

Principal Mutual Fund

Investment Manager: Principal Pnb Asset Management Company Private Limited

Exchange Plaza, 'B' Wing, Ground Floor, NSE Building, Bandra Kurla Complex, Bandra (East), Mumbai-400 051

Half Yearly Portfolio Statement of the Schemes of Principal Mutual Fund as on September 30, 2013

(Pursuant to Regulation 59A of SEBI (Mutual Funds) Regulations, 1996)

Principal Large Cap Fund (An open-ended Equity Scheme)

Name of Instrument	Industry	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Equity & Equity Related Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>i) Equity Shares</i>				
Infosys Ltd	Software	80,712	2,431.85	8.28
ITC Ltd	Consumer Non Durables	670,140	2,281.16	7.76
Housing Development Finance Corporation Ltd	Finance	199,840	1,527.28	5.20
Reliance Industries Ltd	Petroleum Products	163,284	1,342.85	4.57
Tata Consultancy Services Ltd	Software	62,572	1,206.26	4.11
HCL Technologies Ltd	Software	110,185	1,197.93	4.08
HDFC Bank Ltd	Banks	198,915	1,179.67	4.01
Tata Motors Ltd	Auto	353,250	1,174.56	4.00
Divi's Laboratories Ltd	Pharmaceuticals	111,553	1,082.45	3.68
ICICI Bank Ltd	Banks	108,012	954.45	3.25
Idea Cellular Ltd	Telecom - Services	541,857	911.67	3.10
Cairn India Ltd	Oil	239,959	765.11	2.60
Larsen & Toubro Ltd	Construction Project	86,685	683.73	2.33
Oracle Financial Services Software Ltd	Software	21,930	674.11	2.29
Aditya Birla Nuvo Ltd	Services	50,484	627.11	2.13
Axis Bank Ltd	Banks	60,414	608.88	2.07
Shree Cement Ltd	Cement	14,573	600.94	2.05
Motherson Sumi Systems Ltd	Auto Ancillaries	253,962	583.99	1.99
Bharti Airtel Ltd	Telecom - Services	180,018	573.63	1.95
ING Vysya Bank Ltd	Banks	101,360	543.04	1.85
Jindal Steel & Power Ltd	Ferrous Metals	222,180	523.23	1.78
State Bank of India	Banks	30,296	489.25	1.67
Bharat Petroleum Corporation Ltd	Petroleum Products	140,170	464.31	1.58
Nestle India Ltd	Consumer Non Durables	6,047	315.63	1.07
Tata Steel Ltd	Ferrous Metals	112,893	306.56	1.04
Dr. Reddy's Laboratories Ltd	Pharmaceuticals	12,437	296.14	1.01
Sesa Goa Ltd	Minerals/Mining	157,544	284.76	0.97
Sun Pharmaceuticals Industries Ltd	Pharmaceuticals	46,671	276.90	0.94
Sun TV Network Ltd	Media & Entertainment	69,607	273.69	0.93
Maruti Suzuki India Ltd	Auto	19,367	263.11	0.90
Tata Global Beverages Ltd	Consumer Non Durables	176,208	253.48	0.86
Coal India Ltd	Minerals/Mining	85,056	250.45	0.85
Exide Industries Ltd	Auto Ancillaries	180,506	233.48	0.79
Hero Motocorp Ltd	Auto	11,245	225.94	0.77
Bank of Baroda	Banks	41,650	205.56	0.70
Lupin Ltd	Pharmaceuticals	21,613	184.97	0.63
Dabur India Ltd	Consumer Non Durables	108,976	184.71	0.63
Wipro Ltd	Software	37,898	179.28	0.61
United Phosphorus Ltd	Pesticides	107,873	156.25	0.53
Hindustan Zinc Ltd	Non - Ferrous Metals	110,650	147.77	0.50
United Spirits Ltd	Consumer Non Durables	5,726	145.05	0.49
Total			26,611.20	90.57
II. Money Market Instruments				
<i>i) Reverse Repo and CBLO</i>				
			3,196.24	10.88
Total			3,196.24	10.88
III. Cash & Cash Equivalents				
			342.40	1.17
IV. Other Receivables / (Payables)				
			(768.27)	(2.61)
Net Assets			29,381.58	100.00

Principal Emerging Bluechip Fund (An open-ended Equity Scheme)

Name of Instrument	Industry	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Equity & Equity Related Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>i) Equity Shares</i>				
Amara Raja Batteries Ltd	Auto Ancillaries	399,768	1,162.53	5.19
Tech Mahindra Ltd	Software	73,950	987.79	4.41
IPCA Laboratories Ltd	Pharmaceuticals	103,680	741.73	3.31
ING Vysya Bank Ltd	Banks	122,860	658.33	2.94
HCL Technologies Ltd	Software	58,890	640.25	2.86
Shree Cement Ltd	Cement	15,228	627.95	2.81
ICICI Bank Ltd	Banks	65,880	582.15	2.60
Divi's Laboratories Ltd	Pharmaceuticals	58,860	571.15	2.55
Eicher Motors Ltd	Auto	15,990	569.30	2.54
Kaveri Seed Company Ltd	Consumer Non Durables	37,800	550.73	2.46
The Jammu & Kashmir Bank Ltd	Banks	47,808	544.37	2.43
The Federal Bank Ltd	Banks	186,900	531.92	2.38
Godrej Consumer Products Ltd	Consumer Non Durables	61,608	515.87	2.30
Motherson Sumi Systems Ltd	Auto Ancillaries	210,648	484.39	2.16
Tata Global Beverages Ltd	Consumer Non Durables	335,700	482.90	2.16
Glenmark Pharmaceuticals Ltd	Pharmaceuticals	90,900	482.63	2.16
Mahindra & Mahindra Financial Services Ltd	Finance	183,450	472.66	2.11
Oracle Financial Services Software Ltd	Software	15,270	469.38	2.10
Torrent Pharmaceuticals Ltd	Pharmaceuticals	105,174	460.87	2.06
Aditya Birla Nuvo Ltd	Services	36,700	455.89	2.04
Lupin Ltd	Pharmaceuticals	52,908	452.81	2.02
Asian Paints Ltd	Consumer Non Durables	96,990	445.43	1.99
Sun TV Network Ltd	Media & Entertainment	112,800	443.53	1.98
Cummins India Ltd	Industrial Products	103,800	423.76	1.89
Bata India Ltd	Consumer Durables	47,580	407.55	1.82
Britannia Industries Ltd	Consumer Non Durables	48,818	401.28	1.79
Oil India Ltd	Oil	84,690	370.43	1.66
Escorts Ltd	Auto	432,108	365.35	1.63
MT Educare Ltd	Diversified Consumer Services	366,980	362.21	1.62
Symphony Ltd	Consumer Durables	113,400	346.04	1.55
Sadhav Engineering Ltd	Construction Project	575,400	345.24	1.54
LIC Housing Finance Ltd	Finance	172,800	324.78	1.45
United Phosphorus Ltd	Pesticides	223,500	323.74	1.45
Aurobindo Pharma Ltd	Pharmaceuticals	147,600	298.67	1.33
Hathway Cable & Datacom Ltd	Media & Entertainment	103,800	297.59	1.33
Gateway Distriparks Ltd	Transportation	265,950	276.99	1.24
Reliance Infrastructure Ltd	Power	70,800	262.31	1.17
Arvind Ltd	Textile Products	309,900	241.26	1.08
Graphite India Ltd	Industrial Products	315,900	237.56	1.06
Jain Irrigation Gas Ltd	Gas	87,750	236.35	1.06
JK Cement Ltd	Cement	121,500	227.87	1.02
Petronet LNG Ltd	Gas	191,400	227.19	1.02
Tata Chemicals Ltd	Chemicals	94,800	225.53	1.01
Hindustan Zinc Ltd	Non - Ferrous Metals	166,800	222.76	1.00
Max India Ltd	Finance	115,800	222.16	0.99
Hindustan Petroleum Corporation Ltd	Petroleum Products	115,350	221.65	0.99
Godrej Industries Ltd	Chemicals	78,900	211.61	0.95
Prestige Estate Project Ltd	Construction	172,800	202.95	0.91
Justdial Ltd	Software	23,985	202.48	0.90
Puravankara Projects Ltd	Construction	254,508	184.39	0.82
Idea Cellular Ltd	Telecom - Services	99,450	167.32	0.75
Gujarat Mineral Development Corporation Ltd	Minerals/Mining	183,900	165.33	0.74
Jain Irrigation Systems Ltd	Industrial Products	280,800	162.72	0.73
IndusInd Bank Ltd	Banks	43,380	159.96	0.71
Polaris Financial Technology Ltd	Software	86,808	112.07	0.50
Jet Airways (India) Ltd	Transportation	30,900	110.98	0.50
Dish TV India Ltd	Media & Entertainment	198,000	99.99	0.45
Spicejet Ltd	Transportation	459,000	84.23	0.38
Total			22,066.87	98.59
B) Derivatives				
Dish TV India Ltd - Oct 13	Stock Futures		91.80	0.41
Total			91.80	0.41
II. Money Market Instruments				
<i>i) Reverse Repo and CBLO</i>				
			266.93	1.19
Total			266.93	1.19
III. Cash & Cash Equivalents				
			131.24	0.59
IV. Other Receivables / (Payables)				
			(174.88)	(0.78)
Net Assets			22,381.97	100.00

Principal Personal Tax Saver Fund (An open-ended Equity Linked Savings Scheme)

Name of Instrument	Industry	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Equity & Equity Related Instruments - A) Listed / Awaiting listing on the stock exchanges				
<i>i) Equity Shares</i>				
Infosys Ltd	Software	91,702	2,762.98	8.37
ITC Ltd	Consumer Non Durables	754,021	2,566.69	7.77
Housing Development Finance Corp. Ltd	Finance	227,219	1,736.52	5.26
Reliance Industries Ltd	Petroleum Products	183,305	1,507.50	4.57
Tata Consultancy Services Ltd	Software	70,606	1,361.14	4.12
HCL Technologies Ltd	Software	124,176	1,350.04	4.09
HDFC Bank Ltd	Banks	223,572	1,325.89	4.02
Tata Motors Ltd	Auto	396,679	1,318.96	3.99
Divi's Laboratories Ltd	Pharmaceuticals	126,150	1,224.10	3.71
ICICI Bank Ltd	Banks	129,952	1,148.32	3.48
Idea Cellular Ltd	Telecom - Services	609,414	1,025.34	3.11
Cairn India Ltd	Oil	269,971	860.80	2.61
Oracle Financial Services Software Ltd	Software	25,012	768.84	2.33
Larsen & Toubro Ltd	Construction Project	97,166	766.40	2.32
Aditya Birla Nuvo Ltd	Services	56,571	702.72	2.13
Axis Bank Ltd	Banks	68,079	686.13	2.08
Shree Cement Ltd	Cement	16,360	674.63	2.04
Motherson Sumi Systems Ltd	Auto Ancillaries	283,425	651.74	1.97
Bharti Airtel Ltd	Telecom - Services	199,322	634.85	1.92
ING Vysya Bank Ltd	Banks	114,396	612.88	1.86
Jindal Steel & Power Ltd	Ferrous Metals	252,304	594.18	1.80
State Bank of India	Banks	33,904	547.52	1.66
Bharat Petroleum Corporation Ltd	Petroleum Products	157,135	520.51	1.58
Nestle India Ltd	Consumer Non Durables	6,842	357.12	1.08
Tata Steel Ltd	Ferrous Metals	126,722	344.11	1.04
Dr. Reddy's Laboratories Ltd	Pharmaceuticals	13,963	332.48	1.01
Sesa Goa Ltd	Minerals/Mining	176,846	319.65	0.97
Sun Pharmaceuticals Industries Ltd	Pharmaceuticals	52,745	312.94	0.95
Sun TV Network Ltd	Media & Entertainment	78,038	306.85	0.93
Maruti Suzuki India Ltd	Auto	22,129	300.63	0.91
Tata Global Beverages Ltd	Consumer Non Durables	200,360	288.22	0.87
Coal India Ltd	Minerals/Mining	94,417	278.01	0.84
Exide Industries Ltd	Auto Ancillaries	204,912	265.05	0.80
Hero Motocorp Ltd	Auto	12,096	243.04	0.74
Bank of Baroda	Banks	46,756	230.76	0.70
Dabur India Ltd	Consumer Non Durables	123,751	209.76	0.64
Lupin Ltd	Pharmaceuticals	24,292	207.90	0.63
Wipro Ltd	Software	43,656	206.51	0.63
United Phosphorus Ltd	Pesticides	121,469	175.95	0.53
Hindustan Zinc Ltd	Non - Ferrous Metals	125,283	167.32	0.51
United Spirits Ltd	Consumer Non Durables	6,484	164.25	0.50
Apollo Tyres Ltd ^{SS #}	Auto Ancillaries	250	-	-
Total			30,059.24	91.05
B) Privately Placed / Unlisted ^{SS}				
<i>i) Equity Shares</i>				
Virtual Dynamics Software Ltd	IT Consulting & Services	200,000	0.02	***
Punjab Wireless Systems Ltd	Telecom - Equipment & Accessories	8,600	0.00	***
Total			0.02	***
II. Money Market Instruments				
<i>i) Reverse Repo and CBLO</i>				
			3,768.47	11.41
Total			3,768.47	11.41
III. Cash & Cash Equivalents				
			20.76	0.06
IV. Other Receivables / (Payables)				
			(833.09)	(2.52)
Net Assets			33,015.40	100.00

Principal Balanced Fund (An open-ended Balanced Scheme)

Name of Instrument	Industry / Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Equity & Equity Related Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>i) Equity Shares</i>				
ITC Ltd	Consumer Non Durables	25,831	87.93	5.95
ICICI Bank Ltd	Banks	5,478	48.41	3.28
Reliance Industries Ltd	Petroleum Products	5,068	41.68	2.82
Housing Development Finance Corporation Ltd	Finance	5,296	40.47	2.74
Oracle Financial Services Software Ltd	Software	1,113	34.21	2.32
HDFC Bank Ltd	Banks	5,666	33.60	2.27
Escorts Ltd	Auto	39,431	33.34	2.26
Maruti Suzuki India Ltd	Auto	2,223	30.20	2.04
Tata Motors Ltd	Auto	8,337	27.72	1.88
Motherson Sumi Systems Ltd	Auto Ancillaries	10,670	24.54	1.66
Infosys Ltd	Software	813	24.50	1.66
Britannia Industries Ltd	Consumer Non Durables	2,753	22.63	1.53
State Bank of India	Banks	1,321	21.33	1.44
HCL Technologies Ltd	Software	1,940	21.09	1.43

Half Yearly Portfolio Statement of the Schemes of Principal Mutual Fund as on September 30, 2013 (Contd.)

Principal Growth Fund (An open-ended Equity Scheme)

Name of Instrument	Industry / Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Equity & Equity Related Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>i) Equity Shares</i>				
ITC Ltd	Consumer Non Durables	550,548	1,874.07	8.29
ICI Bank Ltd	Banks	119,974	1,060.15	4.69
Housing Development Finance Corporation Ltd	Finance	114,560	875.52	3.87
Reliance Industries Ltd	Petroleum Products	104,309	857.84	3.80
Escorts Ltd	Auto	873,082	738.19	3.27
HDFC Bank Ltd	Banks	124,090	735.92	3.26
Oracle Financial Services Software Ltd	Software	21,975	675.49	2.99
Maruti Suzuki India Ltd	Auto	44,660	606.73	2.68
Tata Motors Ltd	Auto	168,643	560.74	2.48
Infosys Ltd	Software	17,332	522.21	2.31
Britannia Industries Ltd	Consumer Non Durables	58,667	482.24	2.13
Motherhood Sumi Systems Ltd	Auto Ancillaries	207,833	477.91	2.11
HCL Technologies Ltd	Software	41,650	452.82	2.00
State Bank of India	Banks	27,896	450.49	1.99
NIIT Technologies Ltd	Software	157,407	443.49	1.96
Jain Irrigation Systems Ltd	Industrial Products	761,924	441.53	1.95
Aurobindo Pharma Ltd	Pharmaceuticals	216,421	437.93	1.94
Raymond Ltd	Textile Products	188,872	431.19	1.91
Polaris Financial Technology Ltd	Software	303,664	392.03	1.73
The Federal Bank Ltd	Banks	136,500	388.48	1.72
Jet Airways (India) Ltd	Transportation	102,171	366.95	1.62
Lupin Ltd	Pharmaceuticals	40,701	348.34	1.54
Aditya Birla Nuvo Ltd	Services	26,708	331.77	1.47
ING Vysya Bank Ltd	Banks	59,400	318.24	1.41
Sesa Goa Ltd	Minerals/Mining	173,875	314.28	1.39
Pathway Cable & Datacom Ltd	Media & Entertainment	102,252	293.16	1.30
Tata Steel Ltd	Ferrous Metals	107,800	290.78	1.29
Zee Entertainment Enterprises Ltd	Media & Entertainment	127,016	290.23	1.28
Alembic Pharmaceuticals Ltd	Pharmaceuticals	205,226	285.37	1.26
Bharat Petroleum Corporation Ltd	Petroleum Products	82,875	274.52	1.21
Cairn India Ltd	Oil	83,000	264.65	1.17
United Phosphorus Ltd	Pesticides	181,900	263.48	1.17
Torrent Pharmaceuticals Ltd	Pharmaceuticals	57,996	254.14	1.12
Exide Industries Ltd	Auto Ancillaries	191,683	247.94	1.10
Idea Cellular Ltd	Telecom - Services	145,309	244.48	1.08
Hero Motocorp Ltd	Auto	11,700	235.08	1.04
Bajaj Auto Ltd	Auto	11,700	232.53	1.03
Wipro Ltd	Software	47,694	225.62	1.00
Tata Global Beverages Ltd	Consumer Non Durables	150,000	215.78	0.95
Reliance Infrastructure Ltd	Power	57,600	213.41	0.94
IDFC Ltd	Finance	241,267	212.44	0.94
Indiabulls Power Ltd	Power	3,240,479	207.39	0.92
Larsen & Toubro Ltd	Construction Project	25,920	204.44	0.90
Jaiprakash Power Ventures Ltd	Power	1,274,581	195.01	0.86
JK Cement Ltd	Cement	102,048	191.39	0.85
Oil India Ltd	Oil	41,933	183.41	0.81
Bank of Baroda	Banks	36,680	181.03	0.80
Prestige Estate Project Ltd	Construction	146,745	172.35	0.76
Puravankara Projects Ltd	Construction	220,045	159.42	0.71
Eros International Media Ltd	Media & Entertainment	105,000	142.38	0.63
Union Bank of India	Banks	120,530	132.16	0.58
Oriental Bank of Commerce	Banks	85,669	124.01	0.55
Gujarat Mineral Development Corporation Ltd	Minerals/Mining	131,773	118.46	0.52
Eclerx Services Ltd	Software	7,108	60.13	0.27
Milestone Global Ltd**	Construction Materials	100,000	6.72	0.03
Total			20,706.46	91.61
B) Privately Placed / Unlisted^{SS}				
<i>i) Equity Shares</i>				
Sangam Health Care Products Ltd	Health Care Equipment	200,000	0.02	***
Virtual Dynamics Software Ltd	IT Consulting & Services	176,305	0.02	***
Noble Brothers Impex Ltd	Personal Products	93,200	0.01	***
Balmer Lawrie Freight Container Ltd	Travel	54,000	0.01	***
Mukerian Papers Ltd	Paper Products	50,800	0.01	***
Crescent Finstock Ltd	Diversified Financial Services	39,500	0.00	***
Precision Fasteners Ltd	Auto Ancillaries	200	0.00	***
Total			0.06	***
II. Debt Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>i) Non-Convertible Debentures**</i>				
9.25% Dr. Reddy's Laboratories Ltd -M-24-Mar-2014	AA+	129,000	6.42	0.03
Total			6.42	0.03
III. Money Market Instruments				
<i>i) Reverse Repo and CBLO</i>				
Total			1,978.23	8.75
Total			1,978.23	8.75
IV. Cash & Cash Equivalents				
Total				
Total			133.51	0.59
V. Other Receivables / (Payables)				
Total				
Total			(222.86)	(0.99)
Net Assets			22,601.81	100.00

Principal Income Fund - Short Term Plan

(An open-ended Income Scheme)

Name of Instrument	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>i) Non-Convertible Debentures**</i>				
8.35% Power Finance Corporation Ltd -M-15-May-2016	AAA	250	2,421.18	12.30
8.90% Power Grid Corporation of India Ltd -M-25-Feb-2015	AAA	120	1,481.66	7.53
9.05% Tata Motors Ltd -M-30-Oct-2015	AA	100	976.97	4.96
8.79% National Bank for Agriculture and Rural Development -M-19-Feb-2018	AAA	100	969.59	4.92
8.95% Reliance Utilities & Power Ltd -M-26-Apr-2023	AAA	55	509.50	2.59
10.6729% Cholamandalam Investment & Finance Co. Ltd -M-27-Dec-2013	AA	50	499.61	2.54
10.75% Fullerton India Credit Company Ltd -M-28-Aug-2014	AA+	50	497.82	2.53
9.60% Cholamandalam Investment & Finance Co. Ltd -M-08-May-2015	AA	45	438.36	2.23
8.90% National Bank for Agriculture and Rural Development -M-02-Dec-2013	AAA	43	428.82	2.18
8.27% Power Finance Corporation Ltd -M-25-Jun-2016	AAA	9	86.95	0.44
Total			8,310.46	42.21
<i>ii) Zero Coupon Bonds**</i>				
0% Tata Capital Financial Services Ltd -M-30-Jun-2015	AA+	49	557.97	2.83
0% Sundaram Finance Ltd -M-30-Mar-2015	AA+	40	343.72	1.75
Total			901.69	4.58
<i>iii) Government Securities</i>				
7.28% Government of India Security -M-03-Jun-2019	Sovereign	1,000,000	925.00	4.70
Total			925.00	4.70
B) Privately Placed / Unlisted				
<i>i) Non-Convertible Debentures**</i>				
9.87% Tata Sons Ltd -M-17-Jul-2017	AAA	50	500.89	2.54
Total			500.89	2.54
II. Money Market Instruments				
<i>i) Certificate of Deposit**</i>				
Canara Bank -M-06-Mar-2014	A1+	2,500	2,397.97	12.18
Total			2,397.97	12.18
<i>ii) Commercial Paper**</i>				
HPCL-Mittal Energy Limited -M-15-Nov-2013	A1+	200	985.99	5.01
Total			985.99	5.01
<i>iii) Treasury Bill</i>				
91 Day T-Bill -M-19-Dec-2013	Sovereign	2,500,000	2,448.85	12.44
91 Day T-Bill -M-31-Oct-2013	Sovereign	80,000	79.36	0.40
Total			2,528.21	12.84
<i>iv) Reverse Repo and CBLO</i>				
Total			5,708.64	28.99
Total			5,708.64	28.99
III. Cash & Cash Equivalents				
Total				
Total			407.85	2.07
IV. Other Receivables / (Payables)				
Total				
Total			(2,976.94)	(15.12)
Net Assets			19,689.76	100.00

Principal Dividend Yield Fund (An open-ended Equity Scheme)

Name of Instrument	Industry	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Equity & Equity Related Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>i) Equity Shares</i>				
ITC Ltd	Consumer Non Durables	191,700	652.55	7.38
Hero Motocorp Ltd	Auto	20,280	407.48	4.61
Bajaj Auto Ltd	Auto	18,090	359.53	4.07
Tata Motors Ltd - DVR	Auto	200,700	328.55	3.72
Bajaj Holdings & Investment Ltd	Finance	37,800	293.33	3.32
NIIT Technologies Ltd	Software	102,750	289.50	3.28
Oil & Natural Gas Corporation Ltd	Oil	107,550	288.07	3.26
VST Industries Ltd	Consumer Non Durables	18,840	282.86	3.20
Sun TV Network Ltd	Media & Entertainment	69,900	274.85	3.11
ICI Bank Ltd	Banks	30,900	273.05	3.09
The Jammu & Kashmir Bank Ltd	Banks	23,400	266.44	3.02
Bank of Baroda	Banks	53,400	263.56	2.98
HCL Technologies Ltd	Software	22,200	241.36	2.73
Colgate Palmolive (India) Ltd	Consumer Non Durables	18,900	234.34	2.65
State Bank of India	Banks	14,100	227.70	2.58
NTPC Ltd	Power	129,800	191.39	2.17
Infosys Ltd	Software	5,850	176.26	1.99
Tata Global Beverages Ltd	Consumer Non Durables	122,400	176.07	1.99
Tata Consultancy Services Ltd	Software	9,090	175.24	1.98
ACC Ltd	Cement	15,600	173.46	1.96
Oil India Ltd	Oil	35,850	156.81	1.77
Reliance Industries Ltd	Petroleum Products	18,900	155.43	1.76
Cummins India Ltd	Industrial Products	37,800	154.32	1.75
Gateway Distriparks Ltd	Transportation	129,557	134.93	1.53
Castrol India Ltd	Petroleum Products	42,900	132.82	1.50
IPCA Laboratories Ltd	Pharmaceuticals	18,000	128.77	1.46
Supreme Industries Ltd	Industrial Products	36,000	121.68	1.38
Hinduja Ventures Ltd	Media & Entertainment	41,700	119.01	1.35
CEAT Ltd	Auto Ancillaries	94,950	116.03	1.31
LIC Housing Finance Ltd	Finance	60,900	114.46	1.30
Bharat Petroleum Corporation Ltd	Petroleum Products	34,500	114.28	1.29
Rural Electrification Corporation Ltd	Finance	58,500	110.01	1.24
Karur Vysya Bank Ltd	Banks	32,400	100.86	1.14
Mleod Russel India Ltd	Consumer Non Durables	39,900	100.11	1.13
Tamilnadu Newsprint & Paper Ltd	Paper	110,400	99.91	1.13
Hindustan Zinc Ltd	Non - Ferrous Metals	72,600	96.96	1.10
Power Finance Corporation Ltd	Finance	72,600	95.03	1.08
National Buildings Construction Corporation Ltd	Construction	81,622	92.19	1.04
Cairn India Ltd	Oil	27,900	88.96	1.01
The Federal Bank Ltd	Banks	30,000	85.38	0.97
JK Cement Ltd	Cement	43,908	82.35	0.93
Sesa Goa Ltd	Minerals/Mining	45,000	81.34	0.92
Gujarat Industries Power Company Ltd	Power	129,171	79.12	0.90
Tata Chemicals Ltd	Chemicals	33,000	78.51	0.89
Hindustan Petroleum Corporation Ltd	Petroleum Products	38,250	73.50	0.83
Union Bank of India	Banks	62,785	68.84	0.78
SML Isuzu Ltd	Auto	28,815	66.51	0.75
Oriental Bank of Commerce	Banks	45,270	65.53	0.74
Kolte-Patil Developers Ltd	Construction	103,800	65.29	0.74
HDFC Bank Ltd	Banks	10,800	64.05	0.72
Syndicate Bank	Banks	72,600	49.11	0.56
Jagran Prakashan Ltd	Media & Entertainment	55,067	45.10	0.51
Crystal Cable India Ltd	Telecom - Services	50,000	0.01	***
Apollo Tyres Ltd ^{SS*}	Auto Ancillaries	16,500	-	-
Volant Textile Mills Ltd	Textiles - Cotton	524,624	-	-
Total			8,712.79	98.59
B) Privately Placed / Unlisted^{SS**}				
<i>i) Equity Shares</i>				
Sandur Laminates Ltd	Construction Project	200,000	0.02	***
Tirrihannah Company Ltd	Consumer Non Durables	50,000	0.01	***
Western Paques Ltd	Construction	900	0.00	***
Minerava Holdings Ltd	Finance	20	-	-
Total			0.03	***
II. Money Market Instruments				
<i>i) Reverse Repo and CBLO</i>				
Total			96.59	1.09
Total			96.59	1.09
III. Cash & Cash Equivalents				
Total				
Total			67.64	0.77
IV. Other Receivables / (Payables)				
Total				
Total			(40.09)	(0.45)
Net Assets			8,836.95	100.00

Principal Global Opportunities Fund

(An open-ended Fund of Funds Scheme)

Name of Instrument	Quantity	Market Value (₹ in lakhs)	% of NAV
Foreign Securities			
I. Units of Mutual Fund / Units Trust			
PGIF Emerging Markets Equity Fund	84,623.118	2,700.29	96.90
Total		2,700.29	96.90
II. Money Market Instruments			
<i>i) Reverse Repo and CBLO</i>			
Total		117.92	4.23
Total		117.92	4.23
III. Cash & Cash Equivalents			

Principal Debt Savings Fund - Monthly Income Plan

(An open-ended Income Fund - Monthly Income is not assured and is subject to the availability of distributable surplus.)

Name of Instrument	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>Non-Convertible Debentures**</i>				
8.95% Reliance Utilities & Power Ltd -M- 26-Apr-2023	AAA	34	314.97	9.80
8.87% Power Finance Corporation Ltd -M- 18-Mar-2023	AAA	21	201.28	6.26
11.10% Fullerton India Credit Company Ltd -M- 04-Sep-2015	AA+	15	148.27	4.61
9.25% Dr. Reddy's Laboratories Ltd -M- 24-Mar-2014	AA+	947,586	47.14	1.47
Total			711.65	22.14
<i>Zero Coupon Bonds**</i>				
0% Sundaram Finance Ltd -M- 30-Mar-2015	AA+	30	257.79	8.02
Total			257.79	8.02
<i>Government Securities</i>				
8.20% Government of India Security -M- 24-Sep-2025	Sovereign	1,000,000	923.50	28.73
Total			923.50	28.73
II. Money Market Instruments				
<i>Treasury Bill</i>				
91 Day T-Bill -M- 31-Oct-2013	Sovereign	8,000	7.94	0.25
Total			7.94	0.25
<i>Reverse Repo and CBLO</i>				
			991.26	30.84
Total			991.26	30.84
III. Units of Mutual Fund				
Principal Index Fund - Growth Plan		889,497,774	352.01	10.95
Total			352.01	10.95
IV. Cash & Cash Equivalents				
			29.55	0.92
V. Other Receivables / (Payables)				
			(59.53)	(1.85)
Net Assets			3,214.16	100.00

Principal Debt Savings Fund - Retail Plan

(An open-ended Income Fund)

Name of Instrument	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>Non-Convertible Debentures**</i>				
8.27% Power Finance Corporation Ltd -M- 25-Jun-2016	AAA	70	676.26	15.63
9.80% Godrej Consumer Products Ltd -M- 28-Oct-2013	AA	50	499.22	11.54
8.48% Housing Development Finance Corporation Ltd -M- 17-May-2016	AAA	50	482.06	11.14
11.10% Fullerton India Credit Company Ltd -M- 04-Sep-2015	AA+	25	247.12	5.71
8.87% Power Finance Corporation Ltd -M- 18-Mar-2023	AAA	25	239.62	5.54
10.90% Power Grid Corporation of India Ltd -M- 21-Jun-2015	AAA	50	100.99	2.33
Total			2,245.26	51.88
<i>Zero Coupon Bonds**</i>				
0% Sundaram Finance Ltd -M- 30-Mar-2015	AA+	30	257.79	5.96
Total			257.79	5.96
<i>Government Securities</i>				
7.16% Government of India Security -M- 20-May-2023	Sovereign	500,000	448.40	10.36
Total			448.40	10.36
II. Money Market Instruments				
<i>Commercial Paper**</i>				
Piramal Enterprises Ltd -M- 19-Nov-2013	A1+	200	985.37	22.77
Total			985.37	22.77
<i>Treasury Bill</i>				
91 Day T-Bill -M- 31-Oct-2013	Sovereign	14,000	13.89	0.32
Total			13.89	0.32
<i>Reverse Repo and CBLO</i>				
			315.07	7.28
Total			315.07	7.28
III. Cash & Cash Equivalents				
			84.28	1.95
IV. Other Receivables / (Payables)				
			(22.58)	(0.52)
Net Assets			4,327.48	100.00

Principal Debt Opportunities Fund - Conservative Plan

(An open-ended Debt Scheme)

Name of Instrument	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>Non-Convertible Debentures**</i>				
10.6723% Cholamandalam Investment & Finance Co. Ltd -M- 26-Dec-2013	AA	150	1,496.59	11.61
9.80% Godrej Consumer Products Ltd -M- 28-Oct-2013	AA	100	998.44	7.75
Total			2,495.02	19.35
B) Privately Placed / Unlisted				
<i>Non-Convertible Debentures**</i>				
10.30% Tata Sons Ltd -M- 23-Apr-2014	AAA	50	499.17	3.87
Total			499.17	3.87
II. Money Market Instruments				
<i>Certificate of Deposit**</i>				
United Bank of India -M- 27-Nov-2013	A1+	2,500	2,463.08	19.11
Total			2,463.08	19.11
<i>Commercial Paper**</i>				
HPCL-Mittal Energy Limited -M- 15-Nov-2013	A1+	500	2,464.97	19.12
Exim Bank of India -M- 05-Nov-2013	A1+	400	1,977.99	15.34
JM Financial Products Ltd -M- 11-Nov-2013	A1+	200	987.17	7.66
Total			5,430.13	42.12
<i>Treasury Bill</i>				
48 Days CMB -M- 14-Oct-2013	Sovereign	1,000,000	996.51	7.73
91 Day T-Bill -M- 31-Oct-2013	Sovereign	216,000	214.28	1.66
Total			1,210.79	9.39
<i>Reverse Repo and CBLO</i>				
			452.61	3.51
Total			452.61	3.51
III. Cash & Cash Equivalents				
			186.81	1.45
IV. Other Receivables / (Payables)				
			153.59	1.19
Net Assets			12,891.21	100.00

Principal Debt Opportunities Fund - Corporate Bond Plan

(An open-ended Debt Scheme)

Name of Instrument	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>Non-Convertible Debentures**</i>				
8.85% IDFC Ltd -M- 15-Jan-2016	AAA	8	78.41	17.00
9.60% Cholamandalam Investment & Finance Co. Ltd -M- 08-May-2015	AA	5	48.71	10.56
8.66% Exim Bank of India -M- 18-Oct-2022	AA	5	47.62	10.33
7.40% Indian Oil Corporation Ltd -M- 15-Sep-2015	AAA	4	38.54	8.36
8.95% Reliance Utilities & Power Ltd -M- 26-Apr-2023	AAA	1	9.26	2.01
Total			222.54	48.25
<i>Zero Coupon Bonds**</i>				
0% Tata Capital Financial Services Ltd -M- 30-Jun-2015	AA+	1	11.39	2.47
Total			11.39	2.47
II. Money Market Instruments				
<i>Commercial Paper**</i>				
Exim Bank of India -M- 05-Nov-2013	A1+	16	79.12	17.16
JM Financial Products Ltd -M- 11-Nov-2013	A1+	8	39.49	8.56
HPCL-Mittal Energy Limited -M- 15-Nov-2013	A1+	8	39.44	8.55
Total			158.05	34.27
<i>Treasury Bill</i>				
91 Day T-Bill -M- 31-Oct-2013	Sovereign	1,000	0.99	0.22
Total			0.99	0.22
<i>Reverse Repo and CBLO</i>				
			59.05	12.80
Total			59.05	12.80
III. Cash & Cash Equivalents				
			11.94	2.59
IV. Other Receivables / (Payables)				
			(2.77)	(0.60)
Net Assets			461.18	100.00

Principal Retail Money Manager Fund (An open-ended Liquid Scheme)

Name of Instrument	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Money Market Instruments				
<i>Certificate of Deposit**</i>				
IDBI Bank Ltd -M- 05-Nov-2013	A1+	150	148.38	19.74
The South Indian Bank Ltd -M- 15-Nov-2013	A1+	75	74.03	9.85
The Jammu & Kashmir Bank Ltd -M- 22-Nov-2013	A1+	40	39.44	5.25
Total			261.85	34.84
<i>Commercial Paper**</i>				
Volkswagen Finance Pvt Ltd -M- 08-Nov-2013	A1+	28	138.33	18.41
Exim Bank of India -M- 05-Nov-2013	A1+	24	118.68	15.79
HPCL-Mittal Energy Limited -M- 15-Nov-2013	A1+	14	69.02	9.18
India Infoline Finance Ltd -M- 15-Nov-2013	A1+	9	44.30	5.89
Piramal Enterprises Ltd -M- 19-Nov-2013	A1+	7	34.49	4.59
Total			404.82	53.86
<i>Treasury Bill</i>				
91 Day T-Bill -M- 31-Oct-2013	Sovereign	1,000	0.99	0.13
Total			0.99	0.13
<i>Reverse Repo and CBLO</i>				
			64.62	8.60
Total			64.62	8.60
II. Cash & Cash Equivalents				
			0.04	0.01
III. Other Receivables / (Payables)				
			19.27	2.56
Net Assets			751.59	100.00

Principal Bank CD Fund (An open-ended Debt Scheme)

Name of Instrument	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>Non-Convertible Debentures**</i>				
9.50% Housing Development Finance Corporation Ltd -M- 12-Mar-2014	AAA	100	995.05	11.84
8.90% National Bank for Agriculture and Rural Development -M- 02-Dec-2013	AAA	7	69.81	0.83
Total			1,064.86	12.67
II. Money Market Instruments				
<i>Certificate of Deposit**</i>				
State Bank of Hyderabad -M- 24-Feb-2014	A1+	2,500	2,404.52	28.61
Bank of India -M- 04-Mar-2014	A1+	2,500	2,401.25	28.58
Indian Bank -M- 06-Mar-2014	A1+	2,500	2,400.24	28.56
Total			7,206.00	85.75
<i>Treasury Bill</i>				
91 Day T-Bill -M- 31-Oct-2013	Sovereign	2,000	1.98	0.02
Total			1.98	0.02
<i>Reverse Repo and CBLO</i>				
			54.78	0.65
Total			54.78	0.65
III. Cash & Cash Equivalents				
			58.41	0.70
IV. Other Receivables / (Payables)				
			17.01	0.20
Net Assets			8,403.04	100.00

Principal Pnb Fixed Maturity Plan - Series B5

(A close-ended Debt Scheme offering Fixed Maturity Plan having maturity of 367 Days)

Name of Instrument	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Money Market Instruments				
<i>Certificate of Deposit**</i>				
IDBI Bank Ltd -M- 31-Jul-2014	A1+	1,600	1,484.64	28.33
Kotak Mahindra Bank Ltd -M- 31-Jul-2014	A1+	1,530	1,419.80	27.09
Ratnakar Bank Ltd -M- 31-Jul-2014	A1+	1,500	1,383.96	26.41
Bank of Maharashtra -M- 31-Jul-2014	A1+	1,000	927.97	17.71
Total			5,216.38	99.53
<i>Reverse Repo and CBLO</i>				
			25.15	0.48
Total			25.15	0.48
II. Cash & Cash Equivalents				
			0.07	***
III. Other Receivables / (Payables)				
			(0.64)	(0.01)
Net Assets			5,240.95	100.00

Principal Cash Management Fund (An open-ended Liquid Scheme)

Name of Instrument	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>Non-Convertible Debentures**</i>				
9.80% Godrej Consumer Products Ltd -M- 28-Oct-2013	AA	100	998.44	0.76
Total			998.44	0.76
II. Money Market Instruments				
<i>Certificate of Deposit**</i>				
Syndicate Bank -M- 20-Nov-2013	A1+	10,000	9,868.21	7.52
Bank of Maharashtra -M- 22-Nov-2013	A1+	7,500	7,397.36	5.64
State Bank of Patiala -M- 11-Nov-2013	A1+	5,000	4,943.50	3.77
State Bank of Hyderabad -M- 12-Nov-2013	A1+	5,000	4,941.70	3.77
The Jammu & Kashmir Bank Ltd -M- 12-Nov-2013	A1+	5,000	4,941.03	3.77
Allahabad Bank -M- 21-Nov-2013	A1+	5,000	4,932.36	3.76
Union Bank of India -M- 18-Nov-2013	A1+	5,000	4,931.95	3.76
Oriental Bank of Commerce -M- 18-Nov-2013	A1+	5,000	4,931.24	3.76
State Bank of Patiala -M- 20-Nov-2013	A1+	5,000	4,928.78	3.76
The South Indian Bank Ltd -M- 15-Nov-2013	A1+	4,925	4,861.06	3.70
IDBI Bank Ltd -M- 05-Nov-2013	A1+	4,850	4,797.64	3.66
Canara Bank -M- 08-Nov-2013	A1+	2,500	2,473.01	1.88
Indian Bank -M- 12-Nov-2013	A1+	2,500	2,470.82	1.88
Ratnakar Bank Ltd -M- 12-Nov-2013	A1+	2,500	2,469.46	1.88
Allahabad Bank -M- 15-Nov-2013	A1+	2,500	2,468.51	1.88
Indusind Bank Ltd -M- 15-Nov-2013	A1+	2,500	2,467.64	1.88
Canara Bank -M- 18-Nov-2013	A1+	2,500	2,465.98	1.88
United Bank of India -M- 22-Nov-2013	A1+	2,500	2,465.78	1.88
Syndicate Bank -M- 19-Nov-2013	A1+	2,500	2,465.04	1.88
The Jammu & Kashmir Bank Ltd -M- 22-Nov-2013	A1+	2,460	2,425.74	1.85
Total			83,646.80	63.75
<i>Commercial Paper**</i>				
HPCL-Mittal Energy Limited -M- 15-Nov-2013	A1+	1,278	6,300.46	4.80
India Infoline Finance Ltd -M- 31-Oct-2013	A			

Annexure I :-

Sr. No.	Name of the Schemes	Principal Growth Fund				Principal Large Cap Fund				Principal Smart Equity Fund				Principal Emerging Bluechip Fund			
		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan	
		Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth
1.	NAV at the beginning of the year/period (₹ per unit)	23.87	52.67	23.90	52.74	19.32	28.21	19.35	28.26	10.76	10.76	10.79	10.79	23.63	31.01	23.66	31.08
2.	NAV at the end of the year/period (₹ per Unit)	24.10	53.19	24.22	53.43	19.54	28.54	19.64	28.68	10.94	10.94	11.02	11.02	22.89	30.04	23.00	30.20
3.	a. Net Dividend - Corporate (₹ Per Unit)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	b. Net Dividend - Non-Corporate (₹ Per Unit)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Sr. No.	Name of the Schemes	Principal Dividend Yield Fund				Principal Retail Equity Savings Fund				Principal Index Fund				Principal Personal Tax Saver Fund		Principal Tax Savings Fund	
		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan	Direct Plan	Regular Plan	Direct Plan
		Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Growth	Growth	Growth	Growth
1.	NAV at the beginning of the year/period (₹ per unit)	17.27	23.39	17.29	23.42	9.45	82.40	NA	82.52	22.8775	38.9258	22.8827	38.9351	92.66	92.80	77.61	77.75
2.	NAV at the end of the year/period (₹ per Unit)	16.06	21.75	16.13	21.85	9.25	80.66	NA	81.41	23.2587	39.5744	23.3195	39.679	93.93	94.35	78.40	78.76
3.	a. Net Dividend - Corporate (₹ Per Unit)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	b. Net Dividend - Non-Corporate (₹ Per Unit)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Sr. No.	Name of the Schemes	Principal Global Opportunities Fund				Principal Balanced Fund				Principal Bank CD Fund				Principal Income Fund - Short Term Plan			
		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan		Regular Plan		Direct Plan	
		Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Growth	Monthly Dividend	Growth	Monthly Dividend	Monthly Dividend	Growth	Monthly Dividend	Growth
1.	NAV at the beginning of the year/period (₹ per unit)	21.2226	21.2226	21.2424	21.2424	16.36	32.37	16.40	32.43	1501.0626	1120.5236	1501.9080	1121.1881	12.6906	20.6134	12.7059	20.6378
2.	NAV at the end of the year/period (₹ per Unit)	22.6539	22.6539	22.7095	22.7095	16.44	32.53	16.56	32.73	1567.9676	1127.1439	1570.9149	1128.2898	12.8590	21.2931	12.8931	21.3874
3.	a. Net Dividend - Corporate (₹ Per Unit)	-	-	-	-	-	-	-	-	-	31.80759758	-	32.12515859	0.18486454	-	0.20262705	-
	b. Net Dividend - Non-Corporate (₹ Per Unit)	-	-	-	-	-	-	-	-	-	34.46851595	-	34.80009591	0.20655975	-	0.22510641	-

Sr. No.	Name of the Schemes	Principal Cash Management Fund								Principal Retail Money Manager Fund							
		Regular Plan				Direct Plan				Regular Plan				Direct Plan			
		Daily Dividend	Weekly Dividend	Monthly Dividend	Growth	Daily Dividend	Weekly Dividend	Monthly Dividend	Growth	Growth	Daily Dividend	Weekly Dividend	Monthly Dividend	Growth	Daily Dividend	Weekly Dividend	Monthly Dividend
1.	NAV at the beginning of the year/period (₹ per unit)	1000.0700	1006.7945	1021.7840	1140.4133	1000.0700	1006.8071	1021.8067	1140.7182	1314.8238	1005.4300	1012.7365	1057.9201	1315.1423	N.A.	N.A.	1057.9455
2.	NAV at the end of the year/period (₹ per Unit)	1000.1028	1007.4631	1024.5160	1190.7762	1000.3870	1008.2286	1024.5497	1191.6925	1377.0922	1005.4300	1013.4916	1060.7838	1378.1170	N.A.	N.A.	1060.8418
3.	a. Net Dividend - Corporate (₹ Per Unit)	32.23541671	31.98227620	31.05328756	-	32.39502098	31.79889055	31.44301814	-	-	34.90305619	34.42069874	34.54242855	-	-	-	34.93760728
	b. Net Dividend - Non-Corporate (₹ Per Unit)	33.65847256	33.39415693	32.42415741	-	33.82512267	33.20267555	32.83109292	-	-	36.44387689	35.94022540	36.06732904	-	-	-	36.47995325

Sr. No.	Name of the Schemes	Principal Income Fund - Long Term Plan						Principal Government Securities Fund						Principal Pnb Fixed Maturity Plan - Series B5 ^{AA}			
		Regular Plan			Direct Plan			Regular Plan			Direct Plan			Regular Plan		Direct Plan	
		Annual Dividend	Quarterly Dividend	Growth	Annual Dividend	Quarterly Dividend	Growth	Quarterly Dividend	Annual Dividend	Growth	Quarterly Dividend	Annual Dividend	Growth	Dividend	Growth	Dividend	Growth
1.	NAV at the beginning of the year/period (₹ per unit)	11.8887	12.8187	20.2073	11.9097	12.8419	20.2430	11.5962	13.9785	23.4887	11.6093	13.9949	23.5164	N.A.	N.A.	N.A.	N.A.
2.	NAV at the end of the year/period (₹ per Unit)	11.1917	12.5358	20.2180	11.5016	12.6074	20.3311	11.1810	13.0633	23.2201	11.2238	13.4521	23.3066	10.1880	10.1880	10.1888	10.1888
3.	a. Net Dividend - Corporate (₹ Per Unit)	0.52459139	0.22389731	-	0.34308530	0.22389731	-	0.22389731	0.56414658	-	0.22389731	0.31285917	-	-	-	-	-
	b. Net Dividend - Non-Corporate (₹ Per Unit)	0.54774985	0.23378141	-	0.35823105	0.23378141	-	0.23378141	0.58905124	-	0.23378141	0.32667056	-	-	-	-	-

Sr. No.	Name of the Schemes	Principal Debt Opportunities Fund - Conservative Plan								Principal Debt Savings Fund - Monthly Income Plan						Principal Pnb Fixed Maturity Plan - Series B2	
		Regular Plan				Direct Plan				Regular Plan			Direct Plan			Regular Plan	
		Growth	Daily Dividend	Weekly Dividend	Monthly Dividend	Growth	Daily Dividend	Weekly Dividend	Monthly Dividend	Monthly Dividend	Quarterly Dividend	Growth	Monthly Dividend	Quarterly Dividend	Growth	Dividend	Growth
1.	NAV at the beginning of the year/period (₹ per unit)	1860.5848	1001.2300	1000.4000	1134.9202	1862.1204	1001.2300	1000.4000	1135.8726	10.7109	12.0618	24.4664	10.7311	12.0846	24.5135	10.4265	10.4265
2.	NAV at the end of the year/period (₹ per Unit)	1943.0439	1001.2300	1002.0268	1141.3497	1947.9048	1001.3376	1003.8269	1143.3609	10.2775	11.6595	23.8440	10.3394	11.7297	23.9880	10.7253	10.7253
3.	a. Net Dividend - Corporate (₹ Per Unit)	-	32.42011347	31.19943274	32.19852229	-	33.59349635	31.16216875	32.87103516	0.12687514	0.07463244	-	0.12687514	0.07463244	-	-	-
	b. Net Dividend - Non-Corporate (₹ Per Unit)	-	35.39614887	34.10528337	34.97337129	-	36.67025158	33.95734961	35.67557273	0.14504363	0.07792714	-	0.14504363	0.07792714	-	-	-

Sr. No.	Name of the Schemes	Principal Debt Savings Fund - Retail Plan				Principal Debt Opportunities Fund - Corporate Bond Plan				
		Regular Plan		Direct Plan		Regular Plan		Direct Plan		
		Growth	Monthly Dividend	Quarterly Dividend	Growth	Quarterly Dividend	Growth	Monthly Dividend	Growth	Monthly Dividend
1.	NAV at the beginning of the year/period (₹ per unit)	21.0476	10.4923	11.5870	21.1105	11.6207	1826.1621	1233.2725	1828.4317	1234.9379
2.	NAV at the end of the year/period (₹ per Unit)	21.3113	10.2886	11.5843	21.4615	11.6661	1868.7206	1240.5503	1875.8421	1243.6196
3.	a. Net Dividend - Corporate (₹ Per Unit)	-	0.25091424	0.11194865	-	0.11194865	-	15.93723411	-	17.24643629
	b. Net Dividend - Non-Corporate (₹ Per Unit)	-	0.27552526	0.11689071	-	0.11689071	-	18.09088980	-	19.45788766

- indicates NIL. ^{AA} Scheme has been launched during the half-year ended September 30, 2013, the NAV per unit at the beginning of the period is not available.

DETAILS OF INVESTMENTS IN DERIVATIVE INSTRUMENTS

Hedging Positions through Futures as on September 30, 2013 : Nil

For the period April 1, 2013 to September 30, 2013, hedging transactions through futures which have been squared off / expired : Nil

Other than Hedging Positions through Futures as on September 30, 2013 :

Scheme	Underlying	Long / Short	Futures Price when purchased	Current price of the contract	Margin maintained in Rs. Lakhs	Total exposure due to futures (non-hedging positions) as a %age to net assets
Principal Emerging Bluechip Fund	Dish TV India	Long	50.01	51.00	11.43	0.41%
Principal Smart Equity Fund	NIFTY Index Future	Long	5,815.39	5,791.45	43.26	9.02%

For the period 01st April 2013 to 30th September 2013, following non-hedging transactions through futures have been squared off/expired :

Scheme	Total Number of contracts where futures were bought	Total Number of contracts where futures were sold	Gross Notional Value of contracts where futures were bought	Gross Notional Value of contracts where futures were sold	Net Profit/Loss value on all contracts combined
Principal Dividend Yield Fund	3	3	1,027,808	1,097,961	70,153
Principal Emerging Bluechip Fund	363	363	68,204,463	67,746,675	(457,788)
Principal Large Cap Fund	681	681	170,215,808	172,940,829	2,725,021
Principal Smart Equity Fund	130	130	32,431,818	33,127,692	695,874

Hedging Position through Put Option as on September 30, 2013 : Nil

For the period April 1, 2013 to September 30, 2013, hedging transactions through options which have been squared off / expired : Nil

Other than Hedging Positions through Options as on September 30, 2013 : Nil

For the period April 1, 2013 to September 30, 2013, following non-hedging transactions through options have been squared off / expired :

Scheme	Underlying	Call/Put	Total Number of contracts entered into	Gross Notional Value of contracts entered into	Net Profit/Loss value on all contracts (treat premium paid as loss)
Principal Dividend Yield Fund	3	3	1,027,808	1,097,961	70,153
Principal Emerging Bluechip Fund	363	363	68,204,463	67,746,675	(457,788)
Principal Large Cap Fund	681	681	170,215,808	172,940,829	2,725,021
Principal Smart Equity Fund	130	130	32,431,818	33,127,692	695,874

Note: In case of derivative transactions end of the day position on the date of such transaction is considered as the basis to assess the nature of transaction as hedge / non-hedge.

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NOTICE

Disclosure of Half Yearly Unaudited Financial Results

NOTICE IS HEREBY GIVEN THAT, Half Yearly Unaudited Financial Results of the Schemes of Principal Mutual Fund for the period ended September 30, 2013, has been hosted on our website - www.principalindia.com.

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.