

Principal Large Cap Fund (An open-ended Equity Scheme)

Name of Instrument	Industry	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Equity & Equity Related Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>Equity Shares</i>				
ITC Ltd	Consumer Non Durables	495,872	1,835.72	5.95
ICICI Bank Ltd	Banks	125,177	1,794.47	5.81
Construction Project	Construction Project	116,453	1,698.18	5.50
Larsen & Toubro Ltd	Software	40,367	1,512.85	4.90
Infosys Ltd	Auto	268,496	1,348.25	4.37
Tata Motors Ltd	Cement	15,760	1,323.81	4.29
Shree Cement Ltd	Pharmaceuticals	72,131	1,298.61	4.21
Div's Laboratories Ltd	Petroleum Products	132,660	1,254.57	4.06
Reliance Industries Ltd	Banks	50,170	1,227.01	3.97
State Bank of India	Auto	37,672	1,153.84	3.74
Maruti Suzuki India Ltd	Banks	129,173	1,127.23	3.65
HDFC Bank Ltd	Finance	103,341	1,090.51	3.53
Housing Development Finance Corporation Ltd	Software	41,715	1,038.58	3.36
Tech Mahindra Ltd	Banks	246,565	931.28	3.02
Axis Bank Limited	Petroleum Products	128,796	844.13	2.73
Bharat Petroleum Corporation Ltd	Software	45,608	782.06	2.53
HCL Technologies Ltd	Industrial Capital Goods	372,563	749.22	2.43
Crompton Greaves Ltd	Auto Ancillaries	168,218	665.55	2.16
Motherson Sumi Systems Ltd	Gas	140,822	590.82	1.91
Indraprastha Gas Ltd	Minerals/Mining	210,149	572.66	1.86
Sesa Goa Ltd	Banks	83,099	493.82	1.60
ING Vysya Bank Ltd	Banks	391,190	492.31	1.59
Federal Bank Ltd	Banks	75,978	472.24	1.53
IndusInd Bank Ltd	Transportation	160,645	445.87	1.44
Adani Ports & Special Economic Zone	Non - Ferrous Metals	722,324	416.42	1.35
National Aluminium Company Ltd	Cement	15,770	415.01	1.34
UltraTech Cement Ltd	Services	23,698	384.03	1.24
Aditya Birla Nuvo Ltd	Banks	41,110	370.94	1.20
Bank of Baroda	Oil	58,513	356.11	1.15
Oil India Ltd	Auto Ancillaries	164,037	334.23	1.08
Apollo Tyres Ltd \$S\$	Auto	10,335	293.47	0.95
Hero Motocorp Ltd	Media & Entertainment	81,563	288.57	0.93
D.B. Corp Limited	Ferrous Metals	61,827	283.82	0.92
Tata Steel Ltd	Construction Project	103,662	250.45	0.81
Volta Ltd	Ferrous Metals	134,525	232.46	0.75
Jindal Steel & Power Ltd	Construction	96,479	222.96	0.72
IRB Infrastructure Developer	Auto	462,786	190.44	0.62
Ashok Leyland Limited	Petroleum Products	38,854	187.33	0.61
Hindustan Petroleum Corporation Ltd	Software	30,529	182.20	0.59
Wipro Ltd	Oil	43,641	178.30	0.58
Oil & Natural Gas Corporation Ltd	Cement	47,548	153.13	0.50
Ramco Cements Ltd	Consumer Non Durables	21,846	137.53	0.45
Asian Paints Ltd	Gas	27,295	122.68	0.40
Gail (India) Ltd	Fertilisers	13,017	39.81	0.13
Coromandel International Ltd	Gas	27,943	26.17	0.08
Gujarat State Petronet Ltd	Total		29,809.61	96.57
II. Units of Mutual Fund				
Principal Cash Management Fund - Direct Plan - Growth Option		46,032,992	600.19	1.94
Total			600.19	1.94
III. Money Market Instruments				
Reverse Repo and CBLO			38.00	0.12
Total			38.00	0.12
IV. Cash & Cash Equivalents			23.20	0.08
V. Other Receivables / (Payables)			397.22	1.29
Net Assets			30,868.22	100.00

Principal Balanced Fund (An open-ended Balanced Scheme)

Name of Instrument	Industry / Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Equity & Equity Related Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>Equity Shares</i>				
ICICI Bank Ltd	Banks	4,759	68.22	3.04
ITC Ltd	Consumer Non Durables	17,301	64.05	2.85
Tata Motors Ltd	Auto	10,859	54.53	2.43
Reliance Industries Ltd	Petroleum Products	5,011	47.39	2.11
Maruti Suzuki India Ltd	Auto	1,503	46.03	2.05
Federal Bank Ltd	Banks	32,505	40.91	1.82
Aurobindo Pharma Ltd	Pharmaceuticals	4,079	39.49	1.76
Ashok Leyland Limited	Auto	94,601	38.93	1.73
Infosys Ltd	Software	1,016	38.08	1.69
HCL Technologies Ltd	Software	2,204	37.79	1.68
Tech Mahindra Ltd	Software	1,442	35.90	1.60
HDFC Bank Ltd	Banks	3,996	34.87	1.55
Lupin Ltd	Pharmaceuticals	2,448	34.17	1.52
JK Cement Ltd	Cement	5,860	32.61	1.45
Housing Development Finance Corporation Ltd	Finance	3,028	31.95	1.42
TVS Motor Company Ltd	Auto	13,864	31.60	1.41
Tata Consultancy Services Ltd	Software	1,148	31.42	1.40
Bharat Forge Ltd	Industrial Products	3,791	31.21	1.39
State Bank of India	Banks	1,260	30.82	1.37
Britannia Industries Ltd	Consumer Non Durables	2,118	29.48	1.31
Sesa Goa Ltd	Minerals/Mining	10,193	27.78	1.24
Hindustan Zinc Ltd	Non - Ferrous Metals	17,182	27.65	1.23
Cipla Ltd	Pharmaceuticals	4,218	26.44	1.18
Bharat Petroleum Corporation Ltd	Petroleum Products	3,842	25.18	1.12
Wipro Ltd	Software	4,052	24.18	1.08
PC Jeweller Ltd	Consumer Durables	9,775	22.92	1.02
Jain Irrigation Systems Ltd	Industrial Products	27,570	22.44	1.00
Crompton Greaves Ltd	Industrial Capital Goods	11,074	22.27	0.99
National Aluminium Company Ltd	Non - Ferrous Metals	38,298	22.08	0.98
Oil & Natural Gas Corporation Ltd	Oil	5,353	21.87	0.97
Hindustan Construction Company Ltd	Construction Project	62,820	20.79	0.93
Motherson Sumi Systems Ltd	Auto Ancillaries	5,193	20.55	0.91
Oil India Ltd	Oil	3,333	20.28	0.90
Andhra Bank	Banks	31,128	20.28	0.90
Aditya Birla Nuvo Ltd	Services	1,238	20.06	0.89
Tata Steel Ltd	Ferrous Metals	4,308	19.78	0.88
Bank of Baroda	Banks	2,185	19.72	0.88
Oriental Bank of Commerce	Banks	8,340	19.15	0.85
UltraTech Cement Ltd	Cement	721	18.97	0.84
India Cements Ltd	Cement	16,287	18.33	0.82
Larsen & Toubro Ltd	Construction Project	1,201	17.51	0.78
Ramco Cements Ltd	Cement	5,348	17.22	0.77
Cyient Ltd	Software	3,820	17.16	0.76
Indraprastha Gas Ltd	Gas	4,061	17.04	0.76
Volta Ltd	Construction Project	7,041	17.01	0.76
Apollo Tyres Ltd \$S\$	Auto Ancillaries	8,136	16.58	0.74
ING Vysya Bank Ltd	Banks	2,755	16.37	0.73
Indiabulls Power Ltd	Power	124,790	16.10	0.72
Prestige Estate Project Ltd	Construction	7,275	16.00	0.71
Persistent Systems Ltd	Software	1,050	14.75	0.66
Axis Bank Limited	Banks	3,884	14.67	0.65
Hindalco Industries Ltd	Non - Ferrous Metals	8,386	13.15	0.59
Power Finance Corporation Ltd	Finance	5,457	12.80	0.57
United Phosphorus Ltd	Pesticides	3,689	12.54	0.56
Jet Airways (India) Ltd	Transportation	5,050	10.54	0.47
Total			1,469.62	65.42
II. Debt Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>Non-Convertible Debentures**</i>				
9.50% Housing Development Finance Corporation Ltd -M-13-Aug-2017	AAA	5	50.20	2.23
Total			50.20	2.23
<i>Government Securities</i>				
8.60% Government of India Security -M-02-Jun-2028	Sovereign	100,000	99.50	4.43
8.83% Government of India Security -M-25-Nov-2023	Sovereign	50,000	50.33	2.24
Total			149.83	6.67
III. Money Market Instruments				
<i>Commercial Paper**</i>				
JM Financial Products Ltd -M-27-Oct-14	A1+	40	198.69	8.84
Dewan Housing Finance Corp. Ltd -M-28-Oct-14	A1+	40	198.67	8.84
Total			397.37	17.69
<i>Treasury Bill</i>				
91 Day T-Bill -M-23-Oct-2014	Sovereign	5,000	4.97	0.22
Total			4.97	0.22
<i>Reverse Repo and CBLO</i>				
			112.90	5.03
Total			112.90	5.03
IV. Cash & Cash Equivalents			17.40	0.77
V. Other Receivables / (Payables)			44.31	1.97
Net Assets			2,246.59	100.00

Principal Emerging Bluechip Fund (An open-ended Equity Scheme)

Name of Instrument	Industry	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Equity & Equity Related Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>Equity Shares</i>				
Motherson Sumi Systems Ltd	Auto Ancillaries	375,600	1,486.06	3.90
Tech Mahindra Ltd	Software	54,108	1,347.13	3.53
Eicher Motors Ltd	Auto	10,080	1,206.78	3.17
Federal Bank Ltd	Banks	912,900	1,148.88	3.01
Sadhav Engineering Ltd	Construction Project	502,800	1,128.53	2.96
ICICI Bank Ltd	Banks	78,690	1,128.06	2.96
Aurobindo Pharma Ltd	Pharmaceuticals	114,600	1,109.61	2.91
Amara Raja Batteries Ltd	Auto Ancillaries	183,108	1,095.81	2.87
Shree Cement Ltd	Cement	12,579	1,056.61	2.77
Div's Laboratories Ltd	Pharmaceuticals	51,408	925.52	2.43
Gateway Distriparks Ltd	Transportation	307,800	789.35	2.07
Symphony Ltd	Consumer Durables	54,108	745.80	1.96
Bharat Forge Ltd	Industrial Products	86,880	715.28	1.88
United Phosphorus Ltd	Pesticides	203,796	693.01	1.82
Britannia Industries Ltd	Consumer Non Durables	48,818	679.57	1.78
IndusInd Bank Ltd	Banks	108,000	671.27	1.76
Bata India Ltd	Consumer Durables	50,588	670.06	1.76
Hindustan Petroleum Corporation Ltd	Petroleum Products	136,500	658.13	1.73
JK Cement Ltd	Cement	112,500	628.01	1.64
Glenmark Pharmaceuticals Ltd	Pharmaceuticals	86,400	623.64	1.64
Lupin Ltd	Pharmaceuticals	44,280	617.99	1.62
Oil India Ltd	Oil	100,290	610.36	1.60
ING Vysya Bank Ltd	Banks	102,300	607.92	1.59
IPCA Laboratories Ltd	Pharmaceuticals	76,380	604.89	1.59
Aditya Birla Nuvo Ltd	Services	36,900	597.96	1.57
Cummins India Ltd	Industrial Products	86,728	581.99	1.53
Kaveri Seed Company Ltd	Consumer Non Durables	69,900	567.27	1.49
Power Finance Corporation Ltd	Finance	234,600	550.37	1.44
National Buildings Construction Corp. Ltd	Construction	79,800	549.34	1.44
SRF Ltd	Textile Products	72,618	548.99	1.44
Arvind Ltd	Textile Products	183,600	545.11	1.43
J&K Bank	Banks	378,080	534.04	1.40
Yes Bank Ltd	Banks	93,708	523.69	1.37
AIA Engineering Ltd	Industrial Capital Goods	54,000	507.76	1.33
CESC Ltd	Power	63,900	479.28	1.26
Crompton Greaves Ltd	Industrial Capital Goods	236,400	475.40	1.25
Finolex Cables Ltd	Industrial Products	222,480	474.88	1.25
VST Tillers Tractors Ltd	Auto	29,658	458.81	1.20
Indraprastha Gas Ltd	Gas	108,000	453.11	1.19
Orient Cement Ltd	Cement	324,000	430.43	1.13
Karur Vysya Bank Ltd	Banks	79,800	423.22	1.11
Indiabulls Housing Finance Ltd	Finance	102,600	413.17	1.08
Atul Ltd	Chemicals	29,700	412.71	1.08
Dynamatic Technologies Ltd	Auto Ancillaries	18,900	400.61	1.05
Max India Ltd	Finance	123,360	394.69	1.04
Volta Ltd	Construction Project	159,600	385.59	1.01
Pidilite Industries	Chemicals	96,900	384.79	1.01
JK Tyre & Industries Limited	Auto Ancillaries	78,600	384.51	1.01
Cyient Ltd	Software	84,900	381.29	1.00
Persistent Systems Ltd	Software	26,508	372.33	0.98
Torment Pharmaceuticals Ltd	Pharmaceuticals	41,568	362.24	0.95
Reliance Infrastructure Ltd	Power	60,750	355.66	0.93
Kalpataru Power Transmission Ltd	Power	225,960	348.66	0.91
Hindustan Zinc Ltd	Non - Ferrous Metals	216,000	347.65	0.91
D.B. Corp Limited	Media & Entertainment	97,396	344.59	0.90
Oriental Bank of Commerce	Banks	142,500	327.25	0.86
Cox & Kings Ltd	Transportation	93,108	294.22	0.77
Tata Chemicals Ltd	Chemicals	66,000	263.60	0.69
Ramkrishna Forgings Ltd	Industrial Products	99,867	235.89	0.62
Hindustan Construction Company Ltd	Construction Project	699,600	231.57	0.61

Principal Growth Fund (An open-ended Equity Scheme)

Name of Instrument	Industry / Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Equity & Equity Related Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>Equity Shares</i>				
ICICI Bank Ltd	Banks	112,952	1,619.22	4.31
ITC Ltd	Consumer Non Durables	411,235	1,522.39	4.05
Tata Motors Ltd	Auto	260,081	1,306.00	3.48
Reliance Industries Ltd	Petroleum Products	120,308	1,137.75	3.03
Maruti Suzuki India Ltd	Auto	36,085	1,105.23	2.94
Federal Bank Ltd	Banks	781,465	983.47	2.62
Aurobindo Pharma Ltd	Pharmaceuticals	96,714	936.43	2.49
Infosys Ltd	Software	24,420	915.20	2.44
HCL Technologies Ltd	Software	52,894	907.00	2.42
Ashok Leyland Limited	Auto	2,105,887	866.57	2.30
Tech Mahindra Ltd	Software	34,657	862.86	2.31
HDFC Bank Ltd	Banks	96,004	837.78	2.23
Lupin Ltd	Pharmaceuticals	57,486	802.30	2.14
Housing Development Finance Corp. Ltd	Finance	73,446	775.04	2.06
JK Cement Ltd	Cement	138,437	770.33	2.05
Tata Consultancy Services Ltd	Software	27,579	754.73	2.01
TVS Motor Company Ltd	Auto	327,041	745.49	1.99
Bharat Forge Ltd	Industrial Products	89,110	733.64	1.95
State Bank of India	Banks	29,844	729.89	1.94
Sesa Goa Ltd	Minerals/Mining	245,050	667.76	1.78
Britannia Industries Ltd	Consumer Non Durables	47,879	666.50	1.77
Cipla Ltd	Pharmaceuticals	104,744	656.54	1.75
Hindustan Zinc Ltd	Non - Ferrous Metals	405,391	652.48	1.74
Bharat Petroleum Corporation Ltd	Petroleum Products	89,365	585.70	1.56
Wipro Ltd	Software	97,936	584.48	1.56
PC Jeweller Ltd	Consumer Durables	239,344	561.26	1.49
National Aluminium Company Ltd	Non - Ferrous Metals	964,914	556.27	1.48
Jain Irrigation Systems Ltd	Industrial Products	676,137	550.38	1.47
Crompton Greaves Ltd	Industrial Capital Goods	265,931	534.79	1.42
Hindustan Construction Company Ltd	Construction Project	1,548,860	512.67	1.37
Oil & Natural Gas Corporation Ltd	Oil	125,314	511.97	1.36
Oil India Ltd	Oil	80,894	492.32	1.31
Andhra Bank	Banks	747,795	487.19	1.30
Motherson Sumi Systems Ltd	Auto Ancillaries	121,275	479.82	1.28
Tata Steel Ltd	Ferrous Metals	103,962	477.24	1.27
Aditya Birla Nuvo Ltd	Services	28,797	466.66	1.24
India Cements Ltd	Cement	414,446	466.46	1.24
Ultratech Cement Ltd	Cement	17,353	456.67	1.22
Bank of Baroda	Banks	50,122	452.25	1.20
Oriental Bank of Commerce	Banks	195,499	448.96	1.20
Ramco Cements Ltd	Cement	128,369	413.41	1.10
Apollo Tyres Ltd	Auto Ancillaries	201,052	409.64	1.09
Volta Ltd	Construction Project	168,878	408.01	1.09
Larsen & Toubro Ltd	Construction Project	27,940	407.44	1.08
Cyient Ltd	Software	90,111	404.69	1.07
Indraprastha Gas Ltd	Gas	95,873	402.24	1.08
Indiabulls Power Ltd	Power	3,106,976	400.80	1.07
ING Vysya Bank Ltd	Banks	64,633	384.08	1.02
Persistent Systems Ltd	Software	26,100	366.60	0.98
Axis Bank Limited	Banks	93,280	352.32	0.94
Prestige Estate Project Ltd	Construction	158,188	347.86	0.93
Hindalco Industries Ltd	Non - Ferrous Metals	201,600	316.01	0.84
United Phosphorus Ltd	Pesticides	88,423	300.68	0.80
Power Finance Corporation Ltd	Finance	125,385	294.15	0.78
Jet Airways (India) Ltd	Transportation	125,206	261.37	0.70
Milestone Global Ltd	Construction	100,000	6.24	0.02
Total			35,055.23	93.35
B) Privately Placed / Unlisted \$S				
<i>Equity Shares</i>				
Sangam Health Care Products Ltd	Health Care Equipment	200,000	0.02	***
Noble Brothers Impex Ltd	Personal Products	93,200	0.01	***
Balmer Lawrie Freight Container Ltd	Travel	54,000	0.01	***
Mukerian Papers Ltd	Paper Products	50,800	0.01	***
Crecent Finstock Ltd	Diversified Financial Services	39,500	0.00	***
Precision Fasteners Ltd	Auto Ancillaries	200	0.00	***
Virtual Dynamics Software Ltd	IT Consulting & Services	176,305	-	***
Total			0.04	***
II. Money Market Instruments				
Reverse Repo and CBLD			67.37	0.18
Total			67.37	0.18
III. Units of Mutual Fund				
Principal Cash Management Fund - Direct Plan - Growth Option		65,213,405	850.27	2.26
Principal Index Fund - Midcap - Direct Plan - Growth Option		2,449,830,522	309.59	0.82
Total			1,159.85	3.09
IV. Cash & Cash Equivalents				
V. Other Receivables / (Payables)			135.59	0.36
			1,136.08	3.03
Net Assets			37,554.16	100.00

Principal Debt Savings Fund - Retail Plan (An open-ended Income Fund)

Name of Instrument	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>Non-Convertible Debentures**</i>				
9.50% Housing Development Finance Corp. Ltd - M- 13-Aug-2017	AAA	45	451.77	19.53
11.10% Fullerton India Credit Company Ltd - M- 04-Sep-2015	AA+	25	252.52	10.92
9.90% Bajaj Finance Ltd - M- 25-Apr-2017	AA+	10	100.52	4.35
10.90% Power Grid Corporation of India Ltd - M- 21-Jun-2015	AAA	50	50.59	2.19
Total			855.39	36.98
<i>Government Securities</i>				
7.28% Government of India Security - M- 03-Jun-2019	Sovereign	500,000	475.90	20.58
Total			475.90	20.58
B) Privately Placed / Unlisted				
<i>Non-Convertible Debentures**</i>				
9.15% Lafarge India Ltd - M- 12-Feb-2016	AA+	2	19.96	0.86
Total			19.96	0.86
II. Money Market Instruments				
<i>Certificate of Deposit**</i>				
The Jammu & Kashmir Bank Ltd - M- 09-Mar-2015	A1+	390	375.52	16.24
Canara Bank - M- 27-Nov-2014	A1+	200	197.35	8.53
Total			572.87	24.77
<i>Commercial Paper**</i>				
Blit Graphic Paper Products Ltd - M- 28-Nov-14	A1+	40	196.44	8.49
IFMR Capital Finance Private Ltd - M- 27-Feb-15	A1+	20	95.58	4.13
Total			292.02	12.63
<i>Treasury Bill</i>				
91 Day T-Bill - M- 23-Oct-2014	Sovereign	35,000	34.82	1.51
Total			34.82	1.51
<i>Reverse Repo and CBLD</i>				
			46.53	2.01
Total			46.53	2.01
III. Cash & Cash Equivalents				
IV. Other Receivables / (Payables)				
			28.41	1.23
			(12.94)	(0.56)
Net Assets			2,312.96	100.00

Principal Bank CD Fund (An open-ended Debt Scheme)

Name of Instrument	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>Non-Convertible Debentures**</i>				
9.8433% Kotak Mahindra Prime Ltd - M- 26-Mar-2015	AAA	100	1,000.49	7.57
9.55% Small Industries Development Corp. Ltd - M- 18-Mar-2015	AAA	50	500.58	3.79
9.68% Housing Development Finance Corp. Ltd - M- 09-Feb-2015	AAA	50	499.94	3.78
9.45% Housing Development Finance Corp. Ltd - M- 20-Feb-2015	AAA	50	498.58	3.78
Total			2,500.59	18.93
II. Money Market Instruments				
<i>Certificate of Deposit**</i>				
Corporation Bank - M- 09-Mar-2015	A1+	2,500	2,408.34	18.23
Canara Bank - M- 12-Mar-2015	A1+	2,500	2,406.54	18.22
Bank of Baroda - M- 23-Feb-2015	A1+	2,145	2,072.89	15.69
Bank of India - M- 13-Mar-2015	A1+	1,130	1,087.53	8.23
The Jammu & Kashmir Bank Ltd - M- 09-Mar-2015	A1+	1,003	965.77	7.31
Union Bank of India - M- 09-Mar-15	A1+	1,000	963.28	7.29
Canara Bank - M- 09-Mar-15	A1+	500	481.64	3.65
Rainakar Bank Ltd - M- 18-May-15	A1+	10	9.45	0.07
Total			10,395.43	78.69
<i>Treasury Bill</i>				
91 Day T-Bill - M- 23-Oct-2014	Sovereign	30,000	29.85	0.23
Total			29.85	0.23
<i>Reverse Repo and CBLD</i>				
			55.56	0.42
Total			55.56	0.42
III. Units of Mutual Fund				
Principal Cash Management Fund - Direct Plan - Growth Option		7,672,165	100.03	0.76
Total			100.03	0.76
IV. Cash & Cash Equivalents				
V. Other Receivables / (Payables)				
			138.49	1.05
			(9.68)	(0.07)
Net Assets			13,210.26	100.00

Principal Dividend Yield Fund (An open-ended Equity Scheme)

Name of Instrument	Industry	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Equity & Equity Related Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>Equity Shares</i>				
Hero Motocorp Ltd	Auto	22,980	652.53	5.30
Oil & Natural Gas Corporation Ltd	Oil	130,800	534.38	4.34
Tata Motors Ltd - DVR	Auto	153,600	530.07	4.31
ICICI Bank Ltd	Banks	36,000	516.08	4.19
Bajaj Holdings & Investment Ltd	Finance	34,500	480.43	3.90
State Bank of India	Banks	18,900	462.24	3.76
Bank of Baroda	Banks	50,250	453.41	3.69
Hindustan Unilever Ltd	Consumer Non Durables	60,350	450.21	3.66
Bajaj Auto Ltd	Auto	18,900	444.45	3.61
Tech Mahindra Ltd	Software	13,500	336.11	2.73
Tata Consultancy Services Ltd	Software	11,790	322.65	2.62
Colgate Palmolive (India) Ltd	Consumer Non Durables	18,000	313.66	2.55
VST Industries Ltd	Consumer Non Durables	18,000	293.09	2.38
HCL Technologies Ltd	Software	16,860	289.11	2.35
Gateway Distriparks Ltd	Transportation	111,557	286.09	2.33
National Buildings Construction Corporation Ltd	Construction	39,960	275.08	2.24
Cummins India Ltd	Industrial Products	38,808	260.42	2.12
Oil India Ltd	Oil	39,300	239.18	1.94
SML Isuzu Ltd	Auto	30,015	232.48	1.89
Bharat Petroleum Corporation Ltd	Petroleum Products	34,500	226.11	1.84
J&K Bank	Banks	157,000	221.76	1.80
Power Finance Corporation Ltd	Finance	93,600	219.59	1.78
Hindustan Petroleum Corporation Ltd	Petroleum Products	45,000	216.97	1.76
ACC Ltd	Cement	15,000	209.93	1.71
Castrol India Ltd	Petroleum Products	48,900	203.93	1.71
Century Textiles & Industries Ltd	Cement	37,500	204.00	1.66
Karur Vysya Bank Ltd	Banks	38,400	203.65	1.66
Federal Bank Ltd	Banks	157,500	198.21	1.61
Supreme Industries Ltd	Industrial Products	27,000	176.24	1.43
HDFC Bank Ltd	Banks	20,160	175.93	1.43
Rural Electrification Corporation Ltd	Finance	67,500	168.72	1.37
Maruti Suzuki India Ltd	Auto	5,460	167.23	1.36
Aurobindo Pharma Ltd	Pharmaceuticals	15,900	153.95	1.25
DCM Shriram Ltd	Consumer Non Durables	60,600	144.56	1.17
ITC Ltd	Consumer Non Durables	38,550	142.71	1.16
Cyient Ltd	Software	30,000	134.73	1.10
IPCA Laboratories Ltd	Pharmaceuticals	15,900	125.92	1.02
Crompton Greaves Ltd	Industrial Capital Goods	61,500	123.68	1.01
Reliance Industries Ltd	Petroleum Products	12,750	120.58	0.98
Tata Global Beverages Ltd	Consumer Non Durables	72,900	116.17	0.94
Hindustan Zinc Ltd	Non - Ferrous Metals	69,600	112.02	0.91
Aurionpro Solutions Ltd	Software	39,480	108.41	0.88
McLeod Russel India Ltd	Consumer Non Durables	36,000	104.65	0.85
Kolte-Patil Developers Ltd	Construction	54,347	97.28	0.79
Tata Chemicals Ltd	Chemicals	24,000	95.86	0.78
VST Tillers Tractors Ltd	Auto	6,048	93.56	0.76
Hinduja Ventures Ltd	Media & Entertainment	30,900	92.28	0.75
IDFC Ltd	Finance	66,000	90.59	0.74
National Aluminium Company Ltd	Non - Ferrous Metals	150,000	86.48	0.70
D.B. Corp Limited	Media & Entertainment	23,955	84.54	0.69
Oriental Bank of Commerce	Banks	36,270	83.29	0.68
Crystal Cable India Ltd	Telecom - Services	50,000	0.01	***
Apollo Tyres Ltd	Auto Ancillaries	16,500	-	***
Total			12,081.20	98.20
B) Privately Placed / Unlisted \$S				
<i>Equity Shares</i>				
Sandur Laminates Ltd	Construction Project	200,000	0.02	***
Tirrihannan Company Ltd	Consumer Non Durables	50,000	0.01	***
Western Pagues Ltd	Construction	900	0.00	***
Minerava Holdings Ltd	Finance	20	-	***
Total			0.03	***

Principal Government Securities Fund

(An open-ended dedicated gilt scheme investing in government securities)

Name of Instrument	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>Government Securities</i>				
8.83% Government of India Security -M- 25-Nov-2023	Sovereign	1,450,000	1,459.43	28.62
9.20% Government of India Security -M- 30-Sep-2030	Sovereign	1,200,000	1,248.84	24.49
8.60% Government of India Security -M- 02-Jun-2028	Sovereign	800,000	796.00	15.61
7.16% Government of India Security -M- 20-May-2023	Sovereign	650,000	588.19	11.53
8.27% Government of India Security -M- 09-Jun-2020	Sovereign	500,000	492.00	9.65
Total			4,584.45	89.89
II. Money Market Instruments				
<i>i) Treasury Bill</i>				
91 Day T-Bill -M- 23-Oct-2014	Sovereign	111,000	110.44	2.17
Total			110.44	2.17
<i>ii) Reverse Repo and CBLO</i>				
Total			913.75	17.92
Total			913.75	17.92
III. Cash & Cash Equivalents			107.30	2.10
IV. Other Receivables / (Payables)			(615.75)	(12.07)
Net Assets			5,100.18	100.00

Principal Debt Opportunities Fund - Corporate Bond Plan

(An open-ended Debt Scheme)

Name of Instrument	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>Non-Convertible Debentures**</i>				
9.60% Cholamandalam Investment & Finance Co.Ltd -M- 08-May-2015	AA	5	49.90	0.77
7.65% Rural Electrification Corporation Ltd -M- 31-Jan-2016	AAA	5	49.21	0.76
8.50% Power Finance Corporation Ltd -M- 15-Dec-2014	AAA	4	39.95	0.62
9.46% Power Finance Corporation Ltd -M- 02-May-2015	AAA	2	20.01	0.31
8.64% Power Grid Corporation of India -M- 08-Jul-2015	AAA	1	12.46	0.19
Total			171.52	2.66
II. Money Market Instruments				
<i>i) Commercial Paper**</i>				
Sabero Organics Gujarat Ltd -M- 13-Oct-14	A1+	360	1,794.66	27.84
JK Lakshmi Cements Ltd -M- 27-Oct-2014	A1+	360	1,788.44	27.74
Blit Graphic Paper Products Ltd -M- 28-Nov-14	A1+	200	982.22	15.24
Cox & Kings Ltd -M- 24-Nov-14	A1+	15	73.84	1.15
Vodafone India Ltd -M- 23-Apr-2015	A1+	14	66.55	1.03
Total			4,705.72	73.00
<i>ii) Treasury Bill</i>				
91 Day T-Bill -M- 23-Oct-2014	Sovereign	1,000	0.99	0.02
Total			0.99	0.02
<i>iii) Reverse Repo and CBLO</i>				
Total			1,067.33	16.56
Total			1,067.33	16.56
III. Units of Mutual Fund				
Principal Cash Management Fund - Direct Plan - Growth Option		99,738.149	1,300.41	20.17
Total			1,300.41	20.17
IV. Cash & Cash Equivalents				
V. Other Receivables / (Payables)				
Total			(816.59)	(12.67)
Net Assets			6,446.31	100.00

Principal Debt Savings Fund - Monthly Income Plan

(An open-ended Income Fund - Monthly Income is not assured and is subject to the availability of distributable surplus.)

Name of Instrument	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>i) Non-Convertible Debentures**</i>				
11.10% Fullerton India Credit Company Ltd -M- 04-Sep-2015	AA+	15	151.51	6.27
9.45% LIC Housing Finance Ltd -M- 14-Oct-2016	AAA	10	100.29	4.15
Total			251.80	10.42
<i>ii) Government Securities</i>				
8.40% Government of India Security -M- 28-Jul-2024	Sovereign	1,000,000	992.50	41.07
8.60% Government of India Security -M- 02-Jun-2028	Sovereign	400,000	398.00	16.47
Total			1,390.50	57.54
B) Privately Placed / Unlisted				
<i>Non-Convertible Debentures**</i>				
9.15% Lafarge India Ltd -M- 13-Feb-2015	AA+	5	49.89	2.06
Total			49.89	2.06
II. Equity & Equity Related Instruments				
A) Derivatives				
<i>i) Index Futures</i>				
NIFTY - Oct 14	Index Futures	600	47.99	1.99
Total			47.99	1.99
III. Money Market Instruments				
<i>i) Commercial Paper**</i>				
IFMR Capital Finance Private Ltd -M- 27-Feb-15	A1+	80	382.30	15.82
Total			382.30	15.82
<i>ii) Treasury Bill</i>				
91 Day T-Bill -M- 23-Oct-2014	Sovereign	36,000	35.82	1.48
Total			35.82	1.48
<i>iii) Reverse Repo and CBLO</i>				
Total			41.47	1.72
IV. Units of Mutual Fund				
Principal Index Fund - Nifty - Direct Plan - Growth Option		436,276.778	240.92	9.97
Total			240.92	9.97
V. Cash & Cash Equivalents				
VI. Other Receivables / (Payables)				
Total			(99.16)	(4.10)
Net Assets			2,416.46	100.00

Principal Income Fund - Long Term Plan

(An open-ended Income Scheme)

Name of Instrument	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>i) Non-Convertible Debentures**</i>				
9.25% Housing Development Finance Corpn.Ltd -M- 26-Feb-2018	AAA	50	498.53	7.19
8.95% Reliance Utilities & Power Ltd -M- 26-Apr-2023	AAA	50	476.32	6.87
7.30% Rural Electrification Corporation Ltd -M- 30-Jun-2015	AAA	4	39.49	0.57
8.40% Housing Development Finance Corpn.Ltd -M- 08-Dec-2014	AAA	1	9.98	0.14
Total			1,024.33	14.77
<i>ii) Government Securities</i>				
8.83% Government of India Security -M- 25-Nov-2023	Sovereign	2,000,000	2,013.00	29.02
9.20% Government of India Security -M- 30-Sep-2030	Sovereign	800,000	832.56	12.00
8.60% Government of India Security -M- 02-Jun-2028	Sovereign	700,000	696.50	10.04
8.27% Government of India Security -M- 09-Jun-2020	Sovereign	500,000	492.00	7.09
7.16% Government of India Security -M- 20-May-2023	Sovereign	350,000	316.72	4.57
Total			4,350.78	62.72
<i>iii) Zero Coupon Bonds**</i>				
0% Cholamandalam Investment & Finance Co.Ltd -M- 24-May-2016	AA	50	569.58	8.21
Total			569.58	8.21
II. Money Market Instruments				
<i>i) Commercial Paper**</i>				
Vodafone India Ltd -M- 23-Apr-2015	A1+	44	209.17	3.02
Total			209.17	3.02
<i>ii) Treasury Bill</i>				
91 Day T-Bill -M- 23-Oct-2014	Sovereign	217,000	215.90	3.11
Total			215.90	3.11
<i>iii) Reverse Repo and CBLO</i>				
Total			819.49	11.81
Total			819.49	11.81
III. Cash & Cash Equivalents				
IV. Other Receivables / (Payables)				
Total			(416.27)	(6.00)
Net Assets			6,936.34	100.00

Principal Income Fund - Short Term Plan

(An open-ended Income Scheme)

Name of Instrument	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>i) Non-Convertible Debentures**</i>				
9.75% Housing Development Finance Corporation Ltd -M- 10-Oct-2016	AAA	100	1,008.77	10.59
9.05% Tata Motors Ltd -M- 30-Oct-2015	AA+	100	996.71	10.46
9.30% Power Grid Corporation Ltd -M- 04-Sep-2019	AAA	50	503.45	5.28
9.69% LIC Housing Finance Ltd -M- 11-Jan-2017	AAA	50	503.24	5.28
9.25% Rural Electrification Corporation Ltd -M- 27-Aug-2017	AAA	50	502.24	5.27
9.32% Power Finance Corporation Ltd -M- 17-Sep-2019	AAA	50	501.22	5.26
9.11% Power Finance Corporation Ltd -M- 07-Jul-2017	AAA	50	499.24	5.24
8.27% Power Finance Corporation Ltd -M- 25-Jun-2016	AAA	50	493.64	5.18
9.60% Cholamandalam Investment & Finance Co.Ltd -M- 08-May-2015	AA	45	448.08	4.71
8.50% Power Finance Corporation Ltd -M- 15-Dec-2014	AAA	14	139.81	1.47
Total			5,597.38	58.76
<i>ii) Government Securities</i>				
8.12% Government of India Security -M- 10-Dec-2020	Sovereign	500,000	486.60	5.11
7.28% Government of India Security -M- 03-Jun-2019	Sovereign	500,000	475.90	5.00
Total			962.50	10.10
II. Money Market Instruments				
<i>i) Certificate of Deposit**</i>				
Union Bank of India -M- 12-Dec-14	A1+	500	491.79	5.16
Total			491.79	5.16
<i>ii) Commercial Paper**</i>				
IFMR Capital Finance Private Ltd -M- 27-Feb-15	A1+	140	669.03	7.02
Cox & Kings Ltd -M- 24-Nov-14	A1+	100	492.29	5.17
Blit Graphic Paper Products Ltd -M- 28-Nov-14	A1+	100	491.11	5.16
JK Lakshmi Cements Ltd -M- 27-Oct-2014	A1+	50	248.39	2.61
Total			1,900.83	19.95
<i>iii) Treasury Bill</i>				
91 Day T-Bill -M- 23-Oct-2014	Sovereign	120,000	119.39	1.25
Total			119.39	1.25
<i>iv) Reverse Repo and CBLO</i>				
Total			9.52	0.10
Total			9.52	0.10
III. Units of Mutual Fund				
Principal Cash Management Fund - Direct Plan - Growth Option		15,344.331	200.06	2.10
Total			200.06	2.10
IV. Cash & Cash Equivalents				
V. Other Receivables / (Payables)				
Total			(20.00)	(0.21)
Net Assets			9,526.57	100.00

Principal Pnb Fixed Maturity Plan - Series B5

(A close-ended Debt Scheme offering Fixed Maturity Plan having maturity of 732 Days)

Name of Instrument	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>i) Non-Convertible Debentures**</i>				
9.8121% Cholamandalam Investment & Finance Ltd -M- 01-Aug-2016	AA	40	399.30	19.57
9.30% Power Grid Corporation of India Ltd -M- 28-Jun-2016	AAA	31	390.66	19.14
9.16% Power Finance Corporation Ltd -M- 30-Jul-2016	AAA	30	300.36	14.72
8.27% Power Finance Corporation Ltd -M- 25-Jun-2016	AAA	9	88.85	4.35
Total			1,179.18	57.78
<i>ii) Zero Coupon Bonds**</i>				
0% Sundaram BNP Paribas Housing Finance Ltd -M- 01-Aug-2016	AA+	40	404.66	19.83
Total			404.66	19.83
B) Privately Placed / Unlisted				
<i>Non-Convertible Debentures**</i>				
9.15% Lafarge India Ltd -M- 12-Feb-2016	AA+	38	379.22	18.58
Total			379.22	18.58
II. Money Market Instruments				
<i>Reverse Repo and CBLO</i>				
Total			33.73	1.65
III. Cash & Cash Equivalents				
IV. Other Receivables / (Payables)				
Total			(44.56)	(2.18)
Net Assets			2,040.83	100.00

Principal Pnb Fixed Maturity Plan - Series B10

(A close-ended Debt Scheme offering Fixed Maturity Plan having maturity of 476 Days)

Name of Instrument	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>i) Non-Convertible Debentures**</i>				
10.0186% Kotak Mahindra Prime Ltd -M- 06-Apr-2015	AAA	200	2,004.13	18.62
9.85% Tata Motors Ltd -M- 30-Mar-2015	AA+	100	1,000.16	9.29
9.20% IDFC Ltd -M- 27-Feb-2015	AAA	100	999.28	9.29
8.90% Power Grid Corporation of India Ltd -M- 25-Feb-2015	AAA	80	998.45	9.28
8.90% Power Finance Corporation Ltd -M- 15-Mar-2015	AAA	100	997.93	9.27
8.84% Power Grid Corporation of India Ltd -M- 29-Mar-2015	AAA	16	199.61	1.85
10.0232% Kotak Mahindra Prime Ltd -M- 02-Apr-2015	AAA	3	30.06	0.28
Total			6,229.60	57.88
<i>ii) Zero Coupon Bonds**</i>				
0% Sundaram BNP Home Finance Ltd -M- 06-Apr-2015	AA+	200	2,162.79	20.10
Total			2,162.79	20.10
B) Privately Placed / Unlisted				
<i>Non-Convertible Debentures**</i>				
9.15% Lafarge India Ltd -M- 13-Feb-2015	AA+	145	1,446.88	13.44
Total			1,446.88	13.44
II. Money Market Instruments				
<i>i) Certificate of Deposit**</i>				
Bank of India -M- 13-Mar-2015	A1+	270	259.85	2.41
South Indian Bank Ltd -M- 23-Mar-2015	A1+	150	143.93	1.34
Total			403.78	3.75
<i>ii) Reverse Repo and CBLO</i>				
Total			69.85	0.65
Total			69.85	0.65
III. Cash & Cash Equivalents				
IV. Other Receivables / (Payables)				
Total			(8.78)	(0.08)
Net Assets			10,762.07	100.00

- Notes :
- No NPA's were provided for any of the Schemes during the half year.
 - Total value and percentage of illiquid shares to NAV is NIL except for Principal Growth Fund, Principal Tax Savings Fund, Principal Dividend Yield Fund and Principal Personal Tax Saver Fund. The value of illiquid securities and percentage of the same to the NAV in aforementioned schemes have been mentioned in their respective portfolio statement and the percentage of the same to the NAV is less than 0.01% of the AUM of the said Schemes.
 - For NAV at the beginning of the period please refer Annexure I of the Half Yearly Portfolio Statement for the period ended September 30, 2014, provided herein.
 - For dividend declared during the half year ended please refer Annexure I of the Half Yearly Portfolio Statement for the period ended September 30, 2014, provided herein.
 - For NAV at the end of the period please refer Annexure I of the Half Yearly Portfolio Statement for the period ended September 30, 2014, provided herein.
 - Total outstanding exposure in derivative instruments as on September 30, 2014 is as under:

Name of the Scheme	Amount (₹ Lakh)
Principal Dividend Yield Fund	110.40
Principal Emerging Bluechip Fund	281.22
Principal Debt Savings Fund - MIP Plan	47.99

Pursuant to SEBI Circular no. CIR/IMD/DF/11/2010 dated August 18, 2010, the disclosure for investments in derivative instruments has been given as per Annexure II.

- Total investments in foreign securities at the end of the period in Principal Global Opportunities fund is ₹ 2,159.47 Lacs (95.44% of the scheme assets). There are no investments in foreign securities/ ADRs/ GDRs at the end of the period in any other schemes of Principal Mutual Fund.

- Portfolio Turnover Ratio & Average Maturity of portfolio is as follows:

Name of the Scheme	Portfolio Turnover Ratio	Average Maturity (Days / Yrs)
Principal Growth Fund	1.14	-
Principal Index Fund - Nifty	0.97	-
Principal Large Cap Fund	0.80	-
Principal Dividend Yield Fund	0.50	-
Principal Emerging Bluechip Fund	0.76	-
Principal Personal Tax Saver Fund	0.81	-
Principal SMART Equity Fund	0.76	-
Principal Tax Savings Fund	1.04	-
Principal Global Opportunities Fund	-	-
Principal Debt Opportunities Fund - Conservative Plan	-	-
Principal Debt Opportunities Fund - Corporate Bond Plan	-	38 Days
Principal Government Securities Fund	-	10.22 Yrs
Principal Income Fund - Long Term Plan	-	7.75 Yrs
Principal Bank CD Fund	-	0.43 Yrs
Principal Income Fund - Short Term Plan	-	745 Days
Principal Debt Savings Fund - Monthly Income Plan	-	7.23 Yrs

Principal Debt Savings Fund - Retail Plan	-	1.88 Yrs
Principal Balanced Fund	0.77	2.57 Yrs
Principal Cash Management Fund	-	67 Days
Principal Retail Money Manager Fund	-	40 Days
Principal Pnb Fixed Maturity Plan - Series B2	-	-
Principal Pnb Fixed Maturity Plan - Series B5	-	-
Principal Pnb Fixed Maturity Plan - Series B10	-	-
Principal Pnb Fixed Maturity Plan - Series B12	-	-
Principal Pnb Fixed Maturity Plan - Series B13	-	-
Principal Pnb Fixed Maturity Plan - Series B14	-	-
Principal Pnb Fixed Maturity Plan - Series B15	-	-
Principal Pnb Fixed Maturity Plan - Series B16	-	-
Principal Index Fund - Midcap	0.48	-
Principal Pnb Fixed Maturity Plan - Series B17	-	-

* In respect of Floating Rate Instruments, the average maturity has been computed after considering the next reset date as the maturity date.

- Industry classification as released by Association of Mutual Funds in India (AMFI).
- All the information provided is as on September 30, 2014 unless specified otherwise.

Annexure I :-

Sr. No.	Name of the Schemes	Principal Growth Fund				Principal Large Cap Fund				Principal SMART Equity Fund				Principal Emerging Bluechip Fund				Principal Personal Tax Saver Fund		
		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan	Direct Plan	
		Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Growth	Growth	
1.	NAV at the beginning of the year/period (₹ per unit)	30.08	66.38	30.31	66.86	23.80	34.75	23.97	35.01	13.23	13.23	13.37	13.37	30.50	40.03	30.73	40.36	114.41	115.22	
2.	NAV at the end of the year/period (₹ per Unit)	39.70	87.63	40.12	88.51	29.27	42.75	29.57	43.18	15.59	15.59	15.81	15.81	42.90	56.30	43.34	56.93	140.83	142.18	
3.	a. Net Dividend - Corporate (₹ Per Unit)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	b. Net Dividend - Non-Corporate (₹ Per Unit)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Sr. No.	Name of the Schemes	Principal Dividend Yield Fund				Principal Index Fund - Nifty				Principal Index Fund - Midcap**				Principal Global Opportunities Fund				Principal Tax Savings Fund		
		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan	Direct Plan	
		Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Growth	Growth	
1.	NAV at the beginning of the year/period (₹ per unit)	19.80	26.82	19.94	27.01	27.0832	46.0818	27.2216	46.3187	N.A.	N.A.	N.A.	N.A.	21.4785	21.5635	21.5635	21.5635	97.94	98.56	
2.	NAV at the end of the year/period (₹ per Unit)	24.96	33.81	25.20	34.13	32.2087	54.8027	32.4539	55.2227	12.6096	12.6096	12.6370	12.6370	22.5980	22.5980	22.7386	22.7386	129.28	130.37	
3.	a. Net Dividend - Corporate (₹ Per Unit)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	b. Net Dividend - Non-Corporate (₹ Per Unit)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Sr. No.	Name of the Schemes	Principal Balanced Fund				Principal Bank CD Fund*				Principal Debt Opportunities Fund - Corporate Bond Plan*				Principal Debt Savings Fund - Monthly Income Plan*					
		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan			
		Dividend	Growth	Dividend	Growth	Growth	Monthly Dividend	Growth	Monthly Dividend	Growth	Monthly Dividend	Growth	Monthly Dividend	Quarterly Dividend	Growth	Monthly Dividend	Quarterly Dividend	Growth	
1.	NAV at the beginning of the year/period (₹ per unit)	19.48	38.55	19.70	38.95	1645.0759	1125.8631	1650.6966	1127.0413	1957.2114	1237.4011	1969.4492	1240.3544	10.7825	12.2323	25.0155	10.8543	12.3468	25.2594
2.	NAV at the end of the year/period (₹ per Unit)	23.73	46.94	24.10	47.64	1714.6319	1126.2967	1726.1808	1127.5162	2052.3754	1241.4407	2072.8019	1244.3149	10.7714	12.1881	26.5720	10.8639	12.4463	26.9339
3.	a. Net Dividend - Corporate (₹ Per Unit)	-	-	-	-	-	34.56690797	-	37.37540116	-	40.94686171	-	44.53451750	0.49676926	0.59168595	-	0.51469849	0.52451675	-
	b. Net Dividend - Non-Corporate (₹ Per Unit)	-	-	-	-	-	36.09288915	-	39.02536528	-	42.75449055	-	46.50052600	0.51869949	0.61780635	-	0.53742022	0.54767193	-

Sr. No.	Name of the Schemes	Principal Debt Opportunities Fund - Conservative Plan*				Principal Income Fund - Short Term Plan*				Principal Income Fund - Long Term Plan*									
		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan							
		Growth	Daily Dividend	Weekly Dividend	Monthly Dividend	Growth	Daily Dividend	Weekly Dividend	Monthly Dividend	Monthly Dividend	Growth	Monthly Dividend	Annual Dividend	Quarterly Dividend	Growth	Annual Dividend	Quarterly Dividend	Growth	
1.	NAV at the beginning of the year/period (₹ per unit)	2027.5297	1001.5677	1001.5743	1141.0823	2040.0168	1001.7605	1003.3464	1143.0736	12.8408	22.2482	12.8743	22.4291	11.5900	12.9820	20.9375	11.9615	13.1111	21.1440
2.	NAV at the end of the year/period (₹ per Unit)	2111.0546	1001.5677	1001.9392	1140.7922	2134.4869	1001.7605	1004.0661	1142.9266	12.8385	23.2279	12.8724	23.4988	11.1850	12.9784	21.9462	11.4976	13.0307	22.2492
3.	a. Net Dividend - Corporate (₹ Per Unit)	-	30.18288995	29.90165561	34.66758713	-	33.85259429	33.36857688	38.82506157	0.41570267	-	0.45033211	-	0.71871035	0.46339279	-	0.81319501	0.56310173	-
	b. Net Dividend - Non-Corporate (₹ Per Unit)	-	31.51533545	31.22168582	36.19801285	-	35.34704163	34.84165690	40.53902201	0.43405416	-	0.47021235	-	0.75043834	0.48384960	-	0.84909410	0.58796026	-

Sr. No.	Name of the Schemes	Principal Cash Management Fund*				Principal Pnb Fixed Maturity Plan - Series B5*				Principal Government Securities Fund*									
		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan							
		Daily Dividend	Weekly Dividend	Monthly Dividend	Growth	Daily Dividend	Weekly Dividend	Monthly Dividend	Growth	Dividend	Growth	Dividend	Growth	Quarterly Dividend	Annual Dividend	Growth	Quarterly Dividend	Annual Dividend	Growth
1.	NAV at the beginning of the year/period (₹ per unit)	1000.3037	1006.8350	1024.1107	1245.3047	1000.3870	1007.5741	1024.1383	1246.9067	10.6548	10.6548	10.6583	10.6583	11.5233	13.4633	23.9312	11.5959	13.8981	24.0793
2.	NAV at the end of the year/period (₹ per Unit)	1000.3037	1007.5455	1024.2571	1301.6315	1000.3870	1008.2696	1024.2856	1303.8206	N.A.	11.1439	N.A.	11.1504	11.8225	13.0498	25.2450	11.8549	13.4415	25.4661
3.	a. Net Dividend - Corporate (₹ Per Unit)	33.03103828	32.71575619	33.79252184	-	33.32944622	33.05463036	34.09582805	-	0.73136303	-	0.73543063	-	0.24770506	0.86066124	-	0.30330622	0.93872677	-
	b. Net Dividend - Non-Corporate (₹ Per Unit)	34.48921738	34.16001694	35.28431716	-	34.80079878	34.51385093	35.60101305	-	0.76364959	-	0.76789675	-	0.25864017	0.89865576	-	0.31669589	0.98016754	-

Sr. No.	Name of the Schemes	Principal Retail Money Manager Fund*				Principal Debt Savings Fund - Retail Plan*				Principal Pnb Fixed Maturity Plan - Series B10*		Principal Pnb Fixed Maturity Plan - Series B12*								
		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan	Direct Plan	Regular Plan	Direct Plan							
		Growth	Daily Dividend	Weekly Dividend	Monthly Dividend	Growth	Daily Dividend	Weekly Dividend	Monthly Dividend	Growth	Quarterly Dividend	Growth	Quarterly Dividend							
1.	NAV at the beginning of the year/period (₹ per unit)	1442.9911	1005.4300	1013.0299	1060.5969	1444.7731	N.A.	N.A.	1060.6322	22.3351	10.2610	11.8385	22.5755	11.9031	10.2639	10.2639	10.2781	10.1341	10.1364	10.1364
2.	NAV at the end of the year/period (₹ per Unit)	1510.4608	1005.4300	1013.8571	1060.4143	1513.0976	N.A.	N.A.	1060.4709	23.2866	10.2599	11.8547	23.6273	11.9234	10.7283	10.7283	10.7706	10.5901	10.6059	10.6059
3.	a. Net Dividend - Corporate (₹ Per Unit)	-	34.03165016	33.95827900	36.40323906	-	-	-	36.79438764	-	0.32114337	0.35995224	-	0.39338757	-	-	-	-	-	-
	b. Net Dividend - Non-Corporate (₹ Per Unit)	-	35.53400194	35.45739181	38.01028639	-	-	-	38.41870251	-	0.33532047	0.37584259	-	0.41075394	-	-	-	-	-	-

Sr. No.	Name of the Schemes	Principal Pnb Fixed Maturity Plan - Series B2*		Principal Pnb Fixed Maturity Plan - Series B13*		Principal Pnb Fixed Maturity Plan - Series B14*		Principal Pnb Fixed Maturity Plan - Series B15*		Principal Pnb Fixed Maturity Plan - Series B16**		Principal Pnb Fixed Maturity Plan - Series B17**									
		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan									
		Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth								
1.	NAV at the beginning of the year/period (₹ per unit)	11.2734	11.2734	10.0960	10.0960	10.0973	10.0973	10.0661	10.0661	10.0671	10.0671	10.0231	10.0231	10.0231	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
2.	NAV at the end of the year/period (₹ per Unit)	11.8109	11.8109	10.5540	10.5540	10.5822	10.5822	10.5316	10.5316	10.5568	10.5568	10.4947	10.4947	10.5028	10.4239	10.4239	10.4500	10.4500	10.3329	10.3349	10.3349
3.	a. Net Dividend - Corporate (₹ Per Unit)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	b. Net Dividend - Non-Corporate (₹ Per Unit)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

- Note :
- * The NAV per unit (at the beginning of the half year/period) of these schemes is provided as of March 28, 2014 (as March 31, 2014 was a non Business day)
 - ** Scheme has been launched during the half-year ended September 30, 2014, the NAV per unit at the beginning of the period is not available.
 - indicates NIL.

DETAILS OF INVESTMENTS IN DERIVATIVE INSTRUMENTS

Hedging Positions through Futures as on 30th September 2014 : Nil

For the period April 1, 2014 to September 30, 2014, hedging transactions through futures have been squared off/expired : Nil

Other than Hedging Positions through Futures as on September 30, 2014 :

Scheme	Underlying	Long / Short	Futures Price when purchased	Current price of the contract	Margin maintained in ₹ Lakhs	Total exposure due to futures (non-hedging positions) as a %age to net assets
Principal Dividend Yield Fund	Apollo Tyres Limited	Long	194.07	204.45	11.98	0.90%
Principal Emerging Bluechip Fund	Apollo Tyres Limited	Long	193.65	204.45	23.96	0.58%
Principal Emerging Bluechip Fund	Tata Chemicals Limited	Long	382.60	402.75	6.44	0.16%
Principal Debt Savings Fund - MIP Plan	NIFTY Index Future	Long	8,041.96	7,999.15	3.80	1.99%

For the period April 1, 2014 to September 30, 2014, following non-hedging transactions through futures have been squared off/expired :

Scheme	Total Number of contracts where futures were bought (opening balance)	Total Number of contracts where futures were sold	Gross Notional Value of contracts where futures were bought (opening balance)	Gross Notional Value of contracts where futures were sold	were bought Value of contracts where futures were sold	Net Profit/Loss value on all contracts combined
Principal Debt Savings Fund - MIP Plan	29	228	9,713,563	82,261,694	94,328,453	2,353,196
Principal Dividend Yield Fund	-	63	-	24,923,971	25,140,411	216,440
Principal Emerging Bluechip Fund	54	156	14,002,373	60,203,144	77,069,506	2,863,990
Principal Large Cap Fund	32	-	7,392,198	-	8,260,800	868,602
Principal Smart Equity Fund	4	-	923,777	-	1,032,600	108,823