

Principal Mutual Fund

Investment Manager: Principal Pnb Asset Management Company Private Limited

Exchange Plaza, 'B' Wing, Ground Floor, NSE Building, Bandra Kurla Complex, Bandra (East), Mumbai-400 051

Half Yearly Portfolio Statement of the Schemes of Principal Mutual Fund as on March 31, 2013

(Pursuant to Regulation 59A of SEBI (Mutual Funds) Regulations, 1996)

Principal Large Cap Fund (An open-ended Equity Scheme)

Name of Instrument	Industry	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Equity & Equity Related Instruments -				
A) Listed / Awaiting listing on the stock exchanges				
<i>Equity Shares</i>				
ITC Ltd	Consumer Non Durables	798,991	2,472.48	7.33
Infosys Ltd	Software	84,308	2,435.95	7.22
Reliance Industries Ltd	Petroleum Products	287,013	2,218.32	6.57
ICICI Bank Ltd	Banks	204,694	2,139.46	6.34
Housing Development Finance Corporation Ltd	Finance	218,352	1,804.13	5.35
Jindal Steel & Power Ltd	Ferrous Metals	478,018	1,662.31	4.93
Tata Motors Ltd	Auto	574,738	1,546.91	4.58
Divi's Laboratories Ltd	Pharmaceuticals	155,741	1,537.94	4.56
HCL Technologies Ltd	Software	188,190	1,496.11	4.43
Shree Cement Ltd	Cement	33,087	1,337.84	3.96
Oracle Financial Services Software Ltd	Software	49,334	1,263.10	3.74
HDFC Bank Ltd	Banks	184,636	1,154.62	3.42
Bharat Petroleum Corporation Ltd	Petroleum Products	252,964	955.45	2.83
State Bank of India	Banks	44,548	923.37	2.74
National Hydroelectric Power Ltd	Power	4,185,500	830.82	2.46
Oil & Natural Gas Corporation Ltd	Oil	240,780	749.79	2.22
Canara Bank	Banks	177,420	681.38	2.02
Motherson Sumi Systems Ltd	Auto Ancillaries	316,109	613.73	1.82
Idea Cellular Ltd	Telecom - Services	534,618	608.93	1.80
LIC Housing Finance Ltd	Finance	248,534	558.95	1.66
Aditya Birla Nuvo Ltd	Services	52,040	507.68	1.50
Bharti Airtel Ltd	Telecom - Services	151,396	441.70	1.31
Oil India Ltd	Oil	82,454	421.13	1.25
Larsen & Toubro Ltd	Construction Project	30,055	410.61	1.22
NMDC Ltd	Minerals/Mining	267,633	366.92	1.09
Cairn India Ltd	Oil	126,139	343.67	1.02
Axis Bank Ltd	Banks	25,749	334.92	0.99
Hindustan Unilever Ltd	Consumer Non Durables	71,476	333.76	0.99
Sun TV Network Ltd	Media & Entertainment	71,768	280.25	0.83
Jaiprakash Power Ventures Ltd	Power	982,109	256.33	0.76
Wipro Ltd	Software	56,653	247.66	0.73
Maruti Suzuki India Ltd	Auto	18,762	240.38	0.71
Jet Airways (India) Ltd	Transportation	43,657	226.10	0.67
Indian Oil Corporation Ltd	Petroleum Products	49,709	139.98	0.41
Hindustan Petroleum Corporation Ltd	Petroleum Products	43,516	124.11	0.37
Power Finance Corporation Ltd	Finance	44,237	79.94	0.24
Total			31,746.72	94.07
II. Money Market Instruments				
<i>Reverse Repo and CBL</i>				
			2,188.73	6.49
Total			2,188.73	6.49
III. Cash & Cash Equivalents				
			29.72	0.09
IV. Other Receivables / (Payables)				
			(216.93)	(0.64)
Net Assets			33,748.25	100.00

Principal Balanced Fund (An open-ended Balanced Scheme)

Name of Instrument	Industry / Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Equity & Equity Related Instruments -				
A) Listed / Awaiting listing on the stock exchanges				
<i>Equity Shares</i>				
ITC Ltd	Consumer Non Durables	22,167	68.60	4.68
ICICI Bank Ltd	Banks	6,133	64.10	4.37
Reliance Industries Ltd	Petroleum Products	6,491	50.17	3.42
HCL Technologies Ltd	Software	5,209	41.41	2.83
Infosys Ltd	Software	1,142	33.00	2.25
HDFC Bank Ltd	Banks	5,146	32.18	2.20
Jet Airways (India) Ltd	Transportation	5,738	29.72	2.03
Oracle Financial Services Software Ltd	Software	1,034	26.47	1.81
State Bank of India	Banks	1,158	24.00	1.64
Housing Development Finance Corporation Ltd	Finance	2,724	22.51	1.54
Havell India Ltd	Consumer Durables	3,387	21.81	1.49
Lupin Ltd	Pharmaceuticals	3,463	21.77	1.49
Motherson Sumi Systems Ltd	Auto Ancillaries	11,106	21.56	1.47
Federal Bank Ltd	Banks	4,090	19.66	1.34
Titan Industries Ltd	Consumer Durables	7,526	19.30	1.32
Tata Motors Ltd - DVR	Auto	12,504	19.10	1.30
Maruti Suzuki India Ltd	Auto	1,466	18.78	1.28
Prestige Estate Project Ltd	Construction	8,684	14.20	0.97
Jain Irrigation Systems Ltd	Industrial Products	22,405	13.75	0.94
ING Vysya Bank Ltd	Banks	2,454	13.67	0.93
IDFC Ltd	Finance	9,508	13.65	0.93
IPCA Laboratories Ltd	Pharmaceuticals	2,556	13.53	0.92
Bharat Petroleum Corporation Ltd	Petroleum Products	3,424	12.93	0.88
Jaiprakash Power Ventures Ltd	Power	49,460	12.91	0.88
Aurobindo Pharma Ltd	Pharmaceuticals	8,759	12.78	0.87
NIIT Technologies Ltd	Software	4,132	11.67	0.80
Pathway Cable & Datacom Ltd	Media & Entertainment	4,315	11.60	0.79
Raymond Ltd	Textile Products	4,036	11.54	0.79
JK Cement Ltd	Cement	4,201	11.15	0.76
Indiabulls Power Ltd	Power	132,750	10.95	0.75
Union Bank of India	Banks	4,934	10.76	0.73
Tata Motors Ltd	Auto	3,996	10.76	0.73
Bank of Baroda	Banks	1,584	10.70	0.73
Alembic Pharmaceuticals Ltd	Pharmaceuticals	10,048	10.55	0.72
Aditya Birla Nuvo Ltd	Services	1,078	10.52	0.72
Chambal Fertilizers & Chemicals Ltd	Fertilisers	21,105	10.51	0.72
Idea Cellular Ltd	Telecom - Services	9,140	10.41	0.71
Axis Bank Ltd	Banks	793	10.31	0.70
Godrej Industries Ltd	Chemicals	3,431	10.09	0.69
Larsen & Toubro Ltd	Construction Project	736	10.06	0.69
India Cements Ltd	Cement	11,480	9.60	0.66
Cairn India Ltd	Oil	3,500	9.54	0.65
Divi's Laboratories Ltd	Pharmaceuticals	959	9.47	0.65
Power Finance Corporation Ltd	Finance	5,136	9.28	0.63
Jubilant Life Sciences Ltd	Pharmaceuticals	5,254	9.01	0.61
Oriental Bank of Commerce	Banks	3,504	8.82	0.60
Gujarat Mineral Development Corporation Ltd	Minerals/Mining	5,177	8.65	0.59
Jindal Steel & Power Ltd	Ferrous Metals	2,431	8.45	0.58
Oil India Ltd	Oil	1,645	8.40	0.57
Ambuja Cement Ltd	Cement	4,752	8.28	0.56
Torrent Pharmaceuticals Ltd	Pharmaceuticals	1,171	8.14	0.56
Sterite Industries (India) Ltd	Non - Ferrous Metals	8,580	8.04	0.55
Eros International Media Ltd	Media & Entertainment	4,338	7.50	0.51
NMDC Ltd	Minerals/Mining	5,147	7.06	0.48
Infinite Computer Solutions Ltd	Software	5,390	5.33	0.36
Zee Entertainment Enterprises Ltd	Media & Entertainment	2,395	5.04	0.34
Sun TV Network Ltd	Media & Entertainment	1,290	5.04	0.34
PC Jeweller Ltd	Consumer Durables	4,344	4.97	0.34
Dish TV India Ltd	Media & Entertainment	7,150	4.80	0.33
Pantaloon Retail (India) Ltd	Retailing	2,900	4.34	0.30
Jaiprakash Associates Ltd	Cement	6,376	4.17	0.28
Total			957.06	65.30
II. Debt Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>Zero Coupon Bonds**</i>				
0% Tata Capital Financial Services Ltd -M- 30-Jun-2015	AA+	12	130.67	8.92
Total			130.67	8.92
III. Money Market Instruments				
<i>i) Certificate of Deposit**</i>				
Yes Bank Ltd -M- 08-Apr-2013	A1+	150	149.60	10.21
Total			149.60	10.21
<i>ii) Commercial Paper**</i>				
Piramal Enterprises Ltd -M- 07-May-2013	A1+	40	198.02	13.51
Total			198.02	13.51
<i>iii) Treasury Bill</i>				
364 Day T-Bill -M- 17-May-2013	Sovereign	10,000	9.90	0.68
Total			9.90	0.68
<i>iv) Reverse Repo and CBL</i>				
			34.28	2.34
Total			34.28	2.34
IV. Cash & Cash Equivalents				
			3.25	0.22
V. Other Receivables / (Payables)				
			(17.10)	(1.17)
Net Assets			1,465.68	100.00

Principal SMART Equity Fund (An open-ended Equity Scheme)

Name of Instrument	Industry / Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Equity & Equity Related Instruments -				
A) Listed / Awaiting listing on the stock exchanges				
<i>Equity Shares</i>				
Infosys Ltd	Software	18,828	544.01	6.74
ITC Ltd	Consumer Non Durables	164,892	510.26	6.32
Reliance Industries Ltd	Petroleum Products	58,676	453.51	5.62
ICICI Bank Ltd	Banks	42,179	440.85	5.46
Housing Development Finance Corporation Ltd	Finance	44,869	370.73	4.59
Jindal Steel & Power Ltd	Ferrous Metals	97,401	338.71	4.20
Divi's Laboratories Ltd	Pharmaceuticals	32,047	316.46	3.92
Tata Motors Ltd	Auto	117,461	316.15	3.92
HCL Technologies Ltd	Software	38,742	308.00	3.81
Shree Cement Ltd	Cement	6,799	274.91	3.41
Oracle Financial Services Software Ltd	Software	10,044	257.16	3.19
HDFC Bank Ltd	Banks	37,700	235.76	2.92
Bharat Petroleum Corporation Ltd	Petroleum Products	51,458	194.36	2.41
State Bank of India	Banks	9,091	188.43	2.33
National Hydroelectric Power Ltd	Power	778,490	154.53	1.93
Oil & Natural Gas Corporation Ltd	Oil	49,604	154.47	1.91
Canara Bank	Banks	36,158	138.86	1.72
Motherson Sumi Systems Ltd	Auto Ancillaries	64,557	125.34	1.55
Idea Cellular Ltd	Telecom - Services	109,354	124.55	1.54
LIC Housing Finance Ltd	Finance	50,665	113.95	1.41
Aditya Birla Nuvo Ltd	Services	10,597	103.38	1.28
Bharti Airtel Ltd	Telecom - Services	31,134	90.83	1.13
Larsen & Toubro Ltd	Construction Project	6,397	87.40	1.08
Oil India Ltd	Oil	16,927	86.45	1.07
NMDC Ltd	Minerals/Mining	54,622	74.89	0.93
Cairn India Ltd	Oil	25,745	70.14	0.87
Axis Bank Ltd	Banks	5,298	68.91	0.85
Hindustan Unilever Ltd	Consumer Non Durables	14,708	68.68	0.85
Sun TV Network Ltd	Media & Entertainment	14,565	56.88	0.70
Jaiprakash Power Ventures Ltd	Power	200,515	52.33	0.65
Wipro Ltd	Software	11,691	51.11	0.63
Maruti Suzuki India Ltd	Auto	3,753	48.08	0.60
Jet Airways (India) Ltd	Transportation	8,910	46.14	0.57
Indian Oil Corporation Ltd	Petroleum Products	10,045	28.29	0.35
Hindustan Petroleum Corporation Ltd	Petroleum Products	8,848	25.23	0.31
Power Finance Corporation Ltd	Finance	9,026	16.31	0.20
Total			6,536.05	80.96
II. Debt Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>Zero Coupon Bonds**</i>				
0% Tata Capital Financial Services Ltd -M- 30-Jun-2015	AA+	27	294.00	3.64
Total			294.00	3.64
III. Money Market Instruments				
<i>i) Certificate of Deposit**</i>				
State Bank of Patiala -M- 29-Apr-2013	A1+	200	198.59	2.46
Total			198.59	2.46
<i>ii) Commercial Papers**</i>				
JM Financial Products Ltd -M- 29-Apr-2013	A1+	100	496.15	6.15
Power Finance Corporation Ltd -M- 15-Apr-2013	A1+	90	448.24	5.55
Total			944.39	11.70
<i>iii) Reverse Repo and CBL</i>				
			250.50	3.10
Total			250.50	3.10
IV. Cash & Cash Equivalents				
			9.22	0.11
V. Other Receivables / (Payables)				
			(159.13)	(1.97)
Net Assets			8,073.63	100.00

Principal Tax Savings Fund (An open-ended Equity Linked Savings Scheme)

Name of Instrument	Industry	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Equity & Equity Related Instruments -				
A) Listed / Awaiting listing on the stock exchanges				
<i>Equity Shares</i>				
ITC Ltd	Consumer Non Durables	454,594	1,406.74	7.07
ICICI Bank Ltd	Banks	122,256	1,277.82	6.42
Reliance Industries Ltd	Petroleum Products	129,340	999.67	5.02
HCL Technologies Ltd	Software	104,644	831.92	4.18
Infosys Ltd	Software	22,439	648.34	3.26
HDFC Bank Ltd	Banks	102,564	641.38	3.22
Jet Airways (India) Ltd	Transportation	114,000	590.41	2.97
Oracle Financial Services Software Ltd	Software	20,920	535.61	2.69
State Bank of India	Banks	22,830	473.21	2.38
Lupin Ltd	Pharmaceuticals	73,600	462.61	2.33
Havell India Ltd	Consumer Durables	67,693	435.81	2.19
Motherson Sumi Systems Ltd	Auto Ancillaries	223,039	433.03	2.18
Housing Development Finance Corporation Ltd	Finance	52,115		

Principal Growth Fund (An open-ended Equity Scheme)

Name of Instrument	Industry / Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Equity & Equity Related Instruments -				
A) Listed / Awaiting listing on the stock exchanges				
<i>Equity Shares</i>				
ITC Ltd	Consumer Non Durables	550,548	1,703.67	7.10
ICICI Bank Ltd	Banks	149,968	1,567.47	6.53
Reliance Industries Ltd	Petroleum Products	156,464	1,209.31	5.04
HCL Technologies Ltd	Software	124,429	989.21	4.12
HDFC Bank Ltd	Banks	124,090	776.00	3.24
Infosys Ltd	Software	26,665	770.45	3.21
Jet Airways (India) Ltd	Transportation	137,211	710.62	2.96
Oracle Financial Services Software Ltd	Software	25,294	647.60	2.60
State Bank of India	Banks	27,896	578.21	2.41
Lupin Ltd	Pharmaceuticals	88,269	554.81	2.31
Housing Development Finance Corp. Ltd	Finance	63,860	527.64	2.20
Motherhood Sumi Systems Ltd	Auto Ancillaries	270,612	525.39	2.19
Havell India Ltd	Consumer Durables	81,240	523.02	2.18
Titan Industries Ltd	Consumer Durables	190,130	487.49	2.03
Federal Bank Ltd	Banks	99,000	475.84	1.98
Tata Motors Ltd - DVR	Auto	306,263	467.82	1.95
Maruti Suzuki India Ltd	Auto	34,307	439.54	1.83
Jain Irrigation Systems Ltd	Industrial Products	609,704	374.05	1.56
IDFC Ltd	Finance	258,937	371.83	1.55
Prestige Estate Project Ltd	Construction	221,875	362.88	1.51
Jaiprakash Power Ventures Ltd	Power	1,274,581	332.67	1.39
ING Vysya Bank Ltd	Banks	59,400	330.89	1.38
Aurobindo Pharma Ltd	Pharmaceuticals	216,421	315.87	1.32
Bharat Petroleum Corporation Ltd	Petroleum Products	82,875	313.02	1.30
IPCA Laboratories Ltd	Pharmaceuticals	58,768	311.12	1.30
Raymond Ltd	Textile Products	97,933	279.94	1.17
Pathway Cable & Datacom Ltd	Media & Entertainment	102,252	274.96	1.15
Tata Motors Ltd	Auto	100,956	271.72	1.13
Sterite Industries (India) Ltd	Non - Ferrous Metals	289,792	271.54	1.13
JK Cement Ltd	Cement	102,048	270.94	1.13
NIIT Technologies Ltd	Software	95,500	269.69	1.12
Alencium Pharmaceuticals Ltd	Pharmaceuticals	256,626	269.46	1.12
Indiabulls Power Ltd	Power	3,240,479	267.34	1.11
Zee Entertainment Enterprises Ltd	Media & Entertainment	127,016	267.31	1.11
Union Bank of India	Banks	120,530	262.76	1.10
Aditya Birla Nuvo Ltd	Services	26,708	260.55	1.09
Idea Cellular Ltd	Telecom - Services	228,558	260.33	1.09
Axis Bank Ltd	Banks	19,350	251.69	1.05
Godrej Industries Ltd	Chemicals	85,121	250.34	1.04
Bank of Baroda	Banks	36,680	247.74	1.03
India Cements Ltd	Cement	295,395	247.10	1.03
Div's Laboratories Ltd	Pharmaceuticals	24,650	243.42	1.01
Chambal Fertilizers & Chemicals Ltd	Fertilisers	487,376	242.71	1.01
Larsen & Toubro Ltd	Construction Project	17,280	236.08	0.98
Cairn India Ltd	Oil	83,000	226.13	0.94
Power Finance Corporation Ltd	Finance	124,317	224.64	0.94
Gujarat Mineral Development Corp. Ltd	Minerals/Mining	131,773	220.06	0.92
Oriental Bank of Commerce	Banks	85,669	15.71	0.90
Oil India Ltd	Oil	41,933	214.17	0.89
Jubilant Life Sciences Ltd	Pharmaceuticals	124,819	214.06	0.89
Jindal Steel & Power Ltd	Ferrous Metals	60,302	209.70	0.87
Torrent Pharmaceuticals Ltd	Pharmaceuticals	28,998	201.70	0.84
Ambuja Cement Ltd	Cement	115,000	200.33	0.84
Eros International Media Ltd	Media & Entertainment	105,000	181.49	0.76
NMDC Ltd	Minerals/Mining	127,687	175.06	0.73
Infinite Computer Solutions Ltd	Software	132,558	131.17	0.55
PC Jeweller Ltd	Consumer Durables	111,537	127.54	0.53
Dish TV India Ltd	Media & Entertainment	175,000	117.43	0.49
Sun TV Network Ltd	Media & Entertainment	29,858	116.60	0.49
Pantaloon Retail (India) Ltd	Retailing	74,800	111.98	0.47
Jaiprakash Associates Ltd	Cement	161,946	105.99	0.44
Milestone Global Ltd**	Construction Materials	100,000	6.72	0.03
Total			23,612.50	98.44
B) Privately Placed / Unlisted**				
<i>Equity Shares</i>				
Sangam Health Care Products Ltd	Health Care Equipment	200,000	0.02	***
Virtual Dynamics Software Ltd	IT Consulting & Services	176,305	0.02	***
Noble Brothers Impex Ltd	Personal Products	93,200	0.01	***
Balmer Lawrie Freight Container Ltd	Travel	54,000	0.01	***
Mukerian Papers Ltd	Paper Products	50,800	0.01	***
Crescent Finstock Ltd	Diversified Financial Services	39,500	0.00	***
Precision Fasteners Ltd	Auto Ancillaries	200	0.00	***
Total			0.06	***
II. Debt Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>Non-Convertible Debentures**</i>				
9.25% Dr. Reddy's Laboratories Ltd -M-24-Mar-2014	AA+	129,000	6.46	0.03
Total			6.46	0.03
III. Money Market Instruments				
<i>Reverse Repo and CBLO</i>				
			502.37	2.09
Total			502.37	2.09
IV. Cash & Cash Equivalents				
			26.97	0.11
V. Other Receivables / (Payables)				
			(161.98)	(0.68)
Net Assets			23,966.37	100.00

Principal Global Opportunities Fund (An open-ended Fund of Funds Scheme)

Name of Instrument	Quantity	Market Value (₹ in lakhs)	% of NAV
Foreign Securities			
I. Units of Mutual Fund / Units Trust			
PGIF Emerging Markets Equity Fund	100,937.118	3,005.17	96.54
Total		3,005.17	96.54
II. Money Market Instruments			
<i>Reverse Repo and CBLO</i>			
		139.66	4.49
Total		139.66	4.49
III. Cash & Cash Equivalents			
		1.38	0.04
IV. Other Receivables / (Payables)			
		(33.40)	(1.07)
Net Assets		3,112.81	100.00

Principal Government Securities Fund

(An open-ended dedicated gilt scheme investing in government securities)

Name of Instrument	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>Government Securities</i>				
8.20% Government of India Security -M-24-Sep-2025	Sovereign	2,000,000	2,019.90	30.13
8.33% Government of India Security -M-09-Jul-2026	Sovereign	750,000	765.23	11.41
8.07% Government of India Security -M-03-Jul-2017	Sovereign	500,000	503.25	7.51
8.15% Government of India Security -M-11-Jun-2022	Sovereign	250,000	253.15	3.78
Total			3,541.43	52.83
II. Money Market Instruments				
<i>i) Treasury Bill</i>				
364 Day T-Bill -M-17-May-2013	Sovereign	110,000	108.95	1.63
Total			108.95	1.63
<i>ii) Reverse Repo and CBLO</i>				
			2,748.64	41.00
Total			2,748.64	41.00
III. Cash & Cash Equivalents				
			73.84	1.10
IV. Other Receivables / (Payables)				
			230.95	3.45
Net Assets			6,703.79	100.00

Principal Dividend Yield Fund (An open-ended Equity Scheme)

Name of Instrument	Industry	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Equity & Equity Related Instruments -				
A) Listed / Awaiting listing on the stock exchanges				
<i>Equity Shares</i>				
ITC Ltd	Consumer Non Durables	173,700	537.51	5.43
Jammu & Kashmir Bank Ltd	Banks	32,760	390.40	3.94
Tata Motors Ltd - DVR	Auto	243,000	371.18	3.75
ICICI Bank Ltd	Banks	33,300	348.05	3.51
NIIT Technologies Ltd	Software	123,000	347.35	3.51
Bajaj Holdings & Investment Ltd	Finance	37,800	344.81	3.48
Oil & Natural Gas Corporation Ltd	Oil	108,000	336.31	3.40
VST Industries Ltd	Consumer Non Durables	21,840	331.08	3.34
State Bank of India	Banks	13,500	279.82	2.83
Gujarat Industries Power Company Ltd	Power	366,296	271.43	2.74
Sun TV Network Ltd	Media & Entertainment	68,700	268.27	2.71
HCL Technologies Ltd	Software	31,500	250.43	2.53
Gateway Distriparks Ltd	Transportation	192,600	238.44	2.41
Bajaj Auto Ltd	Auto	12,360	222.42	2.25
Colgate Palmolive (India) Ltd	Consumer Non Durables	17,400	216.05	2.18
Bank of Baroda	Banks	30,300	204.65	2.07
Cummins India Ltd	Industrial Products	40,608	202.63	2.05
Union Bank of India	Banks	91,860	200.25	2.02
Hindustan Petroleum Corporation Ltd	Petroleum Products	64,800	184.81	1.87
Hinduja Ventures Ltd	Media & Entertainment	45,837	182.55	1.84
Tata Chemicals Ltd	Chemicals	56,700	182.35	1.84
JK Cement Ltd	Cement	68,508	181.89	1.84
Hero MotoCorp Ltd	Auto	11,250	173.48	1.75
Oil India Ltd	Oil	33,900	173.14	1.75
Castrol India Ltd	Petroleum Products	54,000	171.29	1.73
Zensar Technologies Ltd	Software	67,200	162.99	1.65
Tata Tea Ltd	Consumer Non Durables	126,900	162.24	1.64
National Buildings Construction Corporation Ltd	Construction	132,600	161.51	1.63
Karur Vysya Bank Ltd	Banks	32,400	145.93	1.47
Rural Electrification Corporation Ltd	Finance	69,600	145.08	1.46
Tata Steel Ltd	Ferrous Metals	45,900	143.60	1.45
Power Finance Corporation Ltd	Finance	78,900	142.57	1.44
Tata Consultancy Services Ltd	Software	8,580	135.20	1.37
Reliance Industries Ltd	Petroleum Products	16,800	129.85	1.31
NTPC Ltd	Power	90,600	128.61	1.30
Bharat Petroleum Corporation Ltd	Petroleum Products	31,500	118.98	1.20
Jagran Prakashan Ltd	Media & Entertainment	119,414	111.06	1.12
ACC Ltd	Cement	9,420	109.20	1.10
Kolte-Patil Developers Ltd	Construction	121,800	108.34	1.09
Oriental Bank of Commerce	Banks	41,790	105.23	1.06
Tamilnadu Newsprint & Paper Ltd	Paper	110,400	104.94	1.06
Mleod Russel India Ltd	Consumer Non Durables	29,700	103.96	1.05
Supreme Industries Ltd	Industrial Products	33,000	103.19	1.04
Allahabad Bank	Banks	78,630	99.39	1.00
Kirloskar Oil Engines Ltd	Industrial Products	57,600	97.14	0.98
IPCA Laboratories Ltd	Pharmaceuticals	18,000	95.29	0.96
The South Indian Bank Ltd	Banks	378,000	92.61	0.94
Cairn India Ltd	Oil	33,900	92.36	0.93
Repro India Ltd	Media & Entertainment	49,500	89.67	0.91
Syndicate Bank	Banks	64,800	71.25	0.72
LIC Housing Finance Ltd	Finance	27,000	60.72	0.61
IDBI Bank Ltd	Banks	75,600	60.67	0.61
SML Isuzu Ltd	Auto	18,900	55.93	0.56
Chambal Fertilizers & Chemicals Ltd	Fertilisers	108,000	53.78	0.54
Dena Bank	Banks	60,000	53.70	0.54
Infinite Computer Solutions Ltd	Software	38,271	37.87	0.38
Volant Textile Mills Ltd	Textiles - Cotton	593,001	12.81	0.13
Apollo Tyres Ltd \$ \$ #	Auto Ancillaries	16,500	-	-
Total			9,906.26	100.03
B) Privately Placed / Unlisted**				
<i>Equity Shares</i>				
Sandur Laminates Ltd	Construction Project	200,000	0.02	***
Crystal Cable India Ltd	Telecom - Services	50,000	0.01	***
Tirrihannah Company Ltd	Consumer Non Durables	50,000	0.01	***
Western Paques Ltd	Construction	900	0.00	***
Minerava Holdings Ltd	Finance	20	-	-
Total			0.04	***
II. Money Market Instruments				
<i>Reverse Repo and CBLO</i>				
			33.09	0.33
Total			33.09	0.33
III. Cash & Cash Equivalents				
			15.84	0.16
IV. Other Receivables / (Payables)				
			(51.55)	(0.52)
Net Assets			9,903.68	100.00

Principal Debt Savings Fund - Monthly Income Plan

(An open-ended Income Fund - Monthly Income is not assured and is subject to the availability of distributable surplus.)

Name of Instrument	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Equity & Equity Related Instruments -				
A) Listed / Awaiting listing on the stock exchanges				
<i>Equity Shares</i>				
Jet Airways (India) Ltd	Transportation	10,500	54.38	1.53
Total			54.38	1.53
II. Debt Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>i) Non-Convertible Debentures**</i>				
10.6723% Cholamandalam Investment & Finance Company Ltd -M-26-Dec-2013	AA	70	701.19	19.70
8.76% Exim Bank of India -M-10-Jan-2018	AAA	50	499.78	14.04
9.08% State Bank of Mysore -M-16-Jan-2023	AAA	25	251.42	7.06
10.90% Power Grid Corporation of India Ltd -M-21-Jun-2015	AAA	50	152.92	4.30
11.10% Fullerton India Credit Company Ltd -M-04-Sep-2015				

Principal Cash Management Fund (An open-ended Liquid Scheme)

Name of Instrument	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Money Market Instruments				
<i>i) Certificate of Deposit**</i>				
Axis Bank Ltd -M- 30-Apr-2013	A1+	5,000	4,962.11	4.97
IDBI Bank Ltd -M- 10-May-2013	A1+	5,000	4,951.94	4.96
IDBI Bank Ltd -M- 29-May-2013	A1+	5,000	4,928.61	4.93
State Bank of Patiala -M- 29-Apr-2013	A1+	4,800	4,766.16	4.77
Alahabad Bank -M- 22-May-2013	A1+	2,490	2,459.31	2.46
Indusind Bank Ltd -M- 25-Apr-2013	A1+	2,450	2,434.68	2.44
Total			24,502.81	24.53
<i>ii) Commercial Paper**</i>				
Jindal Steel & Power Ltd -M- 27-May-2013	A1+	1,500	7,395.71	7.40
Piramal Enterprises Ltd -M- 09-May-2013	A1+	1,400	6,929.51	6.94
India Infoline Finance Ltd -M- 30-Apr-2013	A1+	1,000	4,958.23	4.96
India Infoline Finance Ltd -M- 02-May-2013	A1+	1,000	4,955.73	4.96
ECL Finance Ltd -M- 06-May-2013	A1+	1,000	4,949.70	4.95
Aditya Birla Finance Ltd -M- 28-May-2013	A1+	1,000	4,926.97	4.93
Piramal Enterprises Ltd -M- 07-May-2013	A1+	881	4,361.38	4.37
Fullerton India Credit Company Ltd -M- 22-Apr-2013	A1+	700	3,476.84	3.48
Exim Bank of India -M- 14-May-2013	A1+	500	2,473.60	2.48
Turquoise Investment and Finance Pvt Ltd -M- 13-May-2013	A1+	500	2,471.28	2.47
Kotak Commodity Services Ltd -M- 03-May-2013	A1+	492	2,437.52	2.44
Vodafone India Ltd -M- 26-Apr-2013	A1+	484	2,403.53	2.41
Godrej Industries Ltd -M- 29-Apr-2013	A1+	390	1,935.50	1.94
Total			53,675.50	53.73
<i>iii) Treasury Bill</i>				
364 Day T-Bill -M- 17-May-2013	Sovereign	1,000,000	990.42	0.99
Total			990.42	0.99
<i>iv) Reverse Repo and CBLO</i>				
			117.83	0.12
Total			117.83	0.12
II. Fixed Deposits				
9.67% Canara Bank -M- 17-Jun-2013			5,000.00	5.01
9.85% The South Indian Bank Ltd -M- 14-Jun-2013			5,000.00	5.01
9.98% Karur Vysya Bank Ltd -M- 14-Jun-2013			5,000.00	5.01
9.90% Karur Vysya Bank Ltd -M- 04-Jun-2013			3,000.00	3.00
Total			18,000.00	18.02
III. Cash & Cash Equivalents				
			84.20	0.08
IV. Other Receivables / (Payables)				
			2,525.07	2.53
Net Assets			99,895.83	100.00

Principal Debt Savings Fund - Retail Plan

(An open-ended Income Fund)

Name of Instrument	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>i) Non-Convertible Debentures**</i>				
8.76% Exim Bank of India -M- 10-Jan-2018	AAA	95	949.58	19.06
10.6729% Cholamandalam Investment & Finance Co. Ltd -M- 26-Dec-2013	AA	80	801.36	16.09
11.10% Fullerton India Credit Company Ltd -M- 04-Sep-2015	AA+	25	251.87	5.06
9.08% State Bank of Mysore -M- 16-Jan-2023	AAA	25	251.42	5.05
10.6729% Cholamandalam Investment & Finance Co. Ltd -M- 27-Dec-2013	AA	10	100.17	2.01
Total			2,354.42	47.26
<i>ii) Zero Coupon Bonds**</i>				
0% Sundaram Finance Ltd -M- 30-Mar-2015	AA+	80	668.27	13.42
Total			668.27	13.42
B) Privately Placed / Unlisted				
<i>Non-Convertible Debentures**</i>				
10.30% Tata Sons Ltd -M- 23-Apr-2014	AAA	30	302.76	6.08
10.00% Jindal Steel & Power Ltd -M- 30-Jul-2014	AA	20	199.76	4.01
Total			502.52	10.09
II. Money Market Instruments				
<i>i) Certificate of Deposit**</i>				
Yes Bank Ltd -M- 08-Apr-2013	A1+	700	698.13	14.01
Total			698.13	14.01
<i>ii) Commercial Paper**</i>				
Piramal Enterprises Ltd -M- 09-May-2013	A1+	60	296.98	5.96
Piramal Enterprises Ltd -M- 07-May-2013	A1+	40	198.02	3.98
Total			495.00	9.94
<i>iii) Treasury Bill</i>				
364 Day T-Bill -M- 17-May-2013	Sovereign	10,000	9.90	0.20
Total			9.90	0.20
<i>iv) Reverse Repo and CBLO</i>				
			58.48	1.17
Total			58.48	1.17
III. Cash & Cash Equivalents				
			113.34	2.28
IV. Other Receivables / (Payables)				
			61.45	1.64
Net Assets			4,981.50	100.00

Principal Debt Opportunities Fund - Conservative Plan

(An open-ended Debt Scheme)

Name of Instrument	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments				
A) Privately Placed / Unlisted				
<i>Non-Convertible Debentures**</i>				
10.00% Jindal Steel & Power Ltd -M- 30-Jul-2014	AA	30	299.63	1.04
Total			299.63	1.04
II. Money Market Instruments				
<i>i) Certificate of Deposit**</i>				
Canara Bank -M- 21-Jun-2013	A1+	2,500	2,451.37	8.55
State Bank of Travancore -M- 30-Dec-2013	A1+	1,950	1,828.89	6.38
Syndicate Bank -M- 03-Sep-2013	A1+	500	481.73	1.68
Total			4,761.98	16.60
<i>ii) Commercial Paper**</i>				
Aditya Birla Nuvo Ltd -M- 22-Apr-2013	A1+	1,000	4,972.15	17.33
Piramal Enterprises Ltd -M- 07-May-2013	A1+	1,000	4,950.49	17.26
Vodafone India Ltd -M- 26-Apr-2013	A1+	500	2,482.98	8.66
Jindal Steel & Power Ltd -M- 27-May-2013	A1+	500	2,465.24	8.59
Magma Fincorp Ltd -M- 17-Jun-2013	A1+	500	2,447.85	8.53
Kotak Mahindra Investments Ltd -M- 28-Jun-2013	A1+	500	2,442.08	8.51
Fullerton India Credit Company Ltd -M- 28-Jun-2013	A1+	500	2,441.79	8.51
Fullerton India Credit Company Ltd -M- 02-Jul-2013	A1+	200	974.02	3.40
Total			23,176.59	80.79
<i>iii) Treasury Bills</i>				
364 Day T-Bill -M- 17-May-2013	Sovereign	150,000	148.56	0.52
364 Day T-Bill -M- 31-May-2013	Sovereign	100,000	98.75	0.34
Total			247.31	0.86
<i>iv) Reverse Repo and CBLO</i>				
			1,473.15	5.14
Total			1,473.15	5.14
III. Cash & Cash Equivalents				
			29.92	0.10
IV. Other Receivables / (Payables)				
			(1,302.45)	(4.54)
Net Assets			28,686.13	100.00

Principal Debt Opportunities Fund - Corporate Bond Plan
(An open-ended Debt Scheme)

Name of Instrument	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>i) Non-Convertible Debentures**</i>				
9.85% Housing Development Finance Corpn. Ltd -M- 05-Jun-2015	AAA	8	80.91	15.74
9.30% Power Grid Corporation of India Ltd -M- 28-Jun-2016	AAA	6	75.90	14.76
7.40% Indian Oil Corporation Ltd -M- 15-Sep-2015	AAA	4	38.94	7.57
Total			195.75	38.07
<i>ii) Zero Coupon Bonds**</i>				
0% Sundaram Finance Ltd -M- 30-Mar-2015	AA+	10	83.53	16.25
0% Tata Capital Financial Services Ltd -M- 30-Jun-2015	AA+	1	10.89	2.12
Total			94.42	18.36
II. Money Market Instruments				
<i>i) Commercial Paper**</i>				
Power Finance Corporation Ltd -M- 15-Apr-2013	A1+	10	49.80	9.69
Vodafone India Ltd -M- 26-Apr-2013	A1+	10	49.66	9.66
Godrej Industries Ltd -M- 29-Apr-2013	A1+	10	49.63	9.65
Piramal Enterprises Ltd -M- 07-May-2013	A1+	10	49.50	9.63
Total			198.60	38.63
<i>ii) Reverse Repo and CBLO</i>				
			96.18	18.71
Total			96.18	18.71
III. Cash & Cash Equivalents				
			13.60	2.65
IV. Other Receivables / (Payables)				
			(84.40)	(16.42)
Net Assets			514.15	100.00

Principal Bank CD Fund (An open-ended Debt Scheme)

Name of Instrument	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>Non-Convertible Debentures**</i>				
9.15% ICICI Bank Ltd -M- 21-Apr-2013	AAA	13	129.95	11.85
Total			129.95	11.85
II. Money Market Instruments				
<i>i) Certificate of Deposit**</i>				
IDBI Bank Ltd -M- 27-Jun-2013	A1+	500	489.31	44.63
ICICI Bank Ltd -M- 28-Jun-2013	A1+	430	420.79	38.38
State Bank of Travancore -M- 30-Dec-2013	A1+	25	23.45	2.14
Total			933.55	85.15
<i>ii) Reverse Repo and CBLO</i>				
			32.68	2.98
Total			32.68	2.98
III. Cash & Cash Equivalents				
			2.70	0.25
IV. Other Receivables / (Payables)				
			(2.52)	(0.23)
Net Assets			1,096.37	100.00

Principal Retail Money Manager Fund (An open-ended Liquid Scheme)

Name of Instrument	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Money Market Instruments				
<i>i) Certificate of Deposit**</i>				
Indusind Bank Ltd -M- 25-Apr-2013	A1+	50	49.69	21.35
Alahabad Bank -M- 22-May-2013	A1+	10	9.88	4.24
Total			59.56	25.59
<i>ii) Commercial Paper**</i>				
Piramal Enterprises Ltd -M- 07-May-2013	A1+	9	44.55	19.14
Kotak Commodity Services Ltd -M- 03-May-2013	A1+	8	39.63	17.03
Vodafone India Ltd -M- 26-Apr-2013	A1+	6	29.80	12.80
Total			113.98	48.97
<i>iii) Reverse Repo and CBLO</i>				
			24.99	10.73
Total			24.99	10.73
II. Cash & Cash Equivalents				
			0.01	***
III. Other Receivables / (Payables)				
			34.22	14.70
Net Assets			232.77	100.00

Principal Pnb Fixed Maturity Plan - Series A4

(A close-ended Debt Scheme offering Fixed Maturity Plan having maturity of 367 Days)

Name of Instrument	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Money Market Instruments				
<i>Reverse Repo and CBLO</i>				
			20,928.66	100.01
Total			20,928.66	100.01
II. Cash & Cash Equivalents				
			2.87	0.01
III. Other Receivables / (Payables)				
			(4.79)	(0.02)
Net Assets			20,926.74	100.00

Principal Pnb Fixed Maturity Plan - Series B1

(A close-ended Debt Scheme offering Fixed Maturity Plan having maturity of 370 Days)

Name of Instrument	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Money Market Instruments				
<i>i) Certificate of Deposit**</i>				
IDBI Bank Ltd -M- 27-Jun-2013	A1+	900	880.76	27.82
ICICI Bank Ltd -M- 28-Jun-2013	A1+	900	880.73	27.82
Axis Bank Ltd -M- 28-Jun-2013	A1+	500	489.41	15.46
Total			2,250.90	71.09
<i>ii) Commercial Paper**</i>				
Fullerton India Credit Company Ltd -M- 02-Jul-2013	A1+	180	876.62	27.69
Total			876.62	27.69
<i>iii) Reverse Repo and CBLO</i>				
			40.60	1.28
Total			40.60	1.28
II. Cash & Cash Equivalents				
			0.40	0.01
III. Other Receivables / (Payables)				
			(2.41)	(0.08)
Net Assets			3,166.11	100.00

Principal Pnb Fixed Maturity Plan - Series B2

(A close-ended Debt Scheme offering Fixed Maturity Plan having maturity of 1098 Days)

Name of Instrument	Rating	Quantity	Market Value (₹ in lakhs)	% of NAV
I. Debt Instruments				
A) Listed / Awaiting listing on the stock exchanges				
<i>i) Non-Convertible Debentures**</i>				
7.40% Indian Oil Corporation Ltd -M- 15-Sep-2015	AAA	46	447.76	18.97
8.45% Exim Bank of India -M- 08-Sep-2015	AAA	45	446.39	18.91
9.40% National Bank for Agriculture and Rural Development -M- 31-Jul-2015	AAA	40	404.81	17.15
Total			1,298.96	55.02
<i>ii) Zero Coupon Bonds**</i>				
0% Sundaram BNP Home Finance Ltd -M- 28-Sep-2015	AA+			

Notes :

- 1) No NPA's were provided for any of the Schemes during the half year.
- 2) Total value and percentage of illiquid shares to NAV is NIL except for Principal Growth Fund, Principal Tax Savings Fund, Principal Dividend Yield Fund and Principal Personal Tax Saver Fund. The value of illiquid securities in the aforementioned schemes have been mentioned in their respective portfolio statement and the percentage of the same to the NAV is less than 0.01% of the AUM of the said Schemes.
- 3) For NAV at the beginning of the period please refer Annexure I of the Half Yearly Portfolio Statement for the period ended March 31, 2013, provided herein.
- 4) For dividend declared during the half year ended please refer Annexure I of the Half Yearly Portfolio Statement for the period ended March 31, 2013, provided herein.
- 5) For NAV at the end of the period please refer Annexure I of the Half Yearly Portfolio Statement for the period ended March 31, 2013, provided herein.
The NAV per unit of Schemes other than Principal Cash Management Fund and Principal Retail Money Manager Fund is provided as on March 28, 2013 (as March 31, 2013 was a non business day).
- 6) Pursuant to SEBI circular no. CIR/MD/DF/21/2012 dated September 13, 2012, Principal Mutual Fund has introduced separate plan for direct investments (i.e. investments not routed through a distributor) with effect from January 1, 2013. These plans are introduced in all open-ended schemes of the Fund except the Schemes and Plans discontinued for further subscriptions. On January 01, 2013, applicable NAV (including equalization reserve per unit and unit premium reserve per unit) used for allotment of units in direct plans were of corresponding option under the existing plan as on December 31, 2012 for liquid schemes - Principal Cash Management Fund and Principal Retail Money Manager Fund, and as on January 01, 2013 for other schemes. Thereafter, separate NAVs are calculated and published for direct plans.
- 7) There is no outstanding exposure in derivative instruments at the end of the half year in any scheme of Principal Mutual Fund.

- 8) Total investments in foreign securities at the end of the period in Principal Global Opportunities fund is ₹ 3,005.17 Lacs (96.54% of the scheme assets).
There are no investments in foreign securities/ ADRs/ GDRs at the end of the period in any other schemes of Principal Mutual Fund.
- 9) Portfolio Turnover Ratio & Average Maturity of portfolio is as follows:

Name of the Scheme	Portfolio Turnover Ratio	Average Maturity (Days** / Yrs)
Principal Growth Fund	1.34	--
Principal Index Fund	2.41	--
Principal Large Cap Fund	1.10	--
Principal Dividend Yield Fund	0.51	--
Principal Emerging Bluechip Fund	0.56	--
Principal Personal Tax Saver Fund	1.07	--
Principal SMART Equity Fund	1.05	--
Principal Tax Savings Fund	1.09	--
Principal Global Opportunities Fund	--	--
Principal Debt Opportunities Fund - Conservative Plan	--	75 Days
Principal Debt Opportunities Fund - Corporate Bond Plan	--	515 Days

Principal Government Securities Fund	--	5.95 Yrs.
Principal Income Fund- Long Term Plan	--	4.94 Yrs.
Principal Bank CD Fund	--	82 Days
Principal Income Fund - Short Term Plan	--	600 Days
Principal Retail Equity Savings Fund	1.07	0.07 Yrs.
Principal Debt Savings Fund - Monthly Income Plan	0.03	2.44 Yrs.
Principal Debt Savings Fund - Retail Plan	0.02	2.06 Yrs.
Principal Balanced Fund	0.74	0.63 Yrs.
Principal Cash Management Fund	--	45 Days
Principal Retail Money Manager Fund	--	24 Days
Principal Pnb Fixed Maturity Plan - Series A4	--	--
Principal Pnb Fixed Maturity Plan - Series B2	--	--
Principal Pnb Fixed Maturity Plan - Series B1	--	--

10 Industry classification as released by Association of Mutual Funds in India (AMFI).

11 All the information provided is as on March 31, 2013 unless specified otherwise.

Annexure I :-

Sr. No.	Name of the Schemes	Principal Growth Fund				Principal Large Cap Fund				Principal Smart Equity Fund				Principal Emerging Bluechip Fund			
		Regular Plan		Direct Plan [®]		Regular Plan		Direct Plan [®]		Regular Plan		Direct Plan [®]		Regular Plan		Direct Plan [®]	
		Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth
1.	NAV at the beginning of the year/period (₹ per unit)	23.88	52.69	N.A.	N.A.	19.92	29.09	N.A.	N.A.	10.94	10.94	N.A.	N.A.	23.56	30.92	N.A.	N.A.
2.	NAV at the end of the year/period (₹ per Unit)	23.87	52.67	23.90	52.74	19.32	28.21	19.35	28.26	10.76	10.76	10.79	10.79	23.63	31.01	23.66	31.08
3.	a. Net Dividend - Corporate (₹ Per Unit)	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--
	b. Net Dividend - Non-Corporate (₹ Per Unit)	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Sr. No.	Name of the Schemes	Principal Dividend Yield Fund				Principal Retail Equity Savings Fund				Principal Index Fund				Principal Personal Tax Saver Fund		Principal Tax Savings Fund	
		Regular Plan		Direct Plan [®]		Regular Plan		Direct Plan [®]		Regular Plan		Direct Plan [®]		Regular Plan	Direct Plan [®]	Regular Plan	Direct Plan [®]
		Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Growth	Growth	Growth	Growth
1.	NAV at the beginning of the year/period (₹ per unit)	17.85	24.18	N.A.	N.A.	9.62	83.83	N.A.	N.A.	22.8523	38.8820	N.A.	N.A.	95.48	N.A.	77.62	N.A.
2.	NAV at the end of the year/period (₹ per Unit)	17.27	23.39	17.29	23.42	9.45	82.40	N.A.	82.52	22.8775	38.9258	22.8827	38.9351	92.66	92.80	77.61	77.75
3.	a. Net Dividend - Corporate (₹ Per Unit)	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--
	b. Net Dividend - Non-Corporate (₹ Per Unit)	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Sr. No.	Name of the Schemes	Principal Global Opportunities Fund				Principal Balanced Fund				Principal Bank CD Fund				Principal Income Fund - Short Term Plan			
		Regular Plan		Direct Plan [®]		Regular Plan		Direct Plan [®]		Regular Plan		Direct Plan [®]		Regular Plan		Direct Plan [®]	
		Dividend	Growth	Dividend	Growth	Dividend	Growth	Dividend	Growth	Growth	Monthly Dividend	Growth	Monthly Dividend	Monthly Dividend	Growth	Monthly Dividend	Growth
1.	NAV at the beginning of the year/period (₹ per unit)	19.8195	19.8195	N.A.	N.A.	16.15	31.95	N.A.	N.A.	1443.1004	1111.7700	N.A.	N.A.	12.6166	19.7653	N.A.	N.A.
2.	NAV at the end of the year/period (₹ per Unit)	21.2226	21.2226	21.2424	21.2424	16.36	32.37	16.40	32.43	1501.0626	1120.5236	1501.9080	1121.1881	12.6906	20.6134	12.7059	20.6378
3.	a. Net Dividend - Corporate (₹ Per Unit)	--	--	--	--	--	--	--	--	--	22.00913585	--	9.62663746	0.29446184	--	0.13590546	--
	b. Net Dividend - Non-Corporate (₹ Per Unit)	--	--	--	--	--	--	--	--	--	25.67857733	--	11.23162473	0.34355556	--	0.1586410	--

Sr. No.	Name of the Schemes	Principal Cash Management Fund								Principal Retail Money Manager Fund							
		Regular Plan				Direct Plan [®]				Regular Plan				Direct Plan [®]			
		Daily Dividend	Weekly Dividend	Monthly Dividend	Growth	Daily Dividend	Weekly Dividend	Monthly Dividend	Growth	Growth	Daily Dividend	Weekly Dividend	Monthly Dividend	Growth	Growth	Daily Dividend	Weekly Dividend
1.	NAV at the beginning of the year/period (₹ per unit)	1000.0700	1006.7113	1017.3219	1749.3029	N.A.	N.A.	N.A.	N.A.	1258.3552	1005.4300	1012.3541	1052.7014	N.A.	N.A.	N.A.	N.A.
2.	NAV at the end of the year/period (₹ per Unit)	1000.0700	1006.7945	1021.7840	1140.4133	1000.0700	1006.8071	1021.8067	1140.7182	1314.8238	1005.4300	1012.7365	1057.9201	1315.1423	N.A.	N.A.	1057.9455
3.	a. Net Dividend - Corporate (₹ Per Unit)	31.84377013	32.00841294	29.18162256	--	16.07163206	16.43592425	14.71992147	--	--	33.48979244	33.28068490	31.13194156	--	--	--	15.92797011
	b. Net Dividend - Non-Corporate (₹ Per Unit)	33.19923747	33.37088855	30.42377251	--	16.75573991	17.13553862	15.34649218	--	--	34.91532469	34.69731626	32.45710912	--	--	--	16.60596282

Sr. No.	Name of the Schemes	Principal Income Fund - Long Term Plan						Principal Government Securities Fund						Principal Pnb Fixed Maturity Plan - Series A4		Principal Pnb Fixed Maturity Plan - Series B1	
		Regular Plan			Direct Plan [®]			Regular Plan			Direct Plan [®]			Regular Plan		Regular Plan	
		Annual Dividend	Quarterly Dividend	Growth	Annual Dividend	Quarterly Dividend	Growth	Quarterly Dividend	Annual Dividend	Growth	Quarterly Dividend	Annual Dividend	Growth	Dividend	Growth	Dividend	Growth
1.	NAV at the beginning of the year/period (₹ per unit)	11.3661	12.6420	19.3189	N.A.	N.A.	N.A.	11.3557	13.3385	22.4133	N.A.	N.A.	N.A.	10.5775	10.5775	10.2700	10.2700
2.	NAV at the end of the year/period (₹ per Unit)	11.8887	12.8187	20.2073	11.9097	12.8419	20.2430	11.5962	13.9785	23.4887	11.6093	13.9949	23.5164	11.0272	11.0272	10.7052	10.7052
3.	a. Net Dividend - Corporate (₹ Per Unit)	--	0.30201216	--	--	0.15100608	--	0.22650912	--	--	0.11325456	--	--	--	--	--	--
	b. Net Dividend - Non-Corporate (₹ Per Unit)	--	0.35236470	--	--	0.17618235	--	0.26427352	--	--	0.13213676	--	--	--	--	--	--

Sr. No.	Name of the Schemes	Principal Debt Opportunities Fund - Conservative Plan								Principal Debt Savings Fund - Monthly Income Plan						Principal Pnb Fixed Maturity Plan - Series B2	
		Regular Plan				Direct Plan [®]				Regular Plan			Direct Plan [®]			Regular Plan	
		Growth	Daily Dividend	Weekly Dividend	Monthly Dividend	Growth	Daily Dividend	Weekly Dividend	Monthly Dividend	Monthly Dividend	Quarterly Dividend	Growth	Monthly Dividend	Quarterly Dividend	Growth	Dividend	Growth
1.	NAV at the beginning of the year/period (₹ per unit)	1783.6958	1001.2300	1000.6578	1129.7751	N.A.	N.A.	N.A.	N.A.	10.6624	11.6659	23.4673	N.A.	N.A.	N.A.	10.0044	10.0044
2.	NAV at the end of the year/period (₹ per Unit)	1860.5848	1001.2300	1000.4000	1134.9202	1862.1204	1001.2300	1000.4000	1135.8726	10.7109	12.0618	24.4664	10.7311	12.0846	24.5135	10.4265	10.4265
3.	a. Net Dividend - Corporate (₹ Per Unit)	--	31.90333392	32.08849752	28.01162747	--	15.40221315	16.74128129	12.83551663	0.30201217	0.07550304	--	0.14345578	0.03775152	--	--	--
	b. Net Dividend - Non-Corporate (₹ Per Unit)	--	37.22237133	37.43840605	32.68182571	--	17.97012490	19.53244728	14.97549965	0.35236469	0.08809118	--	0.16737323	0.04404559	--	--	--

Sr. No.	Name of the Schemes	Principal Debt Savings Fund - Retail Plan				Principal Debt Opportunities Fund - Corporate Bond Plan				
		Regular Plan		Direct Plan [®]		Regular Plan		Direct Plan [®]		
		Growth	Monthly Dividend	Quarterly Dividend	Growth	Monthly Dividend	Quarterly Dividend	Growth	Monthly Dividend	
1.	NAV at the beginning of the year/period (₹ per unit)	20.0562	10.3967	11.2057	N.A.	N.A.	1754.1616	1227.9990	N.A.	N.A.
2.	NAV at the end of the year/period (₹ per Unit)	21.0476	10.4923	11.5870	21.1105	11.6207	1826.1621	1233.2725	1828.4317	1234.9379
3.	a. Net Dividend - Corporate (₹ Per Unit)	--	0.30956247	0.12835517	--	0.05285213	--	28.38914266	--	13.21303182
	b. Net Dividend - Non-Corporate (₹ Per Unit)	--	0.36117380	0.14975499	--	0.06166382	--	33.12228157	--	15.41596551

[®] Refer note 6.

-- indicates NIL.

Place : Mumbai
Date : April 26, 2013

For Principal Pnb Asset Management Company Pvt. Ltd.
Sd/-
M.M. Chitale
Director

For Principal Trustee Company Pvt. Ltd.
Sd/-
V.S. Mathur
Director

Contact Details: Toll Free: 1800 425 5600. Fax: 022-6772 0512 or email customer@principalindia.com or visit us at www.principalindia.com

Registrars: Karvy Computershare Pvt. Ltd. (Unit: Principal Mutual Fund), 'Madhura Estates', Municipal No.1-9/13-C, Plot No.13 & 13-C, Survey No.74 & 75, Madhapur Village, Ranga Reddy District, Hyderabad-500 081. • Tel.: (040) 2331 2454. • www.karvycomputershare.com

NOTICE

Disclosure of Half Yearly Unaudited Financial Results

NOTICE IS HEREBY GIVEN THAT, Half Yearly Unaudited Financial Results of the Schemes of Principal Mutual Fund for the period ended March 31, 2013, has been hosted on our website - www.principalindia.com.

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.