE296A01016	Finance	278	19.25	2.34
JSW Steel Ltd	INE019A01020	Ferrous Metals	1,408	18.07	2.20
Marico Ltd	INE196A01026	Consumer Non Durables	6,833	16.69	2.03
Shree Cements Ltd	INE070A01015	Cement	115	14.28	1.74
Bajaj Finserv Ltd	INE918A01018	Finance	822	14.07	1.71
Container Corporation of India Ltd	INE11A01017	Transportation	1,110	13.80	1.68
Apollo Hospitals Enterprise Ltd	INE437A01024	Healthcare Services	1,013	13.47	1.64
Siemens Ltd	INE003A01024	Industrial Capital Goods	1,179	12.97	1.58
MRF Ltd	INE883A01011	Auto Ancillaries	32	12.25	1.49
Pidilite Industries Ltd	INE318A01026	Chemicals	2,036	12.00	1.46
Rajesh Exports Ltd	INE343B01030	Consumer Durables	1,798	11.17	1.36
Piramal Enterprises Ltd	INE140A01024	Pharmaceuticals	1,074	11.13	1.35
Cadila Healthcare Ltd	INE010B01027	Pharmaceuticals	3,388	10.74	1.31
Oracle Financial Services Software Ltd	INE881D01027	Software	293	10.34	1.26
NMDC Ltd	INE584A01023	Minerals/Mining	10,499	10.29	1.25
Havells India Ltd	INE176B01034	Consumer Durables	3,143	10.10	1.23
Petronet LNG Ltd	INE347G01014	Gas	3,973	9.96	1.21
MindTree Ltd	INE0180I0117	Software	1,510	9.86	1.20
Bharat Electronics Ltd	INE263A01016	Industrial Capital Goods	795	9.73	1.18
Reliance Infrastructure Ltd	INE036A01016	Power	1,810	9.66	1.17
Power Finance Corporation Ltd	INE134E01011	Finance	5,593	9.58	1.17
Amara Raja Batteries Ltd	INE885A01032	Auto Ancillaries	1,085	9.52	1.16
GlaxoSmithKline Consumer Healthcare Ltd	INE264A01014	Consumer Non Durables	156	9.42	1.15
ABB India Ltd	INE117A01022	Industrial Capital Goods	701	8.99	1.09
Strides Shasun Ltd	INE399A01011	Pharmaceuticals	816	8.85	1.08
Mahindra & Mahindra Financial Services Ltd	INE774D01024	Finance	3,615	8.82	1.07
TVS Motor Company Ltd	INE498A01023	Auto	2,705	8.73	1.06
Page Industries Ltd	INE761H01022	Textile Products	72	8.72	1.06
Torrent Pharmaceuticals Ltd	INE685A01028	Pharmaceuticals	650	8.71	1.06
Tata Chemicals Ltd	INE092A01019	Chemicals	2,328	8.70	1.06
Volvas Ltd	INE226A01021	Construction Project	3,066	8.53	1.04
Exide Industries Ltd	INE302A01020	Auto Ancillaries	1,078	8.47	1.03
SKS Microfinance Ltd	INE180K01011	Finance	6,518	8.27	1.01
Vakrangees Ltd	INE051B01021	Software	4,064	8.05	0.99
Procter & Gamble Hygiene and Health Care Ltd	INE179A01014	Consumer Non Durables	125	7.86	0.96
Emami Ltd	INE548C01032	Consumer Non Durables	812	7.57	0.92
The Ramco Cements Ltd	INE331A01037	Cement	1,829	7.31	0.89
United Breweries Ltd	INE686F01025	Consumer Non Durables	876	7.25	0.88
The Karur Vysya Bank Ltd	INE036D01010	Banks	1,565	6.84	0.83
DLF Ltd	INE271C01023	Construction	5,903	6.77	0.82
Reliance Communications Ltd	INE330H01018	Telecom - Services	13,512	6.76	0.82
Tata Global Beverages Ltd	INE192A01025	Consumer Non Durables	5,515	6.69	0.81
Apollo Tyres Ltd	INE438A01022	Auto Ancillaries	3,774	6.60	0.80
The Indian Hotels Company Ltd	INE053A01029	Hotels, Resorts & Other Recreational Activities	6,629	6.56	0.80
Gujarat Pipavav Port Ltd	INE517F01014	Transportation	3,649	6.44	0.78
Kansai Nerolac Paints Ltd	INE531A01024	Consumer Non Durables	2,212	6.21	0.75
Hindustan Zinc Ltd	INE267A01025	Non - Ferrous Metals	3,357	6.16	0.75
Steel Authority of India Ltd	INE114A01011	Ferrous Metals	13,673	5.89	0.72
Oil India Ltd	INE274J01014	Oil	1,830	5.73	0.70
CRISIL Ltd	INE07A01025	Finance	312	5.61	0.68
Suzlon Energy Ltd	INE040H01021	Industrial Capital Goods	39,221	5.57	0.68
Reliance Capital Ltd	INE013A01015	Finance	1,506	5.57	0.68
Mphasis Ltd	INE356A01018	Software	1,113	5.47	0.67
Gujarat State Petronet Ltd	INE246F01010	Gas	3,803	5.39	0.66
Indraprastha Gas Ltd	INE203G01019	Gas	927	5.28	0.64
IPCA Laboratories Ltd	INE571A01020	Pharmaceuticals	302	5.25	0.64
Torrent Power Ltd	INE813H01021	Power	2,227	5.16	0.63
NHPC Ltd	INE848E01016	Power	20,522	4.96	0.60
Sun TV Network Ltd	INE424H01027	Media & Entertainment	1,305	4.89	0.60
Sanofi India Ltd	INE058A01010	Pharmaceuticals	1,202	4.93	0.60
SRF Ltd	INE647A01010	Textile Products	365	4.78	0.58
Reliance Power Ltd	INE614G01033	Power	9,286	4.59	0.56
Jubilant Foodworks Ltd	INE797F01012	Consumer Non Durables	357	4.56	0.55
IRB Infrastructure Developers Ltd	INE197A01014	Construction	1,954	4.55	0.55
Thermax Ltd	INE152A01029	Industrial Capital Goods	599	4.54	0.55
Persistent Systems Ltd	INE282H01013	Software	594	4.53	0.55
L & T Finance Holdings Ltd	INE498L01015	Finance	6,955	4.45	0.54
Union Bank Of India	INE692A01016	Banks	3,367	4.40	0.54
The Great Eastern Shipping Company Ltd	INE017A01032	Transportation	1,398	4.36	0.53
Biocon Ltd	INE376G01013	Pharmaceuticals	900	4.35	0.53
Natco Pharma Ltd	INE987B01026	Pharmaceuticals	1,038	4.28	0.52
Ajanta Pharma Ltd	INE031B01049	Pharmaceuticals	303	4.27	0.52
Adani Power Ltd	INE814H01011	Power	12,050	4.15	0.50
CECIL Ltd	INE486A01013	Power	878	4.15	0.50
Dewan Housing Finance Corporation Ltd	INE202B01012	Finance	2,086	4.10	0.50
Bata India Ltd	INE176A01028	Consumer Durables	799	4.06	0.49
Godrej Industries Ltd	INE233A01035	Consumer Non Durables	1,112	3.95	0.48
Alembic Pharmaceuticals Ltd	INE901L01018	Pharmaceuticals	649	3.88	0.47
Wockhardt Ltd	INE049B01025	Pharmaceuticals	380	3.70	0.45
Century Textiles & Industries Ltd	INE055A01016	Cement	695	3.68	0.45
Tata Communications Ltd	INE151A01013	Telecom - Services	943	3.56	0.43
Canara Bank	INE476A01014	Banks	1,870	3.55	0.43
IDBI Bank Ltd	INE008A01015	Banks	5,031	3.49	0.42
Just Dial Ltd	INE599M01018	Software	448	3.43	0.42
NOC Ltd	INE686B01028	Construction Project	4,343	3.29	0.40
JSW Energy Ltd	INE121E01018	Power	4,561	3.17	0.39
The South Indian Bank Ltd	INE683A01023	Banks	17,877	3.16	0.38
Bank of India	INE084A01016	Banks	3,226	3.13	0.38
Sintex Industries Ltd	INE429C01035	Industrial Products	4,020	3.10	0.38
Jindal Steel & Power Ltd	INE749A01030	Ferrous Metals	4,603	2.77	0.34
Sun Pharma Advanced Research Co. Ltd	INE232I01014	Pharmaceuticals	948	2.72	0.33
DCB Bank Ltd	INE503A01015	Banks	3,160	2.50	0.30
Total				655.10	79.67
II. Money Market Instruments					
<i>Reverse Repo and CBLO</i>					
Total				6.31	0.77
III. Cash & Cash Equivalents					
<i>Other Receivables / (Payables)</i>					
Total				160.16	19.49
Net Assets				822.11	100.00

Principal Tax Savings Fund (An open-ended Equity Linked Savings Scheme)

Name of Instrument	ISIN	Industry	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Equity & Equity Related Instruments					
A) Listed / awaiting listing on the stock exchanges					
<i>Equity Shares</i>					
Infosys Ltd	INE009A01021	Software	118,480	1,443.44	5.94
HDFC Bank Ltd	INE040A01026	Banks	126,082	1,350.53	5.56
ICIICI Bank Ltd	INE090A01021	Banks	431,570	1,021.31	4.20
ITC Ltd	INE154A01025	Consumer Non Durables	291,144	955.68	3.93
Reliance Industries Ltd	INE002A01018	Petroleum Products	89,110	931.38	3.83
Tata Motors Ltd	INE155A01022	Auto	198,815	768.62	3.16
Tata Communications Ltd	INE151A01013	Telecom - Services	189,931	717.18	2.95
Aurobindo Pharma Ltd	INE406A01037	Pharmaceuticals	96,032	715.34	2.95
HCL Technologies Ltd	INE860A01027	Software	74,628	607.55	2.50
State Bank of India	INE062A01020	Banks	281,081	546.00	2.25
Century Textiles & Industries Ltd	INE055A01016	Cement	99,307	526.03	2.17
Housing Development Finance Corp. Ltd	INE001A01036	Finance	44,070	487.24	2.01
Axis Bank Ltd	INE238A01034	Banks	104,675	464.91	1.91
The Ramco Cements Ltd	INE331A01037	Cement	115,663	462.48	1.90
Mahindra Holidays & Resorts India Ltd	INE998I01010	Hotels, Resorts & Other Recreational Activities	119,478	461.84	1.90
Dewan Housing Finance Corporation Ltd	INE202B01012	Finance	227,838	447.36	1.84
Ultraleach Cement Ltd	INE481G01011	Cement	13,650	440.72	1.81
Britannia Industries Ltd	INE216A01022	Consumer Non Durables	15,366	412.81	1.70
Escorts Ltd	INE042A01014	Auto	286,091	398.38	1.64
SRF Ltd	INE47A01010	Textile Products	28,858	377.59	1.55
Jain Irrigation Systems Ltd	INE175A01038	Industrial Products	592,985	357.57	1.47
Abbott India Ltd	INE358A01014	Pharmaceuticals	7,446	350.35	1.44
JK Cement Ltd	INE232G01014	Cement	51,636	348.80	1.44
Sun Pharmaceuticals Industries Ltd	INE44A01036	Pharmaceuticals	42,306	346.91	1.43
Maruti Suzuki India Ltd	INE585B01010	Auto	8,616	320.20	1.32
Bank of Baroda	INE028A01039	Banks	215,908	317.38	1.31
Balrampur Chini Mills Ltd	INE119A01028	Consumer Non Durables	278,115	299.95	1.23
The India Cements Ltd	INE383A01012	Cement	347,372	299.61	1.23
Hero MotoCorp Ltd	INE158A01026	Auto	10,128	298.34	1.23
Tata Consultancy Services Ltd	INE467B01029	Software	10,860	273.70	1.13
Bombay Burmah Trading Corporation Ltd	INE050A01025	Consumer Non Durables	73,544	269.76	1.11
United Spirits Ltd	INE854D01016	Consumer Non Durables	10,652	266.24	1.10
DLF Ltd	INE271C01023	Construction	229,819	263.72	1.09
Asahi India Glass Ltd	INE439A01020	Auto Ancillaries	174,092	263.40	1.08
Ashoka Buildcon Ltd	INE442H01029	Construction Project	153,871	262.97	1.08
Bajaj Auto Ltd	INE917I01010	Auto	10,773	259.19	1.07
Firstsource Solutions Ltd	INE684F01012	Software	751,653	252.25	1.04
Kalpataru Power Transmission Ltd	INE220B01022	Power	122,794	251.85	1.04
L & T Finance Holdings Ltd	INE498L01015	Finance	393,003	250.93	1.03
Larsen & Toubro Ltd	INE018A01030	Construction Project	20,448	248.79	1.02
IRB Infrastructure Developers Ltd	INE821I01014	Construction	105,000	244.34	1.01
Kotak Mahindra Bank Ltd	INE237A01028	Banks	35,417	241.07	0.99
Bajaj Electricals Ltd	INE193E01025	Consumer Durables	123,326	234.32	0.96
The Federal Bank Ltd	INE171A01029	Banks	496,265	230.52	0.95
Gateway Distriparks Ltd	INE852F01015	Transportation	81,088	227.13	0.94
Rattanindia Power Ltd	INE399K01017	Power	2,272,843	226.15	0.93
The Indian Hotels Company Ltd	INE053A01029	Hotels, Resorts & Other Recreational Activities	227,906	225.51	0.93
KEC International Ltd	INE389H01022	Construction Project	183,955	224.98	0.93
Navkar Corporation Ltd	INE278M01019	Transportation	123,487	224.75	0.93
Indraprastha Gas Ltd	INE203G01019	Gas	38,623	220.00	0.91
Motherhood Sumi Systems Ltd	INE775A01035	Auto Ancillaries	80,752	215.16	0.89
Hindustan Zinc Ltd	INE267A01025	Non - Ferrous Metals	115,335	211.76	0.87
Jet Airways (India) Ltd	INE802G01018	Transportation	38,059	208.30	0.86
Prestige Estates Projects Ltd	INE811K01011	Construction	115,609	199.71	0.82
National Aluminium Company Ltd	INE139A01034	Non - Ferrous Metals	491,932	194.31	0.80
Rural Electrification Corporation Ltd	INE020B01018	Finance	116,835	194.18	0.80
Hindustan Construction Company Ltd	INE549A01026	Construction Project	988,488	192.76	0.79
Hindustan Petroleum Corporation Ltd	INE094A01015	Petroleum Products	22,720	178.98	0.74
Natco Pharma Ltd	INE987B01026	Pharmaceuticals	38,445	158.66	0.65
Apollo Tyres Ltd	INE597H01026	Auto Ancillaries	2,250	0.00	***
Chennai Super Kings Ltd	INE852S01026	Media & Entertainment	374,002	0.00	***
Total				23,390.86	96.2

Principal Government Securities Fund

(An open-ended dedicated gilt scheme investing in government securities)

Name of Instrument	ISIN	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments					
A) Listed / awaiting listing on the stock exchanges					
<i>i) Government Securities</i>					
8.24% Government of India Security-M-10-Nov-2033	IN0020140052	Sovereign	675,000	693.23	24.83
7.88% Government of India Security-M-19-Mar-2030	IN0020150028	Sovereign	550,000	552.18	19.78
7.35% Government of India Security-M-23-Jun-2024	IN0020090034	Sovereign	400,000	391.59	14.02
9.23% Government of India Security-M-23-Dec-2043	IN0020130079	Sovereign	250,000	284.00	10.17
8.83% Government of India Security-M-25-Nov-2023	IN0020130061	Sovereign	250,000	265.26	9.50
8.3% Government of India Security-M-31-Dec-2042	IN0020120062	Sovereign	250,000	259.63	9.30
8.4% Government of India Security-M-28-Jul-2024	IN0020140045	Sovereign	150,000	155.76	5.58
Total				2,601.85	93.18
II. Money Market Instruments					
<i>i) Reverse Repo and CBLO</i>					
			180.90	6.48	
Total				180.90	6.48
III. Cash & Cash Equivalents					
			14.42	0.52	
IV. Other Receivables / (Payables)					
			(4.69)	(0.18)	
Net Assets				2,792.28	100.00

Principal Dynamic Bond Fund (An open-ended Income Scheme)

Name of Instrument	ISIN	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments					
A) Listed / awaiting listing on the stock exchanges					
<i>i) Non-Convertible Debentures**</i>					
10.23% Shriram Transport Finance Co. Ltd-M-01-Jul-2016	INE721A07E72	CARE AA+	50	501.15	5.30
10.85% Aspire Home Finance Corporation Ltd-M-08-Jun-2018	INE658R07042	CRISIL A+	20	201.88	2.13
8.37% National Bank For Agriculture and Rural Development-M-22-Jun-2020	INE261F08527	CRISIL AAA	5	50.58	0.53
Total				753.61	7.96
<i>ii) Government Securities</i>					
8.4% Government of India Security-M-28-Jul-2024	IN0020140045	Sovereign	1,150,000	1,194.20	12.63
8.83% Government of India Security-M-25-Nov-2023	IN0020130061	Sovereign	1,050,000	1,114.10	11.78
7.35% Government of India Security-M-23-Jun-2024	IN0020090034	Sovereign	1,100,000	1,076.88	11.39
9.23% Government of India Security-M-23-Dec-2043	IN0020130079	Sovereign	750,000	852.01	9.01
8.12% Government of India Security-M-10-Dec-2020	IN0020120054	Sovereign	500,000	511.53	5.41
7.59% Government of India Security-M-20-Mar-2029	IN0020150069	Sovereign	500,000	495.75	5.24
7.88% Government of India Security-M-19-Mar-2030	IN0020150028	Sovereign	450,000	451.78	4.78
8.24% Government of India Security-M-10-Nov-2033	IN0020140052	Sovereign	325,000	333.78	3.53
8.3% Government of India Security-M-31-Dec-2042	IN0020120062	Sovereign	250,000	259.63	2.75
7.68% Government of India Security-M-15-Dec-2023	IN0020150010	Sovereign	200,000	200.40	2.12
Total				6,490.05	68.64
II. Money Market Instruments					
<i>i) Certificate of Deposit**</i>					
IDBI Bank Ltd-M-05-Apr-2016	INE008A16M50	[ICRA]A1+	500	499.38	5.28
Total				499.38	5.28
<i>ii) Commercial Paper**</i>					
IFMR Capital Finance Private Ltd-M-09-May-2016	INE850M14281	[ICRA]A1+	180	891.13	9.42
JM Financial Products Ltd-M-29-Dec-2016	INE523H14U79	CRISIL A1+	100	467.42	4.94
Total				1,358.55	14.36
<i>iii) Reverse Repo and CBLO</i>					
				835.68	8.84
Total				835.68	8.84
III. Cash & Cash Equivalents					
				92.86	0.98
IV. Other Receivables / (Payables)					
				(574.27)	(6.06)
Net Assets				9,455.85	100.00

Principal Income Fund - Short Term Plan (An open-ended Income Scheme)

Name of Instrument	ISIN	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments					
A) Listed / awaiting listing on the stock exchanges					
<i>i) Non-Convertible Debentures**</i>					
10.85% Aspire Home Finance Corp. Ltd-M-08-Jun-2018	INE658R07042	CRISIL A+	110	1,110.33	7.90
9.8% LIC Housing Finance Ltd-M-22-Oct-2017	INE115A07452	CRISIL AAA	100	1,016.05	7.23
9.75% Housing Development Finance Corporation Ltd-M-07-Dec-2016	INE001A07HNS	CRISIL AAA	100	1,006.68	7.16
9.35% Piramal Enterprises Ltd-M-24-Jul-2017	INE140A08S09	[ICRA]AA	100	998.57	7.10
8.37% National Bank For Agriculture and Rural Development-M-22-Jun-2020	INE261F08527	CRISIL AAA	95	961.05	6.83
9.32% Power Finance Corporation Ltd-M-17-Sep-2019	INE134E08GJ4	CRISIL AAA	50	518.00	3.68
8.95% Power Finance Corporation Ltd-M-11-Mar-2018	INE134E08FK4	CRISIL AAA	50	507.92	3.61
8.32% Power Grid Corp. of India Ltd-M-23-Dec-2020	INE752E07JN1	CRISIL AAA	50	504.84	3.59
8.36% Rural Electrification Corp. Ltd-M-22-Sep-2020	INE020B08955	CRISIL AAA	50	503.61	3.58
11.84% Asivad Microfinance Pvt Ltd-M-24-Jan-2017	INE156Q07085	[ICRA]A	3,294	103.00	0.73
11.84% Grama Vidyal Micro Finance Ltd-M-24-Jan-2017	INE881K07078	[ICRA]A	3,294	102.99	0.73
11.84% Future Financial Services Ltd-M-24-Jan-2017	INE801M07056	[ICRA]A	3,294	102.99	0.73
11.84% Arohan Financial Services Pvt Ltd-M-24-Jan-2017	INE808K07097	[ICRA]A	2,471	77.26	0.55
11.84% Sonata Finance Pvt Ltd-M-24-Jan-2017	INE509M07113	[ICRA]A	1,647	51.50	0.37
Total				7,564.78	53.79
<i>ii) Government Securities</i>					
8.27% Government of India Security-M-09-Jun-2020	IN0020140029	Sovereign	1,000,000	1,029.35	7.32
8.12% Government of India Security-M-10-Dec-2020	IN0020120054	Sovereign	1,000,000	1,023.06	7.28
7.35% Government of India Security-M-23-Jun-2024	IN0020090034	Sovereign	578,700	566.54	4.03
8.4% Government of India Security-M-28-Jul-2024	IN0020140045	Sovereign	500,000	519.22	3.69
7.68% Government of India Security-M-15-Dec-2023	IN0020150010	Sovereign	300,000	300.60	2.14
7.16% Government of India Security-M-20-May-2023	IN0020130012	Sovereign	20,000	19.37	0.14
Total				3,458.12	24.60
B) Privately Placed / Unlisted**					
<i>i) Non-Convertible Debentures</i>					
7.92% National Housing Bank-M-22-Mar-2017	INE557F08EY7	CRISIL AAA	79	790.92	5.62
Total				790.92	5.62
II. Money Market Instruments					
<i>i) Certificate of Deposit**</i>					
Export-Import Bank Of India-M-10-Feb-2017	INE154E16AL9	CRISIL A1+	500	469.66	3.34
Corporation Bank-M-02-Mar-2017	INE112A16JK3	CRISIL A1+	50	46.80	0.33
Small Industries Development Bank of India-M-10-Mar-2017	INE556F16077	CRISIL A1+	50	46.72	0.33
RBL Bank Ltd-M-16-Mar-2017	INE976G16DK1	[ICRA]A1+	50	46.57	0.33
Total				609.75	4.33
<i>ii) Commercial Paper**</i>					
JM Financial Products Ltd-M-29-Dec-2016	INE523H14U79	CRISIL A1+	140	654.38	4.65
HCL Infosystems Ltd-M-04-Apr-2016	INE236A14FN7	[ICRA]A1	100	499.56	3.55
Total				1,153.95	8.20
<i>iii) Reverse Repo and CBLO</i>					
				124.81	0.89
Total				124.81	0.89
III. Cash & Cash Equivalents					
				11.50	0.09
IV. Other Receivables / (Payables)					
				347.08	2.48
Net Assets				14,060.90	100.00

Principal Debt Opportunities Fund - Conservative Plan (An open-ended Debt Scheme)

Name of Instrument	ISIN	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments					
A) Listed / awaiting listing on the stock exchanges**					
<i>i) Non-Convertible Debentures</i>					
9.84% Tata Motors Ltd-M-10-Mar-2017	INE155A08100	CARE AA	256	2,590.04	12.43
9.43% Housing Development Finance Corporation Ltd-M-02-Sep-2016	INE001A07HNS	CRISIL AAA	250	2,505.97	12.03
9.7% Music Broadcast Private Ltd-M-04-Mar-2017	INE91907013	CRISIL AA	200	2,005.19	9.62
8.6% LIC Housing Finance Ltd-M-29-Jul-2020	INE115A07HR4	CRISIL AAA	150	1,502.28	7.21
13% Muthoot Finance Ltd-M-12-Jan-2017	INE414G07159	[ICRA]AA	60	608.78	2.92
11.84% Asivad Microfinance Pvt Ltd-M-24-Jan-2017	INE156Q07085	[ICRA]A	8,471	264.88	1.27
11.84% Future Financial Services Ltd-M-24-Jan-2017	INE801M07056	[ICRA]A	8,471	264.86	1.27
11.84% Grama Vidyal Micro Finance Ltd-M-24-Jan-2017	INE881K07078	[ICRA]A	8,471	264.86	1.27
11% Cholamandalam Investment and Finance Company Ltd-M-19-Sep-2016	INE121A07H06	[ICRA]AA	20	201.42	0.97
11.84% Arohan Financial Services Pvt Ltd-M-24-Jan-2017	INE808K07097	[ICRA]A	6,353	198.63	0.95
11.84% Sonata Finance Pvt Ltd-M-24-Jan-2017	INE509M07113	[ICRA]A	4,234	132.38	0.64
9.45% LIC Housing Finance Ltd-M-14-Oct-2016	INE115A07HR4	CARE AA	100	100.31	0.48
9.28% Rural Electrification Corp. Ltd-M-15-Feb-2017	INE020B08955	CRISIL AAA	4	40.42	0.19
9.33% Power Finance Corporation Ltd-M-17-Feb-2017	INE134E08EJ2	CRISIL AAA	3	30.33	0.15
9.33% Power Grid Corp. of India Ltd-M-15-Dec-2016	INE752E07EY9	CRISIL AAA	2	25.22	0.12
Total				10,735.57	51.52
<i>ii) Zero Coupon Bonds</i>					
Cholamandalam Investment and Finance Company Ltd-M-06-Apr-2017	INE121A07J00	[ICRA]AA	10	108.73	0.52
Total				108.73	0.52
B) Privately Placed / Unlisted**					
<i>i) Zero Coupon Bonds</i>					
HPCL-Mittal Energy Ltd-M-11-Jun-2016	INE137K08016	[ICRA]AA	150	1,475.57	7.08
Total				1,475.57	7.08
II. Money Market Instruments					
<i>i) Commercial Paper**</i>					
JM Financial Products Ltd-M-29-Dec-2016	INE523H14U79	CRISIL A1+	960	4,487.18	21.54
Cox & Kings Ltd-M-26-May-2016	INE00814EE5	CARE A1+	500	2,466.50	11.84
Piramal Enterprises Ltd-M-17-Feb-2017	INE140A14J1	[ICRA]A1+	500	2,320.00	11.13
HCL Infosystems Ltd-M-04-Apr-2016	INE236A14FN7	[ICRA]A1	360	1,738.43	8.63
Total				11,072.15	53.14
<i>ii) Treasury Bill</i>					
TBILL 91 DAY-M-16-Jun-2016	IN002015Y199	Sovereign	282,000	278.02	1.33
Total				278.02	1.33
<i>iii) Reverse Repo and CBLO</i>					
				12.54	0.06
Total				12.54	0.06
III. Cash & Cash Equivalents					
				5.45	0.04
IV. Other Receivables / (Payables)					
				(2,851.87)	(13.69)
Net Assets				20,836.15	100.00

Principal Debt Opportunities Fund - Corporate Bond Plan

(An open-ended Debt Scheme)

Name of Instrument	ISIN	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments					
A) Privately Placed / Unlisted**					
<i>i) Zero Coupon Bonds</i>					
HPCL-Mittal Energy Ltd-M-11-Jun-2016	INE137K08016	[ICRA]AA	100	983.71	10.37
Total				983.71	10.37
II. Money Market Instruments					
<i>i) Commercial Paper**</i>					
Piramal Enterprises Ltd-M-11-May-2016	INE140A14E2	[ICRA]A1+	400	1,980.52	20.88
IFMR Capital Finance Private Ltd-M-09-May-2016	INE850M14281	[ICRA]A1+	300	1,485.22	15.66
Godrej Agrovet Ltd-M-06-May-2016	INE850D14DR5	[ICRA]A1+	200	991.92	10.46
JK Lakshmi Cement Ltd-M-01-Jun-2016	INE786A14449	CARE A1+	200	986.84	10.40
Cox & Kings Ltd-M-26-May-2016	INE00814EE5	CARE A1+	200	986.62	10.40
Aadhar Housing Finance Ltd-M-27-May-2016	INE538L14276	[ICRA]A1+	160	788.83	8.32
Power Finance Corporation Ltd-M-26-May-2016	INE134E14683	[ICRA]A1+	100	494.04	5.21
Religare Housing Development Finance Corporation Ltd-M-27-May-2016	INE852K14281	[ICRA]A1+	100	492.44	5.19
Total				8,206.42	86.52
<i>ii) Treasury Bill</i>					
TBILL 91 DAY-M-16-Jun-2016	IN002015Y199	Sovereign	19,000	18.73	0.20
Total				18.73	0.20
<i>iii) Reverse Repo and CBLO</i>					
				24.79	0.26
Total				24.79	0.26
III. Investment Funds/Mutual Funds					
Principal Cash Management Fund - Direct Plan - Growth Option	INF173K01GU0		16,965	250.19	2.64
Total				250.19	2.64
IV. Cash & Cash Equivalents					
				3.57	0.04
V. Other Receivables / (Payables)					
				(2.45)	(0.03)
Net Assets	</				

Notes :

- No NPA's were provided for any of the Schemes during the half year.
- Total value and percentage of illiquid shares to NAV is NIL except for Principal Growth Fund, Principal Tax Savings Fund, Principal Dividend Yield Fund and Principal Personal Tax Saver Fund. The value of illiquid securities and percentage of the same to the NAV in aforementioned schemes have been mentioned in their respective portfolio statement and the percentage of the same to the NAV is less than 0.01% of the AUM of the said Schemes.
- For NAV at the beginning of the period please refer Annexure I of the Half Yearly Portfolio Statement for the period ended March 31, 2016, provided herein.
- For dividend declared during the half year ended please refer Annexure I of the Half Yearly Portfolio Statement for the period ended March 31, 2016, provided herein.
- For NAV at the end of the period please refer Annexure I of the Half Yearly Portfolio Statement for the period ended March 31, 2016, provided herein.
- Total outstanding exposure in derivative instruments as on March 31, 2016 is as under:

Name of the Scheme	Amount (₹ Lakh)
Principal Dividend Yield Fund	113.38
Principal Emerging Bluechip Fund	533.06
Principal Smart Equity Fund	(735.28)
Principal Debt Savings Fund - MIP Plan	46.71

- Portfolio Turnover Ratio & Average Maturity of portfolio is as follows:

Name of the Scheme	Portfolio Turnover Ratio	Average Maturity (Days) / Yrs
Principal Growth Fund	0.71	-
Principal Index Fund - Nifty	0.33	-
Principal Large Cap Fund	0.77	-
Principal Dividend Yield Fund	0.67	-
Principal Emerging Bluechip Fund	0.81	-
Principal Personal Tax Saver Fund	0.71	-
Principal Smart Equity Fund	6.54	-
Principal Tax Savings Fund	0.70	-
Principal Debt Opportunities Fund - Conservative Plan	-	248 Days
Principal Debt Opportunities Fund - Corporate Bond Plan	-	50 Days
Principal Government Securities Fund	-	14.85 Years
Principal Dynamic Bond Fund	-	8.23 Years
Principal Bank CD Fund	-	0.86 Years
Principal Income Fund - Short Term Plan	-	2.94 Years
Principal Debt Savings Fund - MIP Plan	-	9.85 Years

Principal Debt Savings Fund - Retail Plan	-	6.74 Years
Principal Balanced Fund	1.74	7.11 Years
Principal Cash Management Fund	-	48 Days
Principal Retail Money Manager Fund	-	48 Days
Principal Index Fund - Midcap	0.58	-

* In respect of Floating Rate Instruments, the average maturity has been computed after considering the next reset date as the maturity date.

- Name of following scheme has been changed w.e.f. January 1, 2016:

Old Name	New Name
Principal Income Fund - Long Term Plan	Principal Dynamic Bond Fund

- Industry classification as released by Association of Mutual Funds in India (AMFI).

- All the information provided is as on March 31, 2016 unless specified otherwise.

Annexure I :-

Sr. No.	Name of the Schemes	Principal Growth Fund				Principal Large Cap Fund				Principal SMART Equity Fund				Principal Emerging Bluechip Fund				Principal Personal Tax Saver Fund	
		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan	Direct Plan
		Half-Yearly Dividend	Growth	Half-Yearly Dividend	Growth	Half-Yearly Dividend	Growth	Half-Yearly Dividend	Growth	Half-Yearly Dividend	Growth	Half-Yearly Dividend	Growth	Half-Yearly Dividend	Growth	Half-Yearly Dividend	Growth	Growth	Growth
1.	NAV at the beginning of the year/period (₹ per unit)	36.92	92.21	39.87	93.75	27.43	45.29	27.82	46.06	15.01	16.59	16.18	16.95	43.55	67.21	52.21	68.57	149.4	151.79
2.	NAV at the end of the year/period (₹ per Unit)	35.06	89.57	37.76	91.41	26.23	43.30	26.37	44.19	14.94	16.51	16.20	16.97	42.09	64.95	50.69	66.57	142.54	145.30
3.	a. Net Dividend - Corporate (₹ Per Unit)	0.85	-	1.18	-	-	-	0.33	-	-	-	-	-	-	-	-	-	-	-
	b. Net Dividend - Non-Corporate (₹ Per Unit)	0.85	-	1.18	-	-	-	0.33	-	-	-	-	-	-	-	-	-	-	-

Sr. No.	Name of the Schemes	Principal Dividend Yield Fund				Principal Index Fund - Nifty				Principal Index Fund - Midcap				Principal Balanced Fund				Principal Tax Savings Fund	
		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan	Direct Plan
		Half-Yearly Dividend	Growth	Half-Yearly Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Half-Yearly Dividend	Growth	Half-Yearly Dividend	Growth	Growth	Growth
1.	NAV at the beginning of the year/period (₹ per unit)	22.22	34.24	23.05	34.78	32.2001	54.7881	32.6077	55.4844	14.3240	14.3240	14.4269	14.4269	22.80	49.75	25.34	50.87	135.97	137.86
2.	NAV at the end of the year/period (₹ per Unit)	21.16	32.6	22.00	33.19	31.3631	53.3639	31.8395	54.1774	14.0810	14.0810	14.2177	14.2177	21.92	49.21	24.30	50.51	132.08	134.3
3.	a. Net Dividend - Corporate (₹ Per Unit)	-	-	-	-	-	-	-	-	-	-	-	-	0.65	-	0.89	-	-	-
	b. Net Dividend - Non-Corporate (₹ Per Unit)	-	-	-	-	-	-	-	-	-	-	-	-	0.65	-	0.89	-	-	-

Sr. No.	Name of the Schemes	Principal Global Opportunities Fund				Principal Bank CD Fund				Principal Debt Opportunities Fund - Corporate Bond Plan				Principal Debt Savings Fund - Monthly Income Plan					
		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan			
		Dividend	Growth	Dividend	Growth	Growth	Monthly Dividend	Growth	Monthly Dividend	Growth	Monthly Dividend	Growth	Monthly Dividend	Growth	Monthly Dividend	Quarterly Dividend	Growth		
1.	NAV at the beginning of the year/period (₹ per unit)	19.9598	19.9598	20.1744	20.1744	1866.3192	1127.6940	1886.3844	1128.8868	2245.5274	1237.3251	2271.5774	1240.3062	11.0104	12.4041	28.7790	11.2612	12.6275	29.3902
2.	NAV at the end of the year/period (₹ per Unit)	20.7090	20.7090	20.9789	20.9789	1941.3100	1130.6071	1967.0931	1131.8338	2343.0338	1238.6339	2372.1165	1241.6417	10.8589	12.3239	28.7673	11.0468	12.6108	29.4680
3.	a. Net Dividend - Corporate (₹ Per Unit)	-	-	-	-	-	27.80687902	-	29.71038543	-	34.41046575	-	35.14848769	0.09907506	0.04998662	-	0.16415361	0.06323608	-
	b. Net Dividend - Non-Corporate (₹ Per Unit)	-	-	-	-	-	30.01344136	-	32.06799694	-	37.14104322	-	37.93762951	0.10693697	0.05395321	-	0.17717971	0.06825406	-

Sr. No.	Name of the Schemes	Principal Debt Opportunities Fund - Conservative Plan				Principal Income Fund - Short Term Plan				Principal Dynamic Bond Fund									
		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan							
		Growth	Daily Dividend	Weekly Dividend	Monthly Dividend	Growth	Daily Dividend	Weekly Dividend	Monthly Dividend	Monthly Dividend	Growth	Monthly Dividend	Annual Dividend	Quarterly Dividend	Growth	Annual Dividend	Quarterly Dividend	Growth	
1.	NAV at the beginning of the year/period (₹ per unit)	2289.4708	1002.8269	1004.2331	1142.2636	2336.9339	1002.7864	1006.2971	1144.4030	12.9012	25.4682	12.9353	25.955	11.3695	13.2446	24.9312	11.6904	13.1610	25.4497
2.	NAV at the end of the year/period (₹ per Unit)	2380.3724	1003.4214	1004.3348	1143.6613	2439.4418	1003.3517	1006.2714	1145.7716	12.8727	26.4576	12.9074	27.0633	11.6025	13.2152	25.4421	11.9743	13.2788	26.0663
3.	a. Net Dividend - Corporate (₹ Per Unit)	-	25.74555239	26.06554000	28.85960920	-	28.45895910	28.88093283	32.00227515	0.34796574	-	0.38055407	-	0.19880888	-	-	-	0.13363223	-
	b. Net Dividend - Non-Corporate (₹ Per Unit)	-	27.78854205	28.13392162	31.14970896	-	30.71726594	31.17272471	34.54175524	0.37557790	-	0.41075222	-	0.21458499	-	-	-	0.14423636	-

Sr. No.	Name of the Schemes	Principal Cash Management Fund				Principal Pnb Fixed Maturity Plan - Series B5				Principal Government Securities Fund									
		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan							
		Daily Dividend	Weekly Dividend	Monthly Dividend	Growth	Daily Dividend	Weekly Dividend	Monthly Dividend	Growth	Dividend	Growth	Dividend	Growth	Quarterly Dividend	Annual Dividend	Growth	Quarterly Dividend	Annual Dividend	Growth
1.	NAV at the beginning of the year/period (₹ per unit)	1000.4403	1007.8552	1024.1697	1414.8071	1000.3870	1008.4015	1024.1985	1418.1777	N.A.	12.2085	N.A.	12.2217	12.0830	13.2992	29.0112	12.1197	13.7002	29.4076
2.	NAV at the end of the year/period (₹ per Unit)	1000.5695	1006.9895	1025.2348	1470.7393	1000.5695	1007.5234	1025.2680	1474.7592	N.A.	12.6603	N.A.	12.6771	12.0861	13.5502	29.5589	12.1248	13.994	30.0376
3.	a. Net Dividend - Corporate (₹ Per Unit)	25.67419547	26.71179401	25.91809422	-	26.07105194	26.97079041	26.15698608	-	-	-	-	-	0.14848769	-	-	-	0.16869647	-
	b. Net Dividend - Non-Corporate (₹ Per Unit)	27.92739937	28.83145793	27.97477562	-	28.13987107	29.11100653	28.23262429	-	-	-	-	-	0.16027065	-	-	-	0.18208305	-

Sr. No.	Name of the Schemes	Principal Retail Money Manager Fund				Principal Debt Savings Fund - Retail Plan				Principal Pnb Fixed Maturity Plan - Series B13								
		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan						
		Growth	Daily Dividend	Weekly Dividend	Monthly Dividend	Growth	Daily Dividend	Weekly Dividend	Monthly Dividend	Growth	Monthly Dividend	Quarterly Dividend	Growth	Quarterly Dividend	Dividend	Growth	Dividend	Growth
1.	NAV at the beginning of the year/period (₹ per unit)	1647.7670	1005.4300	1014.3225	1060.2832	1651.8950	N.A.	N.A.	1060.3351	25.4098	10.3333	12.0703	25.9754	12.1478	N.A.	11.4407	N.A.	11.5155
2.	NAV at the end of the year/period (₹ per Unit)	1715.3279	1005.4300	1015.0480	1061.3340	1720.2305	N.A.	N.A.	1061.3898	26.1963	10.2808	12.0559	26.88	N.A.	N.A.	11.8834	N.A.	11.9791
3.	a. Net Dividend - Corporate (₹ Per Unit)	-	27.22598632	29.12141435	27.87901499	-	-	-	28.12767667	-	0.24531584	0.25649090	-	0.16434690	-	-	-	-
	b. Net Dividend - Non-Corporate (₹ Per Unit)	-	29.38645296	31.43228915	30.09130156	-	-	-	30.35989529	-	0.26478241	0.27684425	-	0.17738833	-	-	-	-

Sr. No.	Name of the Schemes	Principal Pnb Fixed Maturity Plan - Series B10		Principal Pnb Fixed Maturity Plan - Series B14		Principal Pnb Fixed Maturity Plan - Series B16		Principal Pnb Fixed Maturity Plan - Series B17		Principal Asset Allocation Fund-of-Funds											
		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Moderate Plan		Conservative Plan		Aggressive Plan			
		Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth
1.	NAV at the beginning of the year/period (₹ per unit)	N.A.	11.6093	11.7023	10.3891	11.4249	N.A.	11.4954	11.4430	11.4430	11.5405	11.5405	11.2618	10.3155	11.2710	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.
2.	NAV at the end of the year/period (₹ per Unit)	N.A.	12.0576	12.1724	10.7906	11.8665	N.A.	11.9577	11.8546	11.8546	11.9916	11.9916	11.7026	10.7241	11.7175	9.8975	9.9222	10.1570	10.1812	9.8671	9.8931
3.	a. Net Dividend - Corporate (₹ Per Unit)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	b. Net Dividend - Non-Corporate (₹ Per Unit)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Note : - indicates NIL.

Annexure II :-

Disclosure for investments in derivative instruments

Hedging Positions through Futures as on 31st March 2016 :

Scheme	Underlying	Long / Short	Futures Price when purchased	Current price of the contract	Margin maintained in ₹ Lakhs	Total exposure due to futures (Hedging positions) as a %age to net assets
Principal Smart Equity Fund	Reliance Industries Ltd. (Apr 2016)	Short	1,052.58	1,050.40	90.41	6.38%

For the period 01st October 2015 to 31st March 2016, following hedging transactions through futures have been squared off/expired :

Scheme	Total Number of contracts where futures were sold (opening balance)	Total Number of contracts where futures were bought	Total Number of contracts where futures were sold	Gross Notional Value of contracts where futures were sold (opening balance)	Gross Notional Value of contracts where futures were bought	Gross Notional Value of contracts where futures were sold	Net Profit/ Loss value on all contracts combined
Principal Smart Equity Fund	1,081	3,561	2,480	271,105,350.74	1,476,637,903.32	1,201,266,348	(4,266,205)

Other than Hedging Positions through Futures as on 31st March 2016 :

Scheme	Underlying	Long / Short	Futures Price when purchased	Current price of the contract	Margin maintained in ₹ Lakhs	Total exposure due to futures (non-hedging positions) as a %age to net assets
Principal Dividend Yield Fund	Indraprastha Gas Ltd. (Apr 2016)	Long	559.40	572.65	11.72	1.12%
Principal Emerging Bluechip Fund	ICICI Bank Ltd. (Apr 2016)	Long	234.27	237.75	9.16	0.12%
Principal Emerging Bluechip Fund	Indraprastha Gas Ltd. (Apr 2016)	Long	560.12	572.65	49.07	0.96%
Principal Debt Savings Fund - MIP Plan	NIFTY INDEX FUTURES (Apr 2016)	Long	7,802.20	7,784.40	3.84	2.19%

For the period 01st October